

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Keesler, David C.	2. Court or Organization N Carolina - District Court	3. Date of Report 07/26/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 401 West Trade Street Room 168 Charlotte, NC 28202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Keesler, David C.

Date of Report

07/26/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017

K. Interiors-Salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Keesler, David C.

Date of Report

07/26/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

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07/26/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. WELLS FARGO COMMON STOCK	A	Dividend	J	T					
2. IRA #1 (H)									
3. -DEUTSCHE SECS TR ENHANCED COMMODITY STRAT SKIRX	A	Dividend	J	T					
4. -DEUTSCHE SECS TR GLOBAL REAL ESTATE RRGTX	A	Dividend			Sold	08/29/17	J		
5. -BLACKROCK FDS II CORE BOND PORT INSTL CLASS BFM CX	A	Dividend	J	T					
6. -FUNDVANTAGE TR GOTHAM ABSOLUTE RETURN GARIX	A	Dividend	J	T					
7. -DELAWARE GROUP DEUIX	A	Dividend			Sold	08/29/17	K		
8. -EATON VANCE MUTUAL FDS TR GLOBAL MARCO ABSLT EGRIX	A	Dividend	J	T					
9. -GABELLI EQUITY SER FD SML CAP GROWTH FUND GACIX	A	Dividend	K	T					
10. -GOLDMAN SACHS TR FTIXX	A	Dividend	J	T	Buy (add'l)	08/30/17	J		
11. -HARTFORD MULT FDS INC MIDCAP FUND CL 1 HFMIX	A	Dividend	J	T					
12. -JOHN HANCOCK JCUIX	A	Dividend	J	T					
13. -LAZARD FDS IM EQUITY PORT INSTL SHS LZIEIX	A	Dividend	K	T					
14. -MERIDIAN FD INC SMALL CAP GROWTH FD MISGX	A	Dividend	J	T					
15. -JP MORGAN TR 1 JLP SX	A	Dividend	J	T					
16. -MAINSTAY LARGE CAP GROWTH FD CL 1 MLAIX	A	Dividend	K	T	Buy	09/29/17	K		
17. -PRINCIPAL FS INC GLOBAL MULTI STRATEGY FD INSTL CL PSMIX	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -PRINCIPAL FUNDS INC GLOBAL REAL ESTATE POSIX	A	Dividend	J	T	Buy	08/29/17	J		
19. -NUVEEN INVT FDS INC REAL ESTATE SECS CL I FARCX	A	Dividend	J	T	Buy	08/29/17	J		
20. -OPPENHEIMER DEV ODVYX	A	Dividend	J	T					
21. -PIMCO FDS PAC IVT MGMT SER EMERGING PEBIX	A	Dividend	J	T					
22. -PRINCIPAL FDS INC PHYTX	A	Dividend	J	T					
23. -T ROWE PRICE TRREX	A	Dividend			Sold	08/29/17	J		
24. -TCW DIVERSIFIED VALUE TGDIX	A	Dividend	K	T					
25. -NATIXIX FDS TR II ASFYX	A	Dividend	J	T					
26. -VIRTUS EMERGING MARKETS OPPORTUNITIES FND CL I HIEMX	A	Dividend	J	T					
27. -WELLS FARGO FUNDS TR SPECIAL MID CAP VALUE FD CL I WFMIX	A	Dividend	J	T					
28. -BRIGHOUSE LIFE INS CO PROD C SHARE VARIABLE ANNUITY	A	Dividend	L	T					
29. IRA # 2 (H)									
30. -WELLS FARGO BANK DEPOSIT SWEEP (Y) ACCOUNT (Y)									
31. -DISNEY WALT COMPANY DIS	A	Dividend			Sold (part)	01/13/17	J		
32.	A	Dividend			Sold	03/16/17	J		
33. -EXXON MOBIL CORP XOM	A	Dividend			Sold	03/16/17	J		
34. -JOHNSON & JOHNSON JNJ	A	Dividend			Sold	03/16/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 11

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -DODGE & COX INTL STOCK FUND DODFX	A	Dividend			Sold (part)	01/13/17	J		
36.					Sold	03/16/17	J		
37. -T ROWE PRICE MID CAP GROWTH FUND RPMGX					Sold	01/13/17	J		
38. -VANGUARD/WINDSOR FUND VWFAX	A	Dividend			Sold	03/16/17	J		
39. IRA #3 (H)									
40. -COLUMBIA ACORN FUND CLASS C LIACX	A	Dividend	J	T	Sold (part)	10/13/17	J		
41. 529 PLAN #1 (H)									
42. THE GROWTH FUND OF AMERICA 529C CGFCX	A	Dividend			Sold	12/11/17	J		
43. CAPITAL WORLD GROWTH AND INCOME 529 C CWICX	A	Dividend			Sold	12/11/17	J		
44. WASHINGTON MUTUAL INVESTORS FUND 529 C CWMCX	A	Dividend			Sold	12/11/17	J		
45. THE INCOME FUND OF AMERICA CL 529C CIMCX	A	Dividend			Sold	12/11/17	J		
46. INTERMEDIATE BOND FUND OF AMERICA 529C CBOCX	A	Dividend			Sold	12/11/17	J		
47. AMERICAN FUNDS COLLEGE 2021 FUND 529C CTOCX	A	Dividend	K	T	Distributed (part)	08/11/17	J		
48.					Distributed (part)	12/28/17	J		
49. CAPITAL WORLD GROWTH & INCOME FUND CL 529-A CWIAX	A	Dividend	J	T	Buy	12/11/17	J		
50. GROWTH FD OF AMERICA INC CL 529-A CGFAX	A	Dividend	J	T	Buy	12/11/17	J		
51. INCOME FD OF AMERICA 529 CL A CIMAX	A	Dividend	J	T	Buy	12/11/17	J		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 11

