

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Waldor, Cathy L.	2. Court or Organization US District Court, New Jersey	3. Date of Report 05/12/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address US District Court 50 Walnut Street MLK Building Newark, NJ 07102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

Rex Vinegar Company - self employed business executive

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. (H) Brokerage Account #1 (44)									
2. Clearbridge Tactical Dividend Income Fund C1 C	C	Dividend	L	T	Sold (part)	11/19/15	K		
3. Gugenheim Small Cap Value Fund C1 C	B	Dividend	K	T					
4. Mainstay Epoch Global Equity Yield C1 C	C	Dividend	L	T					
5. Principal Investors Preferred Securities Fund C1 C	B	Dividend	K	T					
6. RS Floating Rate Fund C1 C	A	Dividend			Sold	03/03/15	K		
7. Franklin Templeton Income Fund C1 C	D	Dividend	L	T	Sold (part)	09/02/15	L		
8. Thornburg Income Builder C1 C	D	Dividend	L	T	Sold (part)	11/19/15	M		
9. Goldman Sachs Income Builder Fund C1 C	C	Dividend			Sold	11/19/15	M		
10. Market Vectors High Yield Muni ETF	B	Dividend	K	T					
11. AIG Sun America Polaris Choice IV - Annuity		None	M	T					
12. MetLife Investors USA Ins. Co. Series L - Annuity		None	M	T					
13. American Funds Income Fund of America C	B	Dividend	L	T					
14. Eaton Vance R Bernstein All Asset Strategy Fund C	A	Dividend	K	T					
15. Integrity Williston BSN/MD-N Ameri Stock Fund C	B	Dividend			Sold	08/24/15	K		
16. Janus Balanced Fund C	D	Dividend	M	T					
17. JP Morgan Income Builder Class C	C	Dividend	K	T	Sold (part)	11/19/15	L		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Mainstay High Yield Muni Bond Fund Cl C	C	Dividend	M	T					
19. Principal Small Cap Dividend Income Fund C	B	Dividend	L	T					
20. SunAmerica Focused Dividend Strategy Fund C	B	Dividend	K	T					
21. Unts FT US EQ C/E Port Ser 12	B	Dividend	K	T					
22. American Funds Capital Income Builder C	B	Dividend	L	T	Buy	03/03/15	K		
23.					Buy (add'l)	03/17/15	L		
24. Cohen & Steers Preferred Sec & Inc Fund Cl C (X)	B	Dividend	L	T					
25. Mainstay Funds Tax Free Bond Cl A	D	Dividend	N	T	Buy	04/01/15	N		
26. Nuveen Core Dividend Fund Cl C	B	Dividend	L	T	Buy	11/19/15	L		
27. Nuveen Large Cap Core Fund Cl C	B	Dividend	M	T	Buy	08/24/15	K		
28.					Buy	09/02/15	M		
29. Principal Global Diversified Income Fund Cl C (X)	A	Dividend			Sold	04/07/15	L		
30.			K	T	Buy	11/19/15	L		
31. Putnam Investors Fund Class C	A	Dividend	L	T	Buy	11/19/15	M		
32. Thromburg Global Opportunities Class C		None	M	T	Buy	11/19/15	M		
33. Transamerica Life Insurance Co B-Share - Annuity		None	M	T	Buy	03/20/15	M		
34. Voya Floating Rate Fund Class C	A	Dividend	K	T	Buy	11/19/15	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Voya Intermediate Bond Fund C1 C	A	Dividend	K	T	Buy	03/03/15	K		
36.									
37. (H) Brokerage Account #2 (45)									
38. SPDR Nuveen Barclays Short Term Bond ETF	A	Dividend	K	T	Sold (part)	03/30/15	K		
39. iShares Trust Russell 2000 Index ETF	A	Dividend	K	T					
40. iShares Trust Core S&P Mid Cap ETF	A	Dividend	L	T					
41. iShares TIPS Bond ETF	A	Dividend	K	T					
42. Vanguard Index Funds Vanguard Growth ETF	B	Dividend	L	T	Sold (part)	03/30/15	K	D	
43. Vanguard Total Stock Market ETF	C	Dividend	M	T					
44. Vanguard Index Fund S&P 500 ETF	C	Dividend	M	T	Sold (part)	03/30/15	K	E	
45. Vanguard Divid Appreciation ETF	B	Dividend	L	T					
46. Vanguard Mega Cap ETF	B	Dividend	L	T	Sold (part)	03/30/15	K	D	
47. Market Vectors Short Municipal Index ETF	A	Dividend	K	T					
48. Market Vectors High Yield Muni ETF	B	Dividend	K	T					
49. First Trust Dorsey Wright FO ETF	A	Dividend	K	T	Buy (add'l)	03/30/15	K		
50. Principal Small Mid Cap Div P (X)	B	Dividend			Sold	09/02/15	L		
51.			K	T	Buy	12/22/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. Financial Sector SPDR Trust ETF	A	Dividend	J	T	Buy	11/17/15	J		
53. First Trust Preferred Security & Inc ETF	A	Dividend	K	T	Buy	03/30/15	K		
54. Janus Triton Fund A (X)	B	Dividend			Sold	12/22/15	K		
55. Market Vectors Trust Inter Municipal Index ETF	A	Dividend	K	T	Buy	03/30/15	K		
56. Voya Floating Rate Fund CL I	A	Dividend	K	T	Buy	11/17/15	K		
57. Wisdomtree Trust Small Cap Dividend Fund ETF	A	Dividend	L	T	Buy	09/02/15	L		
58. Wisdomtree Trust Europe Hedged Equity Fund ETF	A	Dividend			Buy	03/30/15	K		
59.					Sold	11/17/15	K		
60. (H) Brokerage Account #3 (41)									
61. Vanguard Index Funds Vanguard Growth ETF		None			Merged (with line 42)	03/09/15	K		
62. Vanguard Index FDS S&P 500 ETF SHS		None			Merged (with line 44)	03/09/15	L		
63. Vanguard Total Stock Mkt ETF		None			Merged (with line 43)	03/09/15	L		
64. Vanguard Mega Cap ETF DE		None			Merged (with line 46)	03/09/15	K		
65. Vanguard Divid Appreciation ETF		None			Merged (with line 45)	03/09/15	K		
66. SPDR Nuveen Barclays Short Term BD ETF	A	Dividend			Merged (with line 38)	03/09/15	K		
67. iShares Tips Bond ETF DE		None			Merged (with line 41)	03/09/15	J		
68. iShares Trust Core S&P Mid Cap ETF		None			Merged (with line 40)	03/09/15	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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69. iShares Trust Russell 2000 Index Fund		None			Merged (with line 39)	03/09/15	K		
70. Market Vectors High Yield Muni ETF	A	Dividend			Merged (with line 48)	03/09/15	K		
71. Market Vectors Trust Short Municipal Index ETF	A	Dividend			Merged (with line 47)	03/09/15	J		
72. Janus Triton Fund SHS		None			Merged (with line 54)	03/09/15	K		
73.									
74. (H) Brokerage Account #4 (40)									
75. Principal Global Diversified Income Fund	A	Dividend			Merged (with line 29)	03/10/15	L		
76. Clearbridge Tactical Dividend Income	A	Dividend			Merged (with line 2)	03/10/15	K		
77. Principal-Smallcap Dividend Income Funds	A	Dividend			Merged (with line 19)	03/10/15	K		
78. Cohen & Steers Preferred Sec & Inc Fund C	A	Dividend			Merged (with line 24)	03/10/15	L		
79. Franklin Income Fund C	B	Dividend			Merged (with line 7)	03/10/15	M		
80.									
81. (H) Brokerage Account #5 (51)									
82. Principal MidCap Fund Class C (X)	B	Dividend	K	T					
83. Blackrock Basic Value Fund C	B	Dividend	K	T					
84. Blackrock Global Allocation Fund Inc C	C	Dividend	L	T					
85. Franklin Templeton Mutual Global Discover Fund C1 C	D	Dividend	L	T					

