

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Elrod, Jennifer W.	2. Court or Organization Fifth Circuit Court of Appeals	3. Date of Report 07/07/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Court of Appeals, Active Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 515 Rusk Avenue, 12th Floor Houston, TX 77002-2603		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Regents Member	Baylor University
2. Board Member	Garland R. Walker American Inn of Court
3. Advisory Board Member	Baylor University Center for Christian Music Studies
4. Emeritus Board Member (non-voting)	Texas Center for Legal Ethics
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2018	Employee Retirement System of Texas (since 2002), no control - not vested
2. 2018	Texas County and District Retirement System (since 2002), no control - not vested
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Talen Energy - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Legal Services Corp.	1/22/2018	Nashville, TN	Attended Board Meeting	Travel, Lodging, and Meals
2. Baylor University	02/14 - 16/2018	Waco, TX	Attended Board Meeting	Lodging and Meals
3. Baylor University	2/22/2018	Waco, TX	Attended Board Meeting	Meals
4. Pepperdine Law School	3/14-15/2018	Malibu, CA	Speaking at Wm. Matthew Byrne, Jr., Judicial Clerkship Institute	Travel, Lodging, and Meals
5. Legal Services Corp.	4/09-10/2018	Washington, DC	Speaking at Supreme Court Reception	Travel, Lodging, and Meals

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6.	American Academy of Appellate Lawyers	4/13/2018	New Orleans, LA	Speaking at Spring Meeting	Travel, Lodging, and Meals
7.	Boston College Law School	4/18-19/2018	Boston, MA	Judging at the Grimes Moot Court Competition	Travel, Lodging, and Meals
8.	American Law Institute	5/21-22/2018	Washington, DC	Annual Meeting	Travel, Lodging, and Meals
9.	Baylor University	5/9-11/2018	Waco, TX	Attended Board Meeting	Lodging and Meals
10.	Baylor University	7/17-20/2018	Waco, TX	Attended Board Meeting	Lodging and Meals
11.	Baylor University	10/31-11/2/2018	Waco, TX	Attended Board Meeting	Lodging and Meals
12.	Harvard Law School	11/13-14/2018	Cambridge, MA	Judging at the Ames Moot Court	Travel, Lodging, and Meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Bank	Credit Card	K
2.	American Express	Credit Card	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. AF TRGT DATE 2030 (FIDELITY 401k)	B	Int./Div.	L	T	Buy (add'l)	01/05/18	J		
2.					Buy (add'l)	01/19/18	J		
3.					Buy (add'l)	02/02/18	J		
4.					Buy (add'l)	02/16/18	J		
5.					Buy (add'l)	03/02/18	J		
6.					Buy (add'l)	04/02/18	J		
7.					Buy (add'l)	04/13/18	J		
8.					Buy (add'l)	04/27/18	J		
9.					Buy (add'l)	05/11/18	J		
10.					Buy (add'l)	05/25/18	J		
11.					Buy (add'l)	06/11/18	J		
12. CHARLES SCHWAB US REIT ETF	A	Dividend	J	T					
13. Fidelity GNMA Fund	A	Dividend	J	T					
14. Fidelity Inflat-Prot Bond [Index Premium]	A	Dividend	K	T	Sold (part)	11/28/18	J	A	
15. Fidelity Total Bond	A	Dividend	K	T	Sold (part)	11/28/18	J	A	
16. Fidelity Total Mkt [Index] Premium	C	Distribution	M	T	Sold (part)	11/28/18	J	D	
17. Fidelity U.S. Bond Index Premium	A	Int./Div.	K	T	Sold (part)	11/28/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Goldman Sachs Bk CD	A	Interest			Matured	06/25/18	K	A	
19. iShares Core Total US Bond ETF	B	Dividend	L	T					
20. iShares Gold ETF		None	J	T	Sold (part)	06/04/18	J	A	
21. iShares High Yield Corp Bond ETF	A	Dividend			Sold	06/04/18	J	A	
22. iShares Trust MSCI Total Intl Stk	C	Dividend	N	T					
23. Pimco Short Term Admin SHS	A	Dividend	J	T	Sold (part)	11/28/18	J	A	
24. SCH US AGG Bond ETF	A	Dividend	L	T					
25. SCHWAB EMERGING MARKETS EQUITY ETF	A	Dividend	J	T	Sold (part)	06/04/18	J	A	
26. SCHWAB FUNDA EMG MKTS LARGE COM ETF	A	Dividend	J	T					
27. SCHWAB FUNDAMENTAL INL LARGE COM ETF	A	Dividend	K	T					
28. SCHWAB FUNDAMENTAL INTL SMAL COM ETF	A	Dividend	J	T					
29. SCHWAB FUNDAMENTAL US LARGE CO ETF	A	Dividend	K	T	Buy (add'l)	12/18/18	J		
30. SCHWAB FUNDAMENTAL US SMALL COM ETF	A	Dividend	K	T	Buy (add'l)	12/18/18	J		
31. SCHWAB INTERMEDIATE TERMUS TRS ETF	A	Dividend	K	T					
32. SCHWAB INTERNATIONAL EQUITY ETF	A	Dividend	J	T					
33. SCHWAB FUNDAMENTAL SMALLCAP EQY ETF	A	Dividend	J	T					
34. SCHWAB TOTAL STK MKT-SEL	D	Distribution	N	T	Sold (part)	01/03/18	K	D	

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35.					Sold (part)	02/07/18	K	D	
36. SCHWAB US LARGE CAP ETF	A	Dividend	K	T					
37. SCHWAB US SMALL CAP ETF	A	Dividend	J	T					
38. SCHWAB US TIPS ETF	A	Dividend	J	T	Buy	06/04/18	J		
39. SPDR INTRMDT TRM CRPRATEBND ETF	A	Dividend	J	T	Sold (part)	06/04/18	J	A	
40. Van Eck Market Vee Localcur Bnd	B	Dividend	J	T	Sold (part)	06/04/18	J	D	
41. Vanguard Gbl Ex US Real Estad te	A	Dividend	J	T					
42. Vanguard Lifestrategy Growth	D	Distribution	M	T					
43. VANGUARD MORTGAGE BACKEDSEC ETF	A	Distribution	J	T	Buy	06/04/18	J		
44. XTRACKERS USD HIGH YIELDCOR BND ETF	A	Dividend	J	T	Buy	06/04/18	J		
45. Vanguard College Savings Iowa 529 Age - based Savings Track A	A	Dividend	K	T					
46. Texas County and District Retirement System	A	Int./Div.	J	T					
47. Employee Retirement System of Texas	B	Interest	L	T					
48. Talen Midco LLC (non-vested)		None	J	U					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

n/a

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jennifer W. Elrod**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544