

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Steger, Christopher H.	2. Court or Organization U.S. Distric Court, Eastern Distric of Tennessee	3. Date of Report 08/13/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge - Full-Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Joel W. Solomon Federal Building, United States Courthouse 900 Georgia Avenue, Room 102 Chattanooga, TN 37402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2015	The Coca-Cola Company Defined Benefit Pension Plan with former employer, no control
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 17

Name of Person Reporting

Steger, Christopher H.

Date of Report

08/13/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	The Coca-Cola Company - deferred compensation	\$443,592.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Jamie C Rehm - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 17

Name of Person Reporting
Steger, Christopher H.Date of Report
08/13/2017**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 17

Name of Person Reporting

Steger, Christopher H.

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08/13/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Suntrust Bank various accounts	A	Interest	K	T					
2. Campbell Family Investments, LLC	E	Int./Div.	M	U					
3. Genworth Life & Annuity Insurance Company Inherited Annuity (fixed)	D	Distribution	L	T					
4. The Coca-Cola Co. 401(k) (common trust fund) (not self-directed)		None			Distributed	04/11/16	N		
5. Northwestern Whole Life Policies	D	Dividend	M	T					
6. Rental Property, Nashville, TN (2015 \$267,000)		None	N	R					
7. TRUST #1 (H)									
8. - American Airlines Group, Inc.		None			Sold	01/25/16	K		
9. - AQR Managed Futures Strategy HV Mutual Fund		None			Sold	09/27/16	L		
10. - BAC Capital Trust XIV	A	Interest			Buy	06/22/16	K		
11.					Buy (add'l)	08/05/16	K		
12.					Sold	10/03/16	L	B	
13. - BB&T Corporation (formerly National Penn Bancshares, Inc.)	A	Dividend			Sold	09/01/16	K	A	
14. - Center Coast MLP and Infrastructure Mutual Fund		None			Sold	09/27/16	J		
15. - Central Valley Fresno School District Calif Municipal Bond	B	Interest			Sold	10/03/16	K	C	
16. - Charles Schwab Deposit Accounts (X)	A	Interest	O	T					
17. - Chattanooga Tennessee Muni Bonds	A	Interest			Sold	10/03/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 17

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18. - Chicago Illinois Housing Authority Municipal Bond	B	Interest			Sold	10/03/16	K	B	
19. - Cole Credit Property Trust REIT	D	Dividend	L	T					
20. - El Paso Texas Municipal Bond		None			Buy	08/15/16	J		
21.					Sold	10/03/16	J		
22. - Finney Cnty Kansas School District Muni Bond	A	Interest			Sold	12/30/16	J	A	
23. - First Niagara Financial Group, Inc.		None			Sold	01/21/16	J		
24. - Florida State Dept Municipal Bond	B	Interest			Sold	12/30/16	K	C	
25. - General Electric		None			Buy	09/14/16	K		
26.					Sold	10/03/16	K		
27. - Jensen Quality Growth Mutual Fund	A	Dividend			Sold	09/27/16	K	D	
28. - JPMorgan Chase Capital XXIII		None			Buy	08/29/16	K		
29.					Sold	10/03/16	K	A	
30. - Kindred School District California Municipal Bond	A	Interest			Sold	10/03/16	J	B	
31. - Lincoln National		None			Buy	08/23/16	K		
32.					Sold	10/03/16	K	B	
33. - Matthews Asia Dividend Mutual Fund (X)		None			Sold	01/21/16	J		
34. - Mellon Capital IV	B	Interest			Buy	04/27/16	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 17

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35.					Buy (add'l)	08/31/16	K		
36.					Sold	10/03/16	L	C	
37. - Nestle SA		None			Sold	08/08/16	J	A	
38. - N Texas Tollway Authority Municipal Bond	B	Interest			Sold	12/30/16	K	A	
39. - Phillips Edison Grocery Center REIT II	C	Dividend	K	T					
40. - Schwab Short-Term US Treasury ETF	B	Dividend			Sold	09/29/16	M	A	
41. - Schwab US Dividend Equity ETF		None			Sold	09/29/16	J	B	
42. - Select Income REIT	A	Dividend			Sold	09/29/16	J	C	
43. - Small Business Administration		None			Buy	09/14/16	K		
44.					Sold	10/03/16	K		
45. - Southern Company	A	Dividend			Sold	09/29/16	K	C	
46. - SPDR Gold Shares ETF		None			Buy	04/21/16	K		
47.					Sold	05/23/16	K	A	
48. - State Street Capital Trust		None			Buy	08/19/16	K		
49.					Sold	10/03/16	K		
50. - USB Capital IX	A	Interest			Buy	05/11/16	K		
51.					Sold	10/03/16	K	B	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 17