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86. Franklin Templeton Income C	A	Dividend	K	T					
87. American Funds American Balanced Fund Cl C	B	Dividend	K	T					
88. First Eagle Global Fund Cl C	A	Dividend	L	T					
89. Ivy Asset Strategy fund Cl C (X)	A	Dividend	J	T	Distributed (part)	03/11/15	L		
90. JP Morgan Income Builder	A	Dividend			Merged (with line 170)	03/11/15	L		
91. Thornburg Income Builder Cl C	B	Dividend	K	T					
92. Goldman Sachs Income Builder Funds C	B	Dividend	K	T					
93. Putnam Capital Spectrum Fund C	B	Dividend	K	T	Buy	03/30/15	K		
94.									
95. (H) Brokerage Account #6 (52)									
96. Vanguard Bond Index Fund Inc. Total Bond Mkt ETF	A	Dividend	K	T	Sold (part)	06/11/15	J		
97.					Buy (add'l)	09/25/15	J		
98.					Buy (add'l)	10/16/15	J		
99. iShares Russell MidCap ETF	A	Dividend	J	T	Sold (part)	04/24/15	J	A	
100. SPDR S&P 500 ETF TR	A	Dividend	K	T	Sold (part)	04/01/15	J	C	
101.					Sold (part)	06/11/15	J	B	
102.					Buy (add'l)	09/25/15	J		