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52. INTERMEDIATE BOND FDS 529 CL A CBOAX	A	Dividend	J	T	Buy	12/11/17	J		
53. WASHINGTON FUNDS MUTUAL INVS FD CL 529-A CWMAX	A	Dividend	J	T	Buy	12/11/17	J		
54. 529 PLAN #2 (H)									
55. THE GROWTH FUND OF AMERICA 529 C CGFCX	A	Dividend			Sold	12/11/17	J		
56. CAPITAL WORLD GROWTH AND INCOME 529 C CWICX	A	Dividend			Sold	12/11/17	J		
57. WASHINGTON MUTUAL INVESTORS FUND 529 C CWMCX	A	Dividend			Sold	12/11/17	J		
58. THE INCOME FUND OF AMERICA 529 C CIMCX	A	Dividend	J	T	Sold (part)	12/11/17	J		
59. INTERMEDIATE BOND FUND OF AMERICA 529 C CBOCX	A	Dividend			Sold	12/11/17	J		
60. CAPITAL WORLD GROWTH & INCOME FUND CL 529-A CWIAX	A	Dividend	J	T	Buy	12/11/17	J		
61. GROWTH FD OF AMERICA INC CL 529-A CGFAX	A	Dividend	J	T	Buy	12/11/17	J		
62. INCOME FD OF AMERICA 529 CL A CIMAX	A	Dividend	J	T	Buy	12/11/17	J		
63. INTERMEDIATE BOND FDS 529 CL A CBOAX	A	Dividend	J	T	Buy	12/11/17	J		
64. WASHINGTON FUNDS MUTUAL INVS FD CL 529-A CWMAX	A	Dividend	J	T	Buy	12/11/17	J		
65. BROKERAGE ACCOUNT #2 (H)									
66. AMER BALANCED FD INC BALFX	A	Dividend			Sold	06/30/17	J		
67. IRA #4 (H)									
68. -AIM GLOBAL REAL ESTATE ARGYX	A	Dividend			Sold	08/28/17	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 11

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69. -DEUTSCHE SECS TR ENHANCED COMMODITY STRAT SKIRX	A	Dividend	J	T					
70. -BLAIR WILLIAM FDS INTL GROWTH BIGIX	A	Dividend	J	T					
71. -EAGLE SMALL GAP GROWTH FUND CLASS I HSHX	A	Dividend	J	T					
72. -DELAWARE GROUP EQUITY FD 11- VALUE FD DDVIX	A	Dividend			Sold	08/28/17	J		
73. -EATON VANCE SER II INCOME FUND INSTL CL EIBIX	A	Dividend	J	T					
74. -AMG FUNDS TIMESSQUARE MID CAP TQMIX	A	Dividend	J	T					
75. -FIRST EAGLE FUNDS SOGEN OVERSEAS FUND CLI SGOIX	A	Dividend	J	T					
76. -GOLDMAN SACHS TR FTIXX	A	Dividend			Sold	10/13/17	J		
77. -HANCOCK JOHN CAP SER CLASSIC VALUE CL I JCVIX	A	Dividend	J	T					
78. -HARBOR INTERNATIONAL INSTL CL HAINX	A	Dividend	J	T					
79. -HOTCHKIS & WILEY FDS MID CAP HWMIX	A	Dividend	J	T					
80. -AMERICAN FUNDS WASHINGTON MUTUAL FD F2 WMFFX	A	Dividend	J	T					
81. -LAZARD FDS INC LZEMX	A	Dividend	J	T					
82. -MFS SER TR 1 VALUE FD CL 1 MEIIX	A	Dividend	J	T	Buy	08/28/17	J		
83. -MFS SER TR X EMERGING MKTS DEBT FD CLASS I MEDIX	A	Dividend	J	T					
84. -MAINSTAY LARGE CAP GROWTH FD CLASS I MLAIX	A	Dividend	J	T					
85. -WELLS FARGO FDS TR SMALL WEMIX	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 11

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86. -PRINCIPAL FUNDS INC GLOBAL REAL ESTATE SECS FUND INSTL CLASS POSIX	A	Dividend	J	T	Buy	08/28/17	J		
87. -OPPENHEIMER DEV MKTS ODVYX	A	Dividend			Sold (part)	10/13/17	J		
88. -T TOWE PRICE REAL EST FUND INC TRREX	A	Distribution	J	T	Buy	08/28/17	J		
89. -VICTORY PORTFOLIOS SMALL CO OPPTY FD VSOIX	A	Dividend	J	T					
90. -VOYA SER FD INC LARGE CAP GROWTH FD CL 1 PLCIX	A	Dividend	J	T					
91. -WELLS FARGO FDS TR CORE BOND FUND CLASS INST MBFIX	A	Dividend	J	T					
92. IRA # 5 (H)									
93. -DAVIS NEW YORK VENTURE FUND INC CL C NYVCX	A	Dividend	J	T					
94. BANK OF AMERICA (REGULAR SAVINGS, INTEREST CHKG)	A	Interest	J	T					
95. IRA #6 (H)									
96. -FRANKLIN SMALL MID CAP GROWTH C FRSEX	A	Dividend	J	T					
97. -SOUTH STATE COMMON STOCK	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

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07/26/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 28 Name Change from Metlife to Brighthouse Life.

Line 30 Under reporting limit.

Line 72 Previously reported on line 78.

Line 74 Previously reported on line 60. Ticker Symbol change from YMDPX to TQMIX.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ David C. Keesler

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544