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Date of Report

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52. - Vanguard Market Neutral Inv Fund		None			Buy	03/31/16	K		
53.					Sold	12/30/16	K		
54. - Verizon Communications	A	Dividend			Buy (add'l)	04/26/16	J		
55.					Sold	09/29/16	K	B	
56. TRUST #2 (H)									
57. - AQR Managed Futures Strategy HV Mutual Fund		None			Sold	01/01/16	J		
58. - Schwab Govt Money Market Fund		None			Sold	01/01/16	J		
59. - Matthews Asia Dividend Mutual Fund		None			Sold	01/01/16	J		
60. - Schwab Short-Term US Treasury ETF		None			Sold	01/01/16	J		
61. BROKERAGE #1 (H)									
62. - American Airlines Group, Inc. (X)		None			Sold	01/20/16	J		
63. - Atlas Pipeline Partners, L.P. (Y)									
64. - BAC Capital Trust XIV	A	Interest			Buy	06/17/16	J		
65.					Buy (add'l)	08/02/16	J		
66.					Sold	09/28/16	K	A	
67. - Blackrock Debt Strategies Mutual Fund (Y)									
68. - Blackrock Floating Rate Income Mutual Fund (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 8 of 17

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Steger, Christopher H.

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69. - Charles Schwab Deposit Accounts (X)	A	Interest	K	T					
70. - Crestwood Midstream Partners, L.P. (Y)									
71. - Eaton Vance Floating Rate Income Plus Mutual Fund (Y)									
72. - General Electric	A	Interest			Buy	04/20/16	J		
73.					Sold	10/03/16	J	A	
74. - Indiana Municipal Power Supply Municipal Bond					Sold	12/30/16	J		
75. - Jacksonville Electric Authority FL Municipal Bond (Y)									
76. - Kayne Anderson Midstream Energy Mutual Fund (Y)									
77. --Kentucky State Certificates Municipal Bond	A	Interest			Sold	09/28/16	J	A	
78. - Matthews Asia Dividend Mutual Fund (Y)									
79. - Mellon Capital IV	A	Interest			Buy	04/20/16	J		
80.					Sold	10/03/16	J	B	
81. - Metro Nashville Airport Authority Municipal Bond		None			Sold	10/03/16	J	A	
82. - New York City Municipal Water Municipal Bond	A	Interest	J	T					
83. - North Texas Tollway Authority Municipal Bond	B	Interest			Sold	12/30/16	K	B	
84. - Northstar Realty Finance Corp. REIT (Y)									
85. - Nuveen Credit Strategies Income Mutual Fund (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 17

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86. - Schwab Govt Money Market Fund		None	L	T	Buy (add'l)	12/16/16	M		
87.					Sold	08/08/16	L		
88. - Schwab Short-Term US Treasury ETF		None			Sold	09/29/16	K	A	
89. - SPDR Gold Shares ETF		None			Buy	04/18/16	J		
90.					Sold	05/18/16	J	A	
91. - SunTrust Preferred Capital I	A	Interest			Buy	06/06/16	J		
92.					Sold	10/03/16	J	A	
93. - Tortoise Energy Independence Mutual Fund (Y)									
94. - Tortoise Energy Infrastructure Mutual Fund (Y)									
95. - Tortoise Power and Energy Infra Mutual Fund (Y)									
96. - USB Capital IX		None			Buy	05/11/16	J		
97.					Sold	10/03/16	J	A	
98. - Vanguard Market Neutral Inv Fund		None			Buy	03/31/16	J		
99.					Sold	09/27/16	J		
100. - Verizon Communications		None			Buy	04/26/16	J		
101.					Sold	09/29/16	J	A	
102. BROKERAGE #2 (H)									

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FINANCIAL DISCLOSURE REPORT

Page 10 of 17

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103. - Arbitrage Mutual Fund	B	Dividend	M	T					
104. - Calamos Market Neutral Income Mutual Fund	C	Dividend	M	T					
105. - Charles Schwab Deposit Accounts	A	Interest	N	T					
106. - Gateway Mutual Fund	C	Dividend	M	T					
107. - Merger Mutual Fund Institutional Class	B	Dividend	M	T					
108. - Vanguard Ltd-Term Tax-Exempt Bond Fund	C	Dividend	N	T					
109. - Vanguard Mid-Cap Index Mutual Fund	A	Dividend	K	T	Buy	09/16/16	J		
110.					Buy (add'l)	10/17/16	J		
111.					Buy (add'l)	11/16/16	J		
112.					Buy (add'l)	12/16/16	J		
113. - Vanguard Small-Cap Index Mutual Fund	A	Dividend	K	T	Buy	09/16/16	J		
114.					Buy (add'l)	10/17/16	J		
115.					Buy (add'l)	11/16/16	J		
116.					Buy (add'l)	10/17/16	J		
117. - Vanguard Total Intl Stock Index Mutual Fund	C	Dividend	M	T	Buy (add'l)	03/16/16	K		
118.					Buy (add'l)	06/16/16	K		
119.					Buy (add'l)	09/16/16	K		