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103. Riverpark/Wedgewood Institutional Funds (X)	B	Dividend	K	T	Sold (part)	06/11/15	J	C	
104.					Buy (add'l)	09/25/15	J		
105.					Buy (add'l)	10/16/15	J		
106. Oppenheimer Senior Floating Rate Fund Class Y	A	Dividend	J	T	Sold (part)	06/11/15	J		
107. FMI Large Cap Fund	C	Dividend	K	T	Sold (part)	04/01/15	J	A	
108.					Sold (part)	06/11/15	J	B	
109.					Buy (add'l)	09/25/15	J		
110.					Buy (add'l)	10/16/15	J		
111. Mainstay ICAP International Fund A	A	Dividend	J	T	Sold (part)	06/11/15	J	B	
112.					Buy (add'l)	09/25/15	J		
113. John Hancock Disciplined Value Mid Cap Fund C1 A	A	Dividend	J	T	Sold (part)	06/11/15	J	B	
114.					Buy (add'l)	09/25/15	J		
115. Manning & Napier World Opportunities	A	Dividend	J	T	Sold (part)	06/11/15	J	A	
116.					Sold (part)	07/01/15	J	A	
117.					Buy (add'l)	10/16/15	J		
118. JP Morgan Core Bond Fund	A	Dividend			Sold (part)	06/11/15	J		
119.					Buy (add'l)	09/25/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Waldor, Cathy L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold	11/13/15	J		
121. Virtus Multi-Sector Short Term Bond Fund CL A	A	Dividend	J	T	Sold (part)	04/01/15	J		
122.					Sold (part)	06/11/15	J		
123.					Buy (add'l)	10/16/15	J		
124. Baird MidCap Fund Institutional Class	A	Dividend	J	T	Sold (part)	06/11/15	J	A	
125.					Buy (add'l)	10/16/15	J		
126. Chartwell Small Cap Value I Share	A	Dividend	J	T	Sold (part)	06/11/15	J	A	
127. Gabelli Small Cap Growth Fund Class I	A	Dividend	J	T	Sold (part)	06/11/15	J	A	
128.					Buy (add'l)	10/16/15	J		
129. iShares 7-10 Year Treasury Bond ETF	A	Dividend	J	T	Sold (part)	06/11/15	J		
130.					Buy (add'l)	09/25/15	J		
131. SPDR S&P Emerging Asia Pac ETF	A	Dividend			Buy (add'l)	04/01/15	J		
132.					Sold (part)	06/11/15	J	A	
133.					Sold (part)	09/08/15	J		
134.					Sold (part)	09/09/15	J		
135.					Sold	09/10/15	J		
136. Vanguard Charlotte Funds Total International Bond Index Fund EFT	A	Dividend			Sold (part)	04/01/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	06/11/15	J	A	
138.					Sold	10/09/15	J	A	
139. Vanguard Total Stock Market ETF	A	Dividend			Sold	04/01/15	J	A	
140. iShares MSCI Japan ETF	A	Dividend	J	T	Buy	04/01/15	J		
141.					Sold (part)	06/11/15	J	A	
142.					Buy (add'l)	09/25/15	J		
143.					Sold (part)	10/13/15	J		
144. iShares MSCI Eurozone ETF	A	Dividend	J	T	Buy	10/13/15	J		
145. iShares MSCI EAFE ETF	A	Dividend	J	T	Buy	04/01/15	J		
146.					Sold (part)	06/11/15	J	A	
147.					Buy (add'l)	09/25/15	J		
148. iShares MSCI ACWI EX US ETF	A	Dividend	J	T	Buy	07/01/15	J		
149.					Buy (add'l)	10/16/15	J		
150. iShares IBOXX \$ Invst Grade Corporate Bond ETF	A	Dividend	J	T	Buy	10/09/15	J		
151. Pimco Income Fund C1 P	A	Dividend	J	T	Buy	11/13/15	J		
152. Wisdomtree Trust Europe Hedged Equity Fd ETF	A	Dividend	J	T	Buy	04/01/15	J		
153.					Sold (part)	06/11/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	10/16/15	J		
155.									
156. (H) IRA #1 (42)									
157. First Eagle Global Fund Class C	A	Dividend	L	T					
158. Blackrock Equity Dividend Fund C	D	Dividend	K	T					
159. Blackrock Global Allocation Fund C	B	Dividend	K	T					
160. Franklin Templeton Mutual Global Discovery Fund Cl C	A	Dividend	J	T					
161. Franklin Templeton Income C	B	Dividend	K	T					
162. American Funds American Balanced Fund Cl C	B	Dividend	L	T					
163.									
164. (H) IRA #2 (43)									
165. American Funds American Balanced Fund Cl C	A	Dividend	J	T					
166. Blackrock Global Allocation Fund Cl C	C	Dividend	K	T					
167.									
168. (H) (X) IRA #3 (13)									
169. Ivy Asset Strategy Fd Cl C (X)	C	Dividend	L	T					
170. JP Morgan Income Builder Cl C (X)	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.									
