

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Eagan, Claire V.	2. Court or Organization USDC/N. Dist. of Oklahoma	3. Date of Report 05/07/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States Courthouse 333 W. 4th Street, Room 411 Tulsa, Oklahoma 74103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1 [See #4 in Part VII and Note in Part VIII]
2.	Trustee	Trust #2 [See #5 in Part VII and Note in Part VIII]
3.	Director	Oklahoma Medical Research Foundation (non-profit medical research organization)
4.	Trustee	Saint Francis of Assisi Tuition Assistance Trust (non-profit educational assistance organization)
5.	Trustee	Saint Clare of Assisi Disadvantaged Schools Trust (non-profit educational assistance organization)
6.	Member	University of Tulsa College of Law Dean's Advisory Board (private educational institution)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

Advanced Medical Instruments, Inc. - Salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Investor Fund / Bank of Oklahoma, N.A.	A	Interest	J	T					
2. Property #1 - Tulsa, OK		None	L	W					
3. Property #2 - Highland Beach, FL		None			Sold	05/14/13	M	E	Mirtha Loureiro
4. Trust #1 (See Note VIII)		None	J	T					
5. Trust #2 (See Note VIII)		None	J	T					
6. Schwab #1 - IRA									
7. - Schwab Money Market Fund		None	K	T					
8. - Russell Emerging Markets Fund	A	Dividend	K	T					
9. - Russell Global Equity Fund	A	Dividend	L	T					
10. - Baron Partners Fund		None	L	T					
11. - Royce Premier Fund		None	M	T					
12. - PIMCO Total Return	A	Dividend	K	T	Sold (part)	01/30/13	L	C	
13.					Sold (part)	04/10/13	K	A	
14. - Jensen Portfolio Class I	A	Dividend	L	T					
15. - Loomis Sayles Global Markets	C	Dividend	M	T					
16. - Artisan Int'l Fund	A	Dividend	L	T					
17. -JP Morgan Highbridge (Zero ending balance)		None			Sold	05/01/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Royce Global Value Fd Invst	A	Dividend	K	T					
19. -Nuance Concentrated Value	A	Dividend	L	T					
20. - Artisan Int'l Small Cap	A	Dividend	L	T					
21. - Dodge & Cox Int'l	A	Dividend	L	T					
22. - Managers Fixed Income	C	Dividend	M	T					
23. - PIMCO All Asset All Auth Instit	D	Dividend	M	T					
24. - Stone Ridge High Yield	B	Dividend	K	T	Buy	02/01/13	J		
25.					Buy (add'l)	03/22/13	J		
26. - Stone Ridge Reinsurance	C	Dividend	L	T	Buy	02/01/13	K		
27.					Buy (add'l)	03/22/13	K		
28. Schwab #2 - Investment Acct.									
29. - Schwab Money Market Fund		None	J	T					
30. - Exxon Corp. - Common (Zero ending balance)	A	Dividend			Sold	05/29/13	K	D	
31. - Genvec (Zero ending balance)		None			Sold	05/29/13	J		
32. - Russell Emerging Markets (Zero ending balance)		None			Sold	05/29/13	J	B	
33. - Wisdontree EMSIF	A	Dividend	K	T	Buy	05/29/13	K		
34. - IShares Select Div.	A	Dividend	K	T	Buy	05/29/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Nuveen Credit Strat	B	Dividend	K	T	Buy	05/29/13	K		
36.					Sold (part)	12/19/13	J		
37.					Sold (part)	12/20/13	J		
38. - PIMCO All Asset All Auth	B	Dividend	K	T	Buy	05/21/13	K		
39. - PIMCO Income Strategy	B	Dividend	K	T	Buy	05/29/13	K		
40. Schwab #3 - IRA									
41. - Schwab Money Market		None	J	T					
42. - Rydex Biotechnology (Zero ending balance)		None			Sold	12/03/13	K	D	
43. - Russell Emerging Markets	A	Dividend	K	T					
44. Schwab #4 - IRA(TMS)									
45. -Schwab Money Market Fund		None	J	T					
46. -Schwab Strategic TR US	B	Dividend	L	T					
47.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

NOTE - New funds added to an investment account are listed under that investment account header/line item.

4 Trust # 1 - The Trust is the named beneficiary of a life insurance policy issued by Northwestern Mutual Life on the life of an individual who is still living. There are no other Trust assets.

5 Trust # 2 - The Trust was created in 2000 to receive, hold and distribute certain assets. No assets were received, held, or distributed in 2013.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Claire V. Eagan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544