

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Elrod, Jennifer W.	2. Court or Organization Fifth Circuit Court of Appeals	3. Date of Report 08/10/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Court of Appeals, Active Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 515 Rusk Avenue, 12th Floor Houston, TX 77002-2603		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Regents Member	Baylor University
2. Board Member	Houston Urban Debate League
3. Board Member	Garland R. Walker American Inn of Court
4. Board Member	Federal Judges Association
5. Advisory Board Member	Baylor University Center for Christian Music Studies
6. Emeritus Board Member (non-voting)	Texas Center for Legal Ethics

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2015	Employee Retirement System of Texas (since 2002), no control - not vested
2. 2015	Texas County and District Retirement System (since 2002), no control - not vested
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Elrod, Jennifer W.

Date of Report

08/10/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Allconnect, Inc - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Baylor University	2/11/15 - 2/13/15	Waco, TX	Speaking at Law School and Board Meeting	Lodging and Meals
2. Texas Women Lawyers	2/27/15	Dallas, TX	Speaking at Conference	Travel and Meals
3. Federal Judge's Association	4/13/15 - 4/14/15	Washington, DC	Board Meeting	Travel, Lodging and Meals
4. Baylor University	5/14/15	Waco, TX	Board Meeting	Meals
5. University of Texas Law School	6/4/15 - 6/5/15	Austin, TX	Speaking to Law Students	Travel, Lodging and Meals
6. University of Illinois	9/9/15 - 9/11/15	Champaign, IL	Speaking at Law School	Travel, Lodging and Meals

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Elrod, Jennifer W.

Date of Report

08/10/2016

7.	Texas Employment Lawyers Association	10/8/15 - 10/11/15	San Miguel de Allende, Mexico	Speaking at Conference	Transportation, Housing, Meals
8.	Baylor University	10/21/15 - 10/22/15	Waco, TX	Board Meeting	Lodging, Meals

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Elrod, Jennifer W.

Date of Report

08/10/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Elrod, Jennifer W.

Date of Report

08/10/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Alley Bank CD	A	Interest	K	T	Buy	06/15/15	K		
2. Capital Bank CD	A	Interest	K	T	Buy	06/15/15	K		
3. Goldman Sachs Bk CD	A	Int./Div.	K	T	Buy	06/15/15	K		
4. Charles Schwab US REIT ETF	A	Distribution	J	T	Buy	06/08/15	J		
5. Fidelity Balanced Fund	D	Dividend	K	T	Buy (add'l)	10/09/15	J		
6. Fidelity GNMA Fund	A	Dividend	J	T					
7. Fidelity Government Income	B	Dividend	J	T					
8. Fidelity Growth & Income Fund	B	Dividend	K	T					
9. Fidelity Real Estate Investment	B	Dividend	K	T					
10. Fidelity Short Term Bond	A	Dividend	J	T					
11. Fidelity Total Bond	B	Dividend	J	T					
12. iShares Core S&P Total US Stock	A	Dividend	K	T					
13. iShares Core Total US Bond ETF	B	Dividend	L	T					
14. iShares Gold ETF	A	Distribution	J	T	Buy	06/08/15	J		
15.					Buy (add'l)	08/18/15	J		
16. iShares High Yield Corp Bond ETF	A	Dividend	K	T	Buy	06/08/15	J		
17.					Buy (add'l)	08/18/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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Elrod, Jennifer W.

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18. iShares Trust MSCI Total Intl Stk	D	Dividend	M	T	Buy (add'l)	01/07/15	M		
19. Janus Fund Class T	D	Dividend	K	T					
20. JH Total Stock Mkt Index Fund 401k	A	Dividend			Sold	08/15/15	K	C	
21. Landus International Marketmasters Fund	D	Distribution	L	T	Buy (add'l)	03/10/15	J		
22.					Sold (part)	06/12/15	K	A	
23.					Sold (part)	07/20/15	J	A	
24. Fidelity Merger Fund	A	Dividend	J	T					
25. Perkins Mid Cap Value Fund	D	Dividend	K	T					
26. Pimco Short Term Admin SHS	A	Dividend	J	T					
27. Pimco Total Return Admin SHS	A	Dividend	J	T					
28. SCH US AGG Bond ETF	B	Dividend	L	T					
29. Schwab MarketMgr International	A	Dividend			Sold	06/04/15	K	E	
30. Schwab Emerging Markets Equity ETF	A	Distribution	J	T	Buy	06/08/15	J		
31.					Buy (add'l)	08/18/15	J		
32. Schwab Funda EMG MKTS Large ETF	A	Distribution	J	T	Buy	06/08/15	J		
33.					Buy (add'l)	08/18/15	J		
34. Schwab Fundamental INL Larg Co ETF	A	Dividend	J	T	Buy	06/08/15	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

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35. Schwab Fundamental Intl Smal Co ETF	A	Dividend	J	T	Buy	06/08/15	J		
36. Schwab Fundamental US Large Co ETF	A	Dividend	K	T	Buy	06/08/15	K		
37.					Buy (add'l)	08/18/15	J		
38. Schwab Fundamental US Small Co ETF	A	Distribution	J	T	Buy	06/08/15	J		
39.					Buy (add'l)	08/18/15	J		
40. Schwab International Equity ETF	A	Distribution	J	T	Buy	06/08/15	J		
41.					Buy (add'l)	08/18/15	J		
42. Schwab International Smallcap Eqty ETF	A	Dividend	J	T	Buy	06/08/15	J		
43. Schwab Small Cap Index Fund	D	Distribution	L	T					
44. Schwab Total Stk Market	C	Distribution	N	T	Sold (part)	06/04/15	L	E	
45.					Sold (part)	06/12/15	M	D	
46.					Sold (part)	07/20/15	K	B	
47.					Sold (part)	10/26/15	J	C	
48.					Sold (part)	12/03/15	K		
49. Schwab US Large Cap ETF	A	Dividend	K	T	Buy	06/08/15	J		
50.					Buy (add'l)	08/18/15	J		
51. Schwab US Small Cap ETF	A	Dividend	J	T	Buy	06/08/15	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Elrod, Jennifer W.

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52. Templeton Global Bond	A	Dividend	J	T					
53. Van Eck Market Vec Localcur Bnd	A	Dividend	K	T	Buy	06/08/15	K		
54.					Buy (add'l)	08/18/15	J		
55. Vanguard Gbl Ex US Real Estate	A	Dividend	J	T	Buy	06/08/15	J		
56. Vanguard Lifestrategy Growth	C	Dividend	M	T					
57. Vanguard College Savings Iowa 529 Age - based Savings Track A	A	Dividend	K	T					
58. Texas County and District Retirement System	A	Interest	J	T					
59. Employee Retirement System of Texas	B	Interest	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Elrod, Jennifer W.

Date of Report

08/10/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

n/a

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Elrod, Jennifer W.

Date of Report

08/10/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Jennifer W. Elrod**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544