

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Conti, Joy Flowers	2. Court or Organization U.S. District Court WDPA	3. Date of Report 05/10/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Article III Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 5250 US Courthouse 700 Grant Street Pittsburgh, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Counselor	W. Edward Sell American Inn of Court
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2012	Ross, Sinclaire & Associates, LLC - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. New York Intellectual Property Law Association	March 23-25, 2012	New York, NY	Annual Dinner in Honor of the Federal Judiciary	Food, Lodging, Transportation, Parking
2. Pennsylvania Bar Institute	May 11, 2012	Philadelphia, PA	Pa Bar Institute Symposium	Food, Transportation
3. Academy of Trial Lawyers of Allegheny County	October 4-5, 2012	Farmington, PA	Masters of Trial Advocacy Retreat	Food, Lodging, Mileage
4. George Mason University	October 7-10, 2012	Arlington, VA	Mason Judicial Education	Food, Lodging, Transportation, Parking
5. The Sedona Conference	October 10-12, 2012	Del Mar, CA	13th Annual Sedona Conference	Food, Lodging, Transportation, Parking

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6.	National Conference of Bankruptcy Judges	October 24-26, 2012	San Diego, CA	Annual National Conference of Bankruptcy Judges	Food, Lodging, Transportation, Parking
7.	New Jersey State Bar Association	November 14-15, 2012	East Brunswick, NJ	Bankruptcy Law Annual Dinner	Food, Lodging, Transportation, Parking
8.	American Bankruptcy Institute	November 29 - December 1, 2012	Tucson, AZ	ABI Winter Leadership Conference	Food, Lodging, Transportation, Parking

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. BROKERAGE ACCOUNT #1									
2. -Navidea Biopharmaceuticals Stock (formerly Neoprobe Corp)		None			Sold	12/11/12	J	C	
3. -Federated PA Muni Service (Money Market)	A	Dividend	J	T					
4. ROTH IRA ROLLOVER ACCOUNT #1	D	Int/Div	M	T					
5. -Pershing Government Money Market Fund									
6. -General Motors Accep Corp Smartnotes (7.125% due 8/15/12)					Matured	08/15/12	K		
7. -General Motors Accep Corp Smartnotes (7.25% due 9/15/17)									
8. -Deere & Co Stock									
9. -General Motors Accep Corp Smartnotes (7% due 1/15/13)									
10. -Lee Street Investors LLC Class B Units									
11. -Sanchez Energy Corp Stock					Spinoff (from line 10)	08/16/12	K		
12. -Boeing Co Stock					Buy	01/24/12	K		
13. -Prospect Cap Corp Stock					Buy	06/07/12	J		
14.					Buy (add'l)	09/14/12	J		
15. -New York Mtg Tr Inc REIT					Buy	02/08/12	J		
16.					Buy (add'l)	09/14/12	J		
17. -Newcastle Invst Corp (REIT)					Buy	02/08/12	J		

