

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Davis, Diane	2. Court or Organization Utica, NY	3. Date of Report 11/06/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 220 U.S. Courthouse 10 Broad Street Utica, New York 13501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Trustee	Trust #1
2.	Co-Trustee	Trust #2
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2013

Bonacquisti Brothers & Assoc., Inc. - salary

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Central NY and Capital
District Bankruptcy Bar
Assns.11/08/2013 -
11/09/2013

Cooperstown, NY

Bar Associations Annual
Bankruptcy Conference

mileage, meals, hotel

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Account #1									
2. -Fidelity Advisors Small Cap Fund Class A	A	Int/Div.	J	T					
3. -PACE Money Market Investment Fund Class P	A	Int/Div.			Closed	12/31/13	J	A	
4. -Henderson Global Investors Intl Opport Fund A	A	Int/Div.	J	T					
5. -Mainstay Large Cap Growth Fund Class A	A	Int/Div.	J	T					
6. -MFS Value Fund Class A	A	Int/Div.	J	T					
7. -Pioneer Mid Cap Value Fund Class A	A	Int/Div.	J	T					
8. -FT Templeton Global Bond A	A	Int/Div.	J	T					
9. -PIMCO Total Return Fund Class A	A	Int/Div.	J	T					
10. -USB Bank USA Dep Acct	A	Int/Div.	J	T					
11. -JP Morgan Core Bond Fund Select	A	Int/Div.	J	T					
12. -Oppenheimer Intl Bond FD Class A	A	Int/Div.			Sold	06/06/13	J	A	
13. -Virtus Multi-Sector Short Term Bond Fund Class A	A	Int/Div.	J	T					
14. -Manning & Napier FD, Inc. World Opportunities	A	Int/Div.	J	T					
15. -FT Templeton Global Bond A	A	Int/Div.	J	T					
16. -Calamos Market Neutral Income Fund Class A	A	Int/Div.			Sold	06/06/13	J	A	
17. -iShares MSCI EAFE Index Fund	A	Int/Div.			Sold	06/06/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -UBS PACE Money Market Investment Fund Class P Short Term	A	Int./Div.			Closed	12/31/13	J	A	
19. -UBS PACE Large Company Growth Equity Investment Fund Class	A	Int./Div.	J	T	Sold (part)	06/15/13	J	A	
20. -UBS PACE Intl Emerging Mkts Equity Investment Fund Class P	A	Int./Div.	J	T					
21. -UBS PACE Large Company Value Equity Investment Fund Class P	A	Int./Div.	J	T	Sold (part)	06/15/13	J	A	
22. -UBS PACE International Equity Investment Fund Class P	A	Int./Div.	J	T					
23. -UBS PACE Small/Medium Co Value Equity Investment Fund	A	Int./Div.	J	T					
24. -UBS Global Real Estate Securities Investment Fund Class P (Y)									
25. -UBS Government Sec Fixed Income Investment Fund	A	Int./Div.	J	T					
26. -UBS Strategic Fixed Income Investment Fund Long	A	Int./Div.	J	T					
27. -UBS High Yield Investments Class P	A	Int./Div.	J	T					
28. -CSRSX	A	Int./Div.	J	T					
29. -GATEX	A	Int./Div.	J	T					
30. -FMI Large Cap Fund	A	Int./Div.	J	T	Buy (add'l)	06/06/13	J		
31. -Riverpark/Wedgewood Retail Funds	A	Int./Div.	K	T	Buy (add'l)	06/06/13	J		
32. -DDMAX	A	Int./Div.	J	T					
33. -UBS PACE Small/Medium Co Growth Equity Inv Class P	A	Int./Div.	J	T					
34. -UBS PACE International Fixed Investment Class P	A	Int./Div.	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -UBS PACE Alternative Strategies Investments Class P	A	Int./Div.	J	T					
36. -Vanguard MSCI Emerging Markets ETF	A	Int./Div.			Sold	06/06/13	J	A	
37. -SPY	A	Int./Div.	K	T	Buy (add'l)	10/17/13	J		
38. -JVMAX	A	Int./Div.	J	T					
39. -PVFAX	A	Int./Div.			Sold	06/06/13	J	A	
40. -PEEAX	A	Int./Div.			Sold	06/06/13	J	A	
41. -BIL	A	Int./Div.			Sold	06/06/13	J	A	
42. -BND	A	Int./Div.	J	T					
43. -AQMNX	A	Int./Div.	J	T	Buy (add'l)	06/06/13	J		
44. -ARBFX	A	Int./Div.	J	T					
45. -JHAAX	A	Int./Div.	J	T					
46. -MFLDX	A	Int./Div.	J	T					
47. -IAU	A	Int./Div.			Sold	06/06/13	J	A	
48. UBS PaceInterim Fixed Income	A	Int./Div.	J	T	Buy	6/15/13	J		
49. -IWR	A	Int./Div.	J	T	Buy	04/02/13	J		
50.					Buy (add'l)	06/25/13	J		
51. -IWP	A	Int./Div.	J	T	Buy	08/20/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -VGK	A	Int./Div.	J	T	Buy	10/17/13	J		
53. -CSJ	A	Int./Div.	J	T	Buy	10/17/13	J		
54. -OOSAX	A	Int./Div.	J	T	Buy	06/06/13	J		
55.					Buy (add'l)	06/25/13	J		
56. -BGCAX	A	Int./Div.	J	T	Buy	06/06/13	J		
57. -RLSFX	A	Int./Div.	J	T	Buy	06/06/13	J		
58. -ICEVX	A	Int./Div.	K	T	Buy	06/25/13	J		
59. Brokerage Account #2									
60. NYS Retirement Plan-No control		None	M	T					
61. Trustco	A	Interest	J	T					
62. Keybank	A	Interest	L	T					
63. SEFCU	A	Interest	K	T					
64. HSBC	A	Interest			Closed	03/01/13	J	A	
65. Citizens	A	Interest	K	T					
66. Trust #1	F	Int./Div.	P1	T					
67. -Note buyout of 50% interest in Perinton NY warehouse	E	Interest	N	T					
68. -Bank Deposit Program Citibank	A	Int./Div.	J	T					

