

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Haikala, Madeline H.	2. Court or Organization United States District Court, Northern District of Alabama	3. Date of Report 05/01/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2016 to 12/31/2016
5b. ☐ Amended Report		
7. Chambers or Office Address United State District Court Hugo L. Black United States Courthouse 1729 Fifth Avenue North Birmingham, AL 35203-2000		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Directors	Birmingham Bar Association Women's Section
2. Committee Member	Women's Committee of Cornerstone Schools of Alabama
3. Board Member	Norma Livingston Ovarian Cancer Foundation
4. Secondary Trustee	Lohfink Family Irrevocable Trust
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1990	Lightfoot, Franklin, & White LLC 401 K plan with former law firm, no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Georgetown University	Dependent college tuition	None
2.	University of Mississippi	Dependent college tuition	None
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Cash Accounts	A	Interest	L	T					
2. PNC Bank CDs	A	Interest	K	T					
3. 401K #1 (H)									
4. - DoubleLine Total Return Bond	B	Dividend	L	T					
5. - Driehaus Active Income Fund	A	Dividend	K	T	Buy (add'l)	07/25/16	J		
6. - iShares North American Natural Resources		None			Sold	03/14/16	J		
7. - TD Bank Money Market Deposit Account	A	Dividend	J	T	Sold (part)	03/14/16	J		
8.					Sold (part)	07/25/16	J		
9. - Vanguard Dividend Appreciation ETF	A	Dividend	J	T					
10. - Vanguard Short Term Bond ETF	A	Dividend	J	T	Buy (add'l)	07/26/16	J		
11.					Sold (part)	11/21/16	J		
12. - Vanguard Total Bond ETF	B	Dividend	L	T	Buy (add'l)	03/15/16	J		
13.					Buy (add'l)	11/21/16	J		
14. - Vanguard Total International Stock ETF	B	Dividend	K	T	Sold (part)	03/14/16	J		
15.					Sold (part)	07/25/16	J		
16.					Sold (part)	11/21/16	J		
17. - Vanguard Total Stock Market ETF	C	Dividend	M	T	Buy (add'l)	07/25/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Buy (add'l)	11/22/16	J		
19. - Health Care Select Select SPDR		None			Sold	03/14/16	J		
20. - Technology Select Sector SPDR	A	Dividend			Sold	11/21/16	J		
21. - Driehaus Event Driven	A	Dividend	J	T	Buy (add'l)	11/22/16	J		
22. - Driehaus Micro Cap Growth		None	J	T	Buy (add'l)	11/22/16	J		
23. - ALPS/Red Rocks Listen Private Equity I		None			Sold	11/21/16	J		
24. - RiverPark Strategic Income Inst	A	Dividend	J	T	Buy (add'l)	03/14/16	J		
25. - Wasatch International Opportunities		None			Sold	03/14/16	J		
26. - DoubleLine Low Dur Emerg Mkts Fxd Inc I	A	Dividend	J	T	Buy	11/21/16	J		
27.					Buy (add'l)	11/22/16	J		
28. - Victory Global Natural Resources Y		None	J	T	Buy	03/15/16	J		
29.					Buy (add'l)	07/26/16	J		
30. - Vanguard FTSE Emerging Markets ETF	A	Dividend	J	T	Buy	07/26/16	J		
31.					Buy (add'l)	11/22/16	J		
32. - Wasatch International Opps Instl		None	J	T	Buy	03/15/16	J		
33. MetLife Policyholder Trust	A	Dividend	J	T					
34. Oppenheimer Funds Uni. Transf. to Minor Accounts (H)									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Global Fund C		None	K	T					
36. - Main Street Select Fund C	B	Distribution	K	T					
37. - Main Street Mid Cap Fund C	A	Distribution	J	T					
38. - Cash Reserves C	A	Dividend	J	T					
39. Franklin Templeton Uniform Transfer to Minor Accounts (H)									
40. - Franklin Mutual Quest Fund Class A	D	Dividend	L	T					
41. - Franklin Money Fund		None	J	T					
42.									
43.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

My maternal uncle, Jerry Lohfink, created the Lohfink Family Irrevocable Trust in 2016. My aunt and uncle's children and grandchildren are the beneficiaries of the trust. I receive no income of any sort from the trust, and I have no obligations under the trust unless I survive both my aunt and my uncle who are the primary trustees of the trust. I receive no reports concerning the trust and exercise no discretion concerning the trust assets. No provision of the trust document permits me or my children to receive income from the trust, and none of us has a beneficial interest in the principal or interest of the trust.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Madeline H. Haikala**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544