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FINANCIAL DISCLOSURE REPORT

Page 11 of 17

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	12/16/16	K		
121. - Vanguard Total Stock Market Index Mutual Fund	D	Dividend	O	T	Buy (add'l)	03/16/16	K		
122.					Buy (add'l)	06/16/16	M		
123.					Buy (add'l)	09/16/16	M		
124.					Buy (add'l)	12/16/16	K		
125. BROKERAGE #3 (H)									
126. - American Airlines Group, Inc. (X)		None			Sold	01/20/16	K		
127. - AQR Managed Futures Strategy HV Mutual Fund		None			Sold	09/26/16	K		
128. - Charles Schwab Deposit Accounts	A	Interest	M	T					
129. - BAC Capital Trust XIV	A	Interest			Buy	06/17/16	K		
130.					Buy (add'l)	08/02/16	J		
131.					Sold	09/28/16	K	B	
132. - BB&T (formerly National Penn Bancshares, Inc.)	A	Dividend			Sold	09/26/16	J		
133. - Chattanooga Tennessee Municipal Bonds	A	Interest			Sold	09/28/16	K	A	
134. - Clearbridge Energy MLP Opport Mutual Fund (Y)									
135. - General Electric	A	Interest			Buy	04/15/16	K		
136.					Sold	09/28/16	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 17

Name of Person Reporting

Steger, Christopher H.

Date of Report

08/13/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Indiana Municipal Power Supply Municipal Bond	A	Interest			Sold	01/13/16	J	A	
138.					Buy	01/13/16	J		
139.					Sold	12/29/16	J		
140. - Jacksonville Electric Authority FL Municipal Bond	B	Interest			Sold	09/29/16	K	B	
141. - Matthews Asia Dividend Mutual Fund		None			Sold	01/20/16	J		
142. - Matthews Asian Growth and Income Mutual Fund (Y)									
143. - Mellon Capital IV	A	Interest			Buy	04/22/16	K		
144.					Sold	09/28/16	K	B	
145. - New York City Municipal Water Municipal Bond	A	Interest	J	T					
146. - North Texas Tollway Authority Municipal Bond	B	Interest			Sold	12/29/16	K		
147. - Schwab Short-Term US Treasury ETF	A	Dividend			Sold	09/26/16	L	A	
148. - Schwab US Dividend Equity ETF		None			Sold	09/26/16	J	B	
149. - Select Income REIT	A	Dividend			Sold	09/26/16	J	C	
150. - Southern Company	A	Dividend			Buy (add'l)	05/06/16	J		
151.					Sold	09/26/16	J	B	
152. - SPDR Gold Shares ETF		None			Buy	04/18/16	K		
153.					Sold	05/18/16	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 17

Name of Person Reporting

Steger, Christopher H.

Date of Report

08/13/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Spectra Energy Corporation (Y)									
155. - SunTrust Preferred Capital I	A	Interest			Buy	05/13/16	K		
156.					Sold	09/28/16	K	B	
157. - Tortoise Pipeline and Energy Mutual Fund (Y)									
158. - USB Capital IX	A	Interest			Buy	05/06/16	K		
159.					Sold	09/28/16	K	B	
160. - Vanguard Market Neutral Inv Fund		None			Buy	03/30/16	K		
161.					Sold	09/28/16	K		
162. - Verizon Communications		None			Buy	04/21/16	J		
163.					Sold	09/26/16	J	A	
164. BROKERAGE #4 (H)									
165. - Charles Schwab Deposit Accounts (X)	A	Interest	J	T					
166. - Fidelity Government Cash Reserves (Y)									
167. - Ishares Core US Aggregate Bond ETF		None			Sold	05/09/16	K	A	
168. - Ishares MSCI Frontier 100 ETF		None			Sold	05/09/16	K	A	
169. - Ishares TIPS Bond ETF		None			Sold	05/19/16	J	A	
170. - LJM Preservation and Growth Mutual Fund		None			Sold	05/09/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 14 of 17

Name of Person Reporting

Steger, Christopher H.