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69. -XOM	A	Int./Div.	K	T					
70. -MS Liquid Asset Fund	A	Int./Div.	M	T					
71. -EEM	A	Int./Div.	J	T	Sold (part)	01/02/13	K	B	
72. -EFA	A	Int./Div.			Sold	01/02/13	J	A	
73. -IWD	A	Int./Div.			Sold	01/02/13	K	B	
74. -IJK	A	Int./Div.			Sold	01/02/13	J	B	
75. -IWF	A	Int./Div.			Sold	01/02/13	K	D	
76. -IJJ	A	Int./Div.			Sold	01/02/13	J	A	
77. -Goldman Sacs Group Corporate Bond	A	Interest	J	T	Sold (part)	05/29/13	J	B	
78. -HYG	A	Dividend			Sold (part)	01/25/13	J	A	
79.					Sold (part)	05/17/13	J	A	
80.					Sold (part)	05/29/13	J	A	
81.					Sold	06/20/13	J	A	
82. -JP Morgan Chase Corporate Bond	A	Interest			Sold	05/29/13	J	A	
83. -VZ	A	Dividend	J	T					
84. -JNJ	A	Dividend	K	T					
85. -IBM	A	Dividend	K	T					

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86. -MSFT	A	Dividend	J	T	Sold (part)	10/31/13	J	A	
87. -MRK	A	Dividend	J	T					
88. -INTC	A	Dividend	J	T	Sold (part)	10/31/13	J	A	
89. -HD	A	Dividend	K	T					
90. -HPQ	A	Dividend			Sold (part)	10/02/13	J	A	
91.					Sold	10/02/13	J	A	
92. -UTX	A	Dividend	K	T					
93. -AXP	A	Dividend	K	T	Sold (part)	07/01/13	J	C	
94.					Buy (add'l)	07/03/13	J		
95.					Sold (part)	07/01/13	J	C	
96.					Buy (add'l)	07/03/13	J		
97. -BA	A	Dividend	K	T					
98. -T	A	Dividend	J	T					
99. -PFE	A	Dividend	J	T					
100. -JPM	A	Dividend	J	T	Sold (part)	10/31/13	J	A	
101. -PG	A	Dividend	K	T					
102. -MMM	A	Dividend	K	T	Sold (part)	01/18/13	J	A	