172. AXA Equitable Flexible Premium Variable Life Insurance #1		None	K	T					
173. AXA Equitable Flexible Premium Variable Life Insurance #2		None	M	T					
174.									
175. (H) Brokerage Account #7 (29)									
176. Vanguard Index Funds Vanguard Growth ETF		None			Merged (with line 42)	03/18/15	K		
177. Vanguard Index FDS S&P 500 ETF SHS		None			Merged (with line 44)	03/18/15	L		
178. Vanguard Total Stock Mkt ETF		None			Merged (with line 43)	03/18/15	L		
179. Vanguard Mega Cap ETF DE		None			Merged (with line 46)	03/18/15	K		
180. Vanguard Divid Appreciation ETF		None			Merged (with line 45)	03/18/15	K		
181. SPDR Nuveen Barclays Short Term BD ETF	A	Dividend			Merged (with line 38)	03/18/15	K		
182. iShares Tips Bond ETF DE		None			Merged (with line 41)	03/18/15	J		
183. iShares Trust Core S&P Mid Cap ETF		None			Merged (with line 40)	03/18/15	K		
184. iShares Trust Russell 2000 Index Fund		None			Merged (with line 39)	03/18/15	K		
185. Market Vectors High Yield Muni ETF	A	Dividend			Merged (with line 48)	03/18/15	K		
186. Market Vectors Trust Short Municipal Index ETF	A	Dividend			Merged (with line 47)	03/18/15	J		
187. Principal Small Mid Cap Div		None			Merged (with line 50)	03/18/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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Waldor, Cathy L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.									
189. (H) Brokerage Account #8 (46)									
190. JP Morgan Income Builder Class C	A	Dividend			Merged (with line 17)	03/10/15	L		
191.									
192. (H) Brokerage Account #9 (71)									
193. Thornburg Income Builder Class C	A	Dividend			Merged (with line 8)	03/10/15	L		
194. Nuveen NJ Div Advantage 2% CI C	A	Int./Div.			Redeemed	02/09/15	L		
195.									
196. (H) (X) Cash Accounts #1									
197. Santander Cash Accounts (X)	A	Int./Div.	L	T					
198. Wells Fargo Cash Accounts (X)	A	Int./Div.	K	T					
199. UBS Cash Accounts (X)	A	Int./Div.	K	T					
200. TD Bank Cash Account (X)	A	Int./Div.	J	T					
201.									
202. Real Estate - Rental Property - Verona, Essex County, NJ	E	Rent	N	W					
203.									
204.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.									
206.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 24 - This asset was transferred from Brokerage Account #4, Line 78.

Line 29 - This asset was transferred from Brokerage Account #4, Line 75. It was sold completely on 04/07/15. A new purchase was made on 11/19/15.

Lines 50-51 - This asset was sold completely on 09/02/15. A new purchase was made on 12/22/15.

Line 54 - This asset was transferred from Brokerage Account #3, Line 72.

Line 82 - The gross value of this asset in Column C (1) of the 2014 Disclosure was misstated. The value code should have been K in 2014.

Line 89 - A partial transfer of this asset was made to IRA #3, Line 169. The remainder stayed in this account.

Line 103 - This asset was named Riverpark/Wedgewood Retail Funds in 2014.

Line 168 - This IRA #3 was established in 2015.

Line 169 - This asset was a partial transfer from Brokerage Account #5, Line 89.

Line 170 - This asset was transferred from Brokerage Account #5, Line 90.

Line 196 - This is a new account grouping for all cash accounts.

Line 197 - The asset previously was reported as Santander Bank Accounts. The name is changed to Santander Cash Accounts.

Line 198 - This asset was inadvertently omitted from the 2014 disclosure.

Line 199 - This asset was inadvertently omitted from the 2014 disclosure.

Line 200 - This is a new account established in 2015.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Cathy L. Waldor

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544