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08/13/2017

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Oppenheimer Steelpath MLP Alpha Y Mutual Fund		None			Sold	05/09/16	J		
172. - Powershares ETF Trust II		None			Sold	05/09/16	J	A	
173. - Powershares QQQ Trust		None			Sold	05/09/16	J	D	
174. - SPDR Barclays High Yield Bond ETF		None			Sold	05/09/16	J		
175. - Vanguard FTSE Developed Markets ETF	C	Dividend	M	T	Buy (add'l)	05/09/16	L		
176. - Vanguard FTSE Emerging Markets ETF		None			Sold	05/09/16	K		
177. - Vanguard Growth ETF		None			Sold	05/09/16	J	D	
178. - Vanguard Mid-Cap ETF	B	Dividend	M	T	Buy (add'l)	05/09/16	L		
179. - Vanguard S&P 500 ETF	C	Dividend	N	T	Buy	05/09/16	M		
180.					Buy (add'l)	12/19/16	M		
181. - Vanguard Small-Cap ETF	B	Dividend	M	T	Buy	05/09/16	M		
182. - Vanguard Value ETF		None			Sold	05/09/16	K	D	
183. BROKERAGE #5 (H)									
184. - Fidelity Government Cash Reserves (Y)									
185. - iShares Core US Aggregate Bond ETF		None			Sold	05/09/16	K	A	
186. - iShares MSCI Frontier 100 ETF		None			Sold	05/09/16	K	A	
187. - iShares TIPS Bond ETF		None			Sold	05/09/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 15 of 17

Name of Person Reporting

Steger, Christopher H.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - LJM Preservation and Growth Mutual Fund		None			Sold	05/09/16	J	A	
189. - Oppenheimer Steelpath MLP Alpha Y Mutual Fund		None			Sold	05/09/16	J		
190. - Powershares ETF Trust II		None			Sold	05/09/16	J	A	
191. - Powershares QQQ Trust		None			Sold	05/09/16	J	D	
192. - SPDR Barclays High Yield Bond ETF		None			Sold	05/09/16	J		
193. - Vanguard FTSE Developed Markets ETF (Y)									
194. - Vanguard FTSE Emerging Markets ETF		None			Sold	05/09/16	J		
195. - Vanguard Growth ETF		None			Sold	05/09/16	J	D	
196. - Vanguard Mid-Cap ETF (Y)									
197. - Vanguard Value ETF		None			Sold	05/09/16	K	D	
198.									
199.									
200.									
201.									
202.									
203.									
204.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 17

Name of Person Reporting

Steger, Christopher H.

Date of Report

08/13/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Part VII, Lines 63 - Asset was sold in 2015. The 2015 income from the asset was reportable on the initial report. The completion of Subpart D "Transactions" was not required.
- 2) Part VII, Line 67-68 - Asset was sold in 2015. The 2015 income from the asset was reportable on the initial report. The completion of Subpart D "Transactions" was not required.
- 3) Part VII, Line 70-71 - Asset was sold in 2015. The 2015 income from the asset was reportable on the initial report. The completion of Subpart D "Transactions" was not required.
- 4) Part VII, Line 75-76 - Asset was sold in 2015. The 2015 income from the asset was reportable on the initial report. The completion of Subpart D "Transactions" was not required.
- 5) Part VII, Line 78 - Asset was sold in 2015. The 2015 income from the asset was reportable on the initial report. The completion of Subpart D "Transactions" was not required.
- 6) Part VII, Line 84-85 - Asset was sold in 2015. The 2015 income from the asset was reportable on the initial report. The completion of Subpart D "Transactions" was not required.
- 7) Part VII, Line 93-95 - Asset was sold in 2015. The 2015 income from the asset was reportable on the initial report. The completion of Subpart D "Transactions" was not required.
- 8) Part VII, Line 134 - Asset was sold in 2015. The 2015 income from the asset was reportable on the initial report. The completion of Subpart D "Transactions" was not required.
- 9) Part VII, Line 142 - Asset was sold in 2015. The 2015 income from the asset was reportable on the initial report. The completion of Subpart D "Transactions" was not required.
- 10) Part VII, Line 154 - Asset was sold in 2015. The 2015 income from the asset was reportable on the initial report. The completion of Subpart D "Transactions" was not required.
- 11) Part VII, Line 157 - Asset was sold in 2015. The 2015 income from the asset was reportable on the initial report. The completion of Subpart D "Transactions" was not required.
- 12) Part VII, Line 193 - Transferred to Brokerage #4.
- 13) Part VII, Line 196 - Transferred to Brokerage #4.
- 14) Part VII, Line 4 - Rollover to Brokerage #4.

FINANCIAL DISC

Page 17 of 17

IX. CERTIFICATION

I certify that all information
accurate, true, and complete to
provisions permitting non-disc

I further certify that earned
compliance with the provision