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103.					Buy (add'l)	01/23/13	J		
104.					Sold (part)	01/18/13	J	B	
105.					Buy (add'l)	01/23/13	J		
106. -WMT	A	Dividend	K	T					
107. -DD	A	Dividend	J	T					
108. -GE	A	Dividend	J	T	Sold (part)	10/31/13	J	A	
109. -DIS	A	Dividend	K	T					
110. -KO	A	Dividend	J	T	Buy (add'l)	02/07/13	J		
111. -CVX	A	Dividend	K	T	Buy (add'l)	01/23/13	J		
112.					Sold (part)	01/18/13	J	C	
113.					Sold (part)	05/14/13	J	A	
114.					Buy (add'l)	05/16/13	J		
115.					Buy (add'l)	10/31/13	J		
116.					Sold (part)	05/14/13	J	A	
117. -CSCO	A	Dividend	J	T	Sold (part)	10/31/13	J	A	
118. -WIP	A	Dividend	J	T	Buy (add'l)	11/01/13	J		
119.					Sold (part)	05/13/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -US Treasury Notes	B	Interest	J	T	Sold (part)	01/25/13	J	A	
121.					Sold (part)	03/22/13	J	A	
122. -CSJ	A	Int./Div.			Sold	01/03/13	J	A	
123. -CWB	A	Int./Div.	K	T					
124. -WFC	A	Dividend	K	T					
125. -Concophillips	A	Interest	J	T	Sold (part)	11/05/13	J	A	
126. -IWO	A	Int./Div.			Sold	01/02/13	J	A	
127. -TIP	A	Int./Div.	J	T	Buy (add'l)	11/01/13	J		
128.					Sold (part)	05/13/13	J	A	
129. -TBF	A	Int./Div.	K	T	Buy (add'l)	01/03/13	J		
130.					Buy (add'l)	05/29/13	J		
131.					Buy (add'l)	08/14/13	J		
132.					Buy (add'l)	12/30/13	J		
133. -Ford Motor Credit	A	Interest			Matured	10/01/13	J	A	
134. -Proctor & Gamble Co	A	Interest	J	T					
135. -E.I. Du Pont De Nemours	A	Interest	J	T	Sold (part)	11/05/13	J	A	
136. -IBM Corp	A	Interest			Sold	05/29/13	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Pepsico Inc	A	Interest			Sold	05/29/13	J	B	
138. -Government of Canada	A	Interest			Buy (add'l)	03/22/13	J		
139.					Matured	09/03/13	K	A	
140. -Australian Government	A	Interest	J	T					
141. -JSOSX	A	Int./Div.	K	T	Buy (add'l)	01/28/13	J		
142.					Buy (add'l)	03/26/13	J		
143. -CAT	A	Dividend	K	T					
144. -F	A	Dividend	J	T	Sold (part)	10/31/13	J	A	
145. -USB	A	Dividend	J	T					
146. -UNH	A	Dividend	K	T	Sold (part)	10/31/13	J	B	
147. -IWN	A	Int./Div.			Sold	01/02/13	J	A	
148. -Sector Rotation Account	D	Int./Div.	L	T					
149. Hewlett-Packard Co Corporate Bond	A	Interest	J	T	Buy	02/28/13	J		
150. Walgreen Co Corporate Bond	A	Interest	J	T	Buy	11/04/13	J		
151. Kellogg Company Corporate Bond	A	Interest	J	T	Buy	09/25/13	J		
152. Conagra Foods Inc Corporate Bond	A	Interest	J	T	Buy	11/01/13	J		
153. Apple Inc Corporate Bond	A	Interest	J	T	Buy	11/08/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Verizon Communications Corporate Bond	A	Interest	J	T	Buy	09/26/13	J		
155. US Treasury Bill	A	Interest			Buy	06/27/13	K		
156.					Matured	08/06/13	K	A	
157. Us Treasury Bill	A	Interest			Buy	09/30/13	L		
158.					Matured	10/24/13	L	A	
159. BA Option 80.000 MAY		None			Sold	04/09/13	J	A	
160.					Closed	05/02/13	J		
161. BA Option 85.000 JUL		None			Sold	05/02/13	J	A	
162.					Closed	07/02/13	J		
163. BA Option 87.500 AUG		None			Sold	07/02/13	J	A	
164.					Closed	08/02/13	J		
165. BA Optioon 95.000 NOV		None			Sold	08/05/13	J	A	
166.					Closed	10/11/13	J		
167. BA Option 95.000 OCT		None			Sold	08/02/13	J	A	
168.					Closed	08/05/13	J		
169. BA Option 110.000 DEC		None			Sold	10/11/13	J	A	
170.					Closed	10/29/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. BA Option exp 2/22/2014		None	J	T	Open	10/29/13	J		
172. AXP Option 72.500 JAN		None			Sold	11/11/13	J	A	
173.					Closed	12/31/13	J		
174. WMT Option 75.000 DEC		None			Sold	10/07/13	J	A	
175.					Closed	12/02/13	J		
176. -IEV	A	Int./Div.	J	T	Buy	01/02/13	J		
177. -ILF	A	Int./Div.	J	T	Buy	01/02/13	J		
178. -DIA	A	Int./Div.	J	T	Buy	01/02/13	K		
179. -FEZ	A	Int./Div.	J	T	Buy	01/02/13	J		
180. -MDY	A	Int./Div.	J	T	Buy	01/02/13	J		
181. -SPY	A	Int./Div.	K	T	Buy	01/02/13	K		
182. -VGK	A	Int./Div.	J	T	Buy	01/02/13	J		
183. -VPL	A	Int./Div.	K	T	Buy	01/02/13	K		
184. -EWJ	A	Int./Div.	J	T	Buy	01/02/13	J		
185.					Sold (part)	07/31/13	J	A	
186. -GXC	A	Int./Div.			Buy	01/02/13	J		
187.					Sold	07/31/13	J	A	