1. Income Gain Codes:	A –\$1,000 or less	B –\$1,001 - \$2,500	C –\$2,501 - \$5,000	D –\$5,001 - \$15,000	E –\$15,001 - \$50,000
(See Columns B1 and D4)	F –\$50,001 - \$100,000	G –\$100,001 - \$1,000,000	H1 –\$1,000,001 - \$5,000,000	H2 –More than \$5,000,000	
2. Value Codes	J –\$15,000 or less	K –\$15,001 - \$50,000	L –\$50,001 - \$100,000	M –\$100,001 - \$250,000	
(See Columns C1 and D3)	N –\$250,001 - \$500,000	O –\$500,001 - \$1,000,000	P1 –\$1,000,001 - \$5,000,000	P2 –\$5,000,001 - \$25,000,000	
	P3 –\$25,000,001 - \$50,000,000		P4 –More than \$50,000,000		
3. Value Method Codes	Q –Appraisal	R –Cost (Real Estate Only)	S –Assessment	T –Cash Market	
(See Column C2)	U –Book Value	V –Other	W –Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18.					Buy (add'l)	09/14/12	J		
19. -GDL Fund					Buy	01/30/12	J		
20. RETIREMENT PLAN #2	C	Interest	N	T					
21. -CREF Stock Fund (Part VIII)					Sold (part)	12/28/12	M		
22. -TIAA Traditional Annuities					Buy (add'l)	12/28/12	L		
23. -CREF Infl Linked Bond					Buy	12/28/12	K		
24. -Vanguard Inflat Pro Sec A					Buy	12/28/12	K		
25. ROTH IRA ROLLOVER ACCOUNT #3	D	Int./Div.	M	T					
26. -Pershing Government Money Market Fund									
27. -Navidea Biopharmaceuticals Stock (formerly Neoprobe Corp)									
28. -Genl Mtrs Accep Corp Smartnotes (7% due 01/15/13)									
29. -Knox Cnty, KY Hosp Bond (5.875% due 12/01/36)									
30. -Lee Street Investors LLC Class B Units									
31. -Sanchez Energy Corp Stock					Spinoff (from line 30)	08/16/12	K		
32. -Caterpillar, Inc					Buy (add'l)	04/17/12	J		
33. IRA ROLLOVER ACCOUNT #6	A	Int./Div.	J	T					
34. -S&T Bancorp Stock (formerly Gateway Bank Of Pennsylvania)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. -Janney Advantage Insured Sweep (Money Market)					Open	10/10/12	J		
36. IMMEDIUS, INC CONV PREFERRED STOCK		None			Sold	05/18/12	J	D	
37. IMMEDIUS, INC COMMON STOCK		None			Sold	05/18/12	J	D	
38. MELLON BANK: ACCOUNTS		None	J	T					
39. CITIZENS BANK: ACCOUNTS		None	J	T					
40. MASSACHUSETTS MUTUAL: WHOLE LIFE POLICY		None	J	T					
41. MASSACHUSETTS MUTUAL: WHOLE LIFE POLICY		None	J	T					
42. NORTHWESTERN: WHOLE LIFE INS POLICY		None	J	T					
43. METROPOLITAN LIFE: WHOLE LIFE POLICY		None	J	T					
44. GENERAL AMERICAN: WHOLE LIFE POLICY		None	J	T					
45. MASSACHUSETTS MUTUAL: WHOLE LIFE POLICY		None	J	T					
46. METROPOLITAN LIFE: WHOLE LIFE POLICY		None	J	T					
47. NORTHWESTERN: WHOLE LIFE INS POLICY		None	J	T					
48. HUNTINGTON BANK ACCOUNT		None	K	T					
49. SIMPLE IRA ACCOUNT #1	B	Int./Div.	K	T					
50. -Pershing Government Money Market Fund									
51. -UBS AG London BRH Reverse Conv Note (17.08% due 1/4/12)					Matured	01/04/12	K	A	

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52. -Caterpillar Inc Stock					Buy	01/24/12	J		
53.					Buy (add'l)	01/27/12	J		
54. -Nuance Communications Inc Stock					Buy	01/24/12	J		
55. -Walter Energy Inc Stock					Buy	04/19/12	J		
56.					Sold	06/05/12	J		
57. -Facebook Inc Stock					Buy	05/21/12	J		
58.					Sold	05/31/12	J		
59. -Prospect Cap Corp Stock					Buy	07/11/12	J		
60.					Buy (add'l)	09/14/12	J		
61. BROKERAGE ACCOUNT #2									
62. -Federated PA Muni Cash (Money Market)	A	Dividend	K	T					
63. -Pa St Fin Auth Rev Rfdg Penn Hills Bond (0% due 12/1/23)		None	J	T	Buy	01/11/12	J		
64. -SLM Corp Ednotes (due 12/15/12)	A	Interest			Buy	02/27/12	J		
65.					Matured	12/15/12	J	A	
66. -Harmony Twp Pa Bond (0% due 9/1/13)		None	K	T	Buy	08/27/12	K		
67. -Canonsburg-Houston Pa Sewer Bond (3.55% due 12/1/14)	A	Interest	K	T	Buy	11/15/12	K		
68. -Cambria Cnty Pa IDA Bond (4% due 10/1/22)		None	K	T	Buy	11/27/12	K		

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69.									
70.									
71.									
72.									
73.									
74.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Additional Information for Part VII (Investments and Trusts):

From prior years:

There is no Retirement Plan # 1

There is no IRA Rollover/Roth IRA Rollover Account # 2, 4 or 5

Current year:

Line 21 - Retirement Plan #2 - CREF Stock Fund:

On 12/28/12 investments in this retirement plan were reallocated among investment choices available. This retirement plan was funded from 1976 through 1982, years before the reporting individual became a Judge. Because this is a retirement plan with no IRS reporting requirement for individual transactions, records do not exist for the original cost basis or subsequent reinvestments of the CREF Stock Fund shares that were transferred into other investments within the plan during 2012. Accordingly, no gain information can be computed or reported.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Joy Flowers Conti

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544