

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) LUNDIN, KEITH M.	2. Court or Organization USBC (M.D. TENN.)	3. Date of Report 05/01/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 701 BROADWAY CUSTOMS HOUSE, 2D FLOOR NASHVILLE, TN 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	TRUSTEE	FAMILY TRUST
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	CONTINUE	CALNORBAN ASSOC. (AGMT EDIT BK NEWSLETTER) (Now Thomson Reuters)
2.	CONTINUE	BANKRUPTCY PRESS, INC. (BOOK CONTRACT)
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2012	CALNORBAN ASSOCIATES (ROYALTIES) (Now Thomson Reuters)	\$13,200.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. DEBTORS BAR OF WESTERN MICHIGAN	1/13/2012 TO 1/16/2012	GRAND RAPIDS, MI	SPEAKER AT CLE MEETING	TRAVEL, FOOD & LODGING
2. STATE BAR OF ARIZONA	1/16/2012 TO 1/18/2012	PHOENIX, AZ	SPEAKER AT CLE MEETING	TRAVEL, FOOD & LODGING
3. AMERICAN BANKRUPTCY INSTITUTE	2/2/2012 TO 2/4/2012	SAN JUAN, PR	SPEAKER AT CLE MEETING	TRAVEL, FOOD & LODGING
4. NATIONAL ASSOCIATION OF CHAPTER 13 TRUSTEES	2/8/2012 TO 2/12/2012	INDIAN WELLS, CA	SPEAKER AT CLE MEETING	TRAVEL, FOOD & LODGING

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5.	ADVANCED CONSUMER BANKR. PRACTICE INSTITUTE	2/28/2012 TO 2/21/2012	ATLANTA, GA	SPEAKER AT CLE SEMINAR	TRAVEL, FOOD & LODGING
6.	NORTON BANKRUPTCY INSTITUTES	3/23/2012 TO 3/25/2012	LAS VEGAS, NV	SPEAKER AT CLE SEMINAR	TRAVEL, FOOD & LODGING
7.	OREGON STATE BAR	4/26/2012 TO 4/29/2012	SEATTLE, WA	SPEAKER AT CLE SEMINAR	TRAVEL, FOOD & LODGING
8.	BANKRUPTCY ASS'N OF SOUTHERN ILLINOIS	5/2/2012 TO 5/4/2012	FAIRVIEW HEIGHTS, IL	SPEAKER AT CLE SEMINAR	TRAVEL, FOOD & LODGING
9.	INDIANA CONTINUING LEGAL EDUCATION FORUM	5/14/2012 TO 5/16/2012	INDIANAPOLIS, IN	SPEAKER AT CLE SEMINAR	TRAVEL, FOOD & LODGING
10.	AMERICAN BANKRUPTCY INSTITUTE	6/7/2012 TO 6/9/2012	TRAVERSE CITY, MI	SPEAKER AT CLE SEMINAR	TRAVEL, FOOD & LODGING
11.	STATE BAR OF PENNSYLVANIA	6/13/2012 TO 6/15/2012	PITTSBURGH, PA	SPEAKER AT CLE SEMINAR	TRAVEL, FOOD & LODGING
12.	NORTON BANKRUPTCY INSTITUTES	6/21/2012 TO 6/26/2012	JACKSON HOLE, WY	SPEAKER AT CLE SEMINAR	TRAVEL, FOOD & LODGING
13.	NATIONAL ASS'N OF CHAPTER 13 TRUSTEES	7/8/2012 TO 7/11/2012	NEW ORLEANS, LA	SPEAKER AT CLE SEMINAR	TRAVEL, FOOD & LODGING
14.	NATIONAL ASS'N OF CHAPTER 13 TRUSTEES	7/12/2012 TO 7/15/2012	NEW ORLEANS, LA	SPEAKER AT CLE SEMINAR	TRAVEL, FOOD & LODGING
15.	OFFICE OF CH 13 TRUSTEE W.D. PA	10/7/2012 TO 10/10/2012	PITTSBURGH, PA	SPEAKER AT CLE SEMINAR	TRAVEL, FOOD & LODGING
16.	NATIONAL CONF. OF BANKRUPTCY JUDGES	10/23/2012 TO 10/26/2012	SAN DIEGO, CA	SPEAKER AT CLE SEMINAR	TRAVEL, FOOD & LODGING
17.	ALLEGHENY COUNTY BAR ASS'N	12/6/2012 TO 12/7/2012	PITTSBURGH, PA	SPEAKER AT CLE SEMINAR	TRAVEL, FOOD & LODGING

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. General M.M. CIB	A	Dividend	M	T					
2. General M.M. CIB (trust asset)	A	Dividend	J	T					
3. FORM (common stock)		None	J	T					
4. GSH (common stock)	A	Dividend	J	T					
5. TVIN (common stock)		None	J	T					
6. PAC (common stock)	A	Dividend			Sold	07/27/12	J	A	
7. IBOC (common stock)	A	Dividend	J	T					
8. TCAP (common stock)	A	Dividend	J	T					
9. BRKB (common stock)		None			Sold	05/25/12	K	A	
10. CMM (common stock)		None			Sold	11/06/12	J	A	
11. CRWN (common stock)		None	J	T					
12. EMC (common stock)		None			Sold	06/05/12	J	A	
13. GCI (common stock)	A	Dividend			Sold	07/27/12	J	A	
14. IGT (common stock)	A	Dividend	J	T					
15. JNJ (common stock)	A	Dividend	J	T					
16. LPSN (common stock)		None	J	T					
17. MSFT (common stock)	A	Dividend			Sold	05/25/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. NSR (common stock)		None	J	T					
19. RRC (common stock)	A	Dividend	J	T					
20. UPL (common stock)		None	J	T					
21. ARO (common stock)		None	J	T					
22. BPI (common stock)		None	J	T					
23. GLW (common stock)	A	Dividend			Sold	09/12/12	J	A	
24. ENOC (common stock)		None	J	T					
25. F (common stock)	A	Dividend	J	T					
26. INFN (common stock)		None	J	T					
27. IO (common stock)		None	J	T					
28. JBT (common stock)	A	Dividend	J	T					
29. PEB (common stock)	A	Dividend			Sold	07/27/12	J	A	
30. PDCE (common stock) (formerly PETD)		None	J	T					
31. ROIC (common stock)	A	Dividend	J	T					
32. SVU (common stock)	A	Dividend	J	T					
33. WY (common stock)	A	Dividend	J	T					
34. YONG (common stock)		None	J	T					

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35. BP (common stock) (X)	A	Dividend	J	T					
36. GTI (common stock) (X)		None	J	T					
37. IAG (common stock) (X)	A	Dividend	J	T					
38. MA (common stock) (X)	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part VII, stocks with "X" were acquired by property settlement.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **KEITH M. LUNDIN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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