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -MCD	A	Dividend	J	T	Buy	02/07/13	J		
189. -NKE	A	Dividend	J	T	Buy	10/31/13	J		
190. AXP Option 72.500 JAN		None			Sold	11/11/13	J	A	
191.					Closed	12/31/13	J		
192. BA Option 80.00 MAY		None			Sold	04/09/13	J	A	
193.					Closed	05/02/13	J		
194. BA Option 85.00 JUL		None			Sold	05/02/13	J	A	
195.					Closed	07/02/13	J		
196. BA Option 87.500 AUG		None			Sold	07/02/13	J	A	
197.					Closed	08/02/13	J		
198. BA Option 95.000 NOV		None			Sold	08/05/13	J	A	
199.					Closed	10/11/13	J		
200. BA Option 95.000 OCT		None			Sold	08/02/13	J	A	
201.					Closed	08/05/13	J		
202. BA Option 110.000 DEC		None			Sold	10/11/13	J	A	
203.					Closed	10/29/13	J		
204. UNH Option 67.5000 SEP		None			Sold	08/13/13	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Closed	09/03/13	J		
206. -EWS	A	Int./Div.	J	T	Buy	07/31/13	J		
207. -EWY	A	Int./Div.	J	T	Buy	07/31/13	J		
208. -IJR	A	Int./Div.	J	T	Buy	01/02/13	J		
209. -EWU	A	Int./Div.	J	T	Buy	07/31/13	J		
210. Trust #2	D	Int./Div.	P1	T					
211.  property Middlesex, NY, Yates County			O	W					
212. MS Liquid Asset Fund (X)	A	Int./Div.	K	T					
213. -MUXAX	A	Dividend	K	T					
214. -HME	B	Dividend	J	T					
215. -XOM	B	Dividend	L	T					
216. -EMN	A	Dividend	J	T					
217. -Goldman Sacs Group Corporate Bond	A	Interest	J	T					
218. -TIP	A	Int./Div.	J	T	Buy (add'l)	11/01/13	J		
219.					Sold (part)	05/13/13	J	A	
220. -HYG	A	Int./Div.			Sold (part)	05/17/13	J	A	
221.					Sold (part)	05/29/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Sold	06/20/13	J	A	
223. -JP Morgan Chase Corporate Bond	A	Interest			Sold	05/29/13	J	A	
224. -IYT	A	Int./Div.			Sold	04/01/13	J	A	
225. -EWA (Y)									
226. -EPP (Y)									
227. -EWU	A	Int./Div.	J	T	Buy (add'l)	07/31/13	J		
228. -EWQ (Y)									
229. -EWL (Y)									
230. -EWG	A	Int./Div.			Buy (add'l)	06/13/13	J		
231.					Sold	07/23/13	J	A	
232. -EWJ	A	Int./Div.	J	T	Buy (add'l)	01/02/13	J		
233.					Sold (part)	01/07/13	J	A	
234.					Sold (part)	07/31/13	J	A	
235. -IEV	A	Int./Div.	J	T	Buy (add'l)	01/02/13	J		
236. -IWR	A	Int./Div.	J	T					
237. -IJH	A	Int./Div.	J	T	Sold (part)	01/18/13	J	A	
238. -IWM	A	Int./Div.	K	T	Sold (part)	01/07/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. -IJR	A	Int./Div.	K	T	Buy (add'l)	01/18/13	J		
240.					Buy (add'l)	01/02/13	J		
241. -EWS	A	Int./Div.	J	T	Buy (add'l)	07/31/13	J		
242. -DIA	A	Int./Div.	K	T	Buy (add'l)	01/02/13	J		
243.					Buy (add'l)	07/23/13	J		
244.					Sold (part)	02/21/13	J	A	
245. -XLP (Y)									
246. -XLI (Y)									
247. -WIP	A	Int./Div.	J	T	Buy (add'l)	11/01/13	J		
248.					Sold (part)	05/13/13	J	A	
249. US Treasury Notes	A	Interest	J	T	Sold (part)	03/22/13	J	A	
250. Citibank Bank Deposit Program	A	Interest	J	T					
251. -CSJ	A	Int./Div.			Sold	01/03/13	J	A	
252. -CWB	A	Int./Div.	J	T					
253. -US Treasury Bills	A	Interest			Matured (part)	01/15/13	K	A	
254.					Buy (add'l)	06/27/13	K		
255.					Matured (part)	08/06/13	K	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Buy (add'l)	09/30/13	K		
257.					Matured	10/24/13	K	A	
258. -Conocophillips	A	Interest	J	T					
259. -EWY	A	Int./Div.	J	T	Buy (add'l)	07/31/13	J		
260. -IWM	A	Int./Div.			Sold	01/07/13	J	A	
261. -Ford Motor Credit	A	Interest			Matured	10/01/13	J	A	
262. -Proctor & Gamble Co	A	Interest	J	T					
263. -E.I. Du Pont De Nemours	A	Interest	J	T					
264. -Government of Canada	A	Interest			Buy (add'l)	03/22/13	J		
265.					Sold	09/13/13	J	A	
266. -Australian Government	A	Interest	J	T					
267. -JSOSX	A	Int./Div.	J	T	Buy (add'l)	01/28/13	J		
268.					Buy (add'l)	03/26/13	J		
269. -MDY	A	Int./Div.	J	T	Buy (add'l)	01/02/13	J		
270.					Sold (part)	01/18/13	J	A	
271. -EFA	A	Int./Div.			Sold (part)	01/02/13	J	A	
272.					Sold	06/05/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. -EEM	A	Int./Div.	J	T	Sold (part)	01/02/13	K	B	
274.					Sold (part)	01/08/13	J	A	
275. -IWF	A	Int./Div.	J	T	Sold (part)	01/02/13	K	C	
276.					Buy (add'l)	07/23/13	J		
277. -IWD	A	Int./Div.	J	T	Sold (part)	01/02/13	K	B	
278.					Buy (add'l)	07/23/13	J		
279. -IWO	A	Int./Div.	J	T	Sold (part)	01/02/13	J	B	
280.					Buy (add'l)	07/23/13	J		
281. -IWN	A	Int./Div.	J	T	Sold (part)	01/02/13	J	A	
282.					Buy (add'l)	07/23/13	J		
283. -IJK	A	Int./Div.	J	T	Sold (part)	01/02/13	J	B	
284.					Sold (part)	07/02/13	J	A	
285.					Buy (add'l)	07/23/13	J		
286. -IJJ	A	Int./Div.	J	T	Sold (part)	01/02/13	J	B	
287.					Buy (add'l)	07/23/13	J		
288. -XLY	A	Int./Div.			Sold	01/07/13	J	A	
289. -STPP	A	Int./Div.			Sold	07/23/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. -IWB	A	Int./Div.			Sold	02/15/13	J	A	
291. -OIH	A	Int./Div.			Sold	06/20/13	J	A	
292. -SMH	A	Int./Div.			Sold	04/03/13	J	A	
293. -TBF	A	Int./Div.	K	T	Buy (add'l)	01/03/13	J		
294.					Buy (add'l)	05/29/13	J		
295.					Sold (part)	07/23/13	J	A	
296.					Buy (add'l)	08/14/13	J		
297.					Buy (add'l)	12/31/13	J		
298. -EWH	A	Int./Div.			Sold	06/05/13	J	A	
299. -SPY	A	Int./Div.	K	T	Buy	01/02/13	K		
300.					Buy (add'l)	07/23/13	J		
301. -SHY	A	Int./Div.			Buy	06/26/13	K		
302.					Sold	07/23/13	K	A	
303. -PSQ	A	Int./Div.			Buy	07/02/13	J		
304.					Buy (add'l)	07/03/13	J		
305.					Sold	07/23/13	J	A	
306. -RWM	A	Int./Div.			Buy	04/18/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Buy (add'l)	04/22/13	J		
308.					Sold	05/03/13	J	A	
309. -BIL	A	Int./Div.			Buy	06/28/13	J		
310.					Sold (part)	07/03/13	J	A	
311.					Sold	07/23/13	J	A	
312. -GXC	A	Int./Div.			Buy	01/02/13	J		
313.					Buy (add'l)	06/13/13	J		
314.					Sold (part)	06/20/13	J	A	
315.					Sold	07/31/13	J	A	
316. -FXV	A	Int./Div.	J	T	Buy	01/18/13	J		
317.					Buy (add'l)	05/21/13	J		
318.					Sold (part)	06/12/13	J	A	
319. -ETFS Platinum Trust	A	Int./Div.			Buy	04/16/13	J		
320.					Sold	06/26/13	J	A	
321. -SLV	A	Int./Div.			Buy	05/20/13	J		
322.					Buy (add'l)	05/30/13	J		
323.					Sold	06/26/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. -UUP	A	Int./Div.			Buy	03/19/13	J		
325.					Sold	04/12/13	J	A	
326. -SPDR Gold TR	A	Int./Div.			Buy	04/09/13	J		
327.					Sold	04/12/13	J	A	
328. Hewlett-Packard Co corporate bond	A	Interest	J	T	Buy	02/28/13	J		
329. Walgreen Co corporated bond	A	Interest	J	T	Buy	11/04/13	J		
330. Kellogg Company corporate bond	A	Interest	J	T	Buy	09/25/13	J		
331. Conagra Foods Inc corporate bond	A	Interest	J	T	Buy	11/01/13	J		
332. Apple Inc corporate bond	A	Interest	J	T	Buy	11/08/13	J		
333. Verizon Communications corporate bond	A	Interest	J	T	Buy	09/26/13	J		
334. -FNX	A	Int./Div.	J	T	Buy	01/18/13	J		
335. -ILF	A	Int./Div.	J	T	Buy	01/02/13	J		
336. -FEZ	A	Int./Div.	K	T	Buy	01/02/13	J		
337. -VGK	A	Int./Div.	J	T	Buy	01/02/13	J		
338. -VPL	A	Int./Div.	K	T	Buy	01/02/13	K		
339. -lake note - amounts due on lake house maintenance	A	Interest	J	T	Open	02/04/13	J		
340.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART I: I serve as a Co-trustee on two trusts [REDACTED]. The trusts were established as part of [REDACTED] estate and [REDACTED]. We review the status of the trusts annually to assure they meets [REDACTED] financial needs. Upon [REDACTED] death, the balance, if any, will go to the [REDACTED] equally, [REDACTED] [REDACTED] have waived our right to receive our lawful commission from the trusts.

PART VII:

Line 66 indicates the aggregate total for Trust #1. All of the information listed in lines 67 through 209 are the holdings for this trust.

The Sector Rotation Account at Line 148 is an account that buys stocks in Sector Rotation style for Trust #1. All stocks within the Trust have been disclosed in Part VII.

Line 210 indicates the aggregate total for Trust #2. All of the information listed in lines 211 through 339 are the holdings for this trust.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Diane Davis**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544