

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Prost, Sharon	2. Court or Organization Federal Circuit	3. Date of Report 05/14/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. Court of Appeals, Fed Cir 717 Madison Pl., NW Washington, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Colorado Bar Association	01/05-08/2011	Vail, CO	Conference panelist	Transportation, food, lodging
2. Association of Corporate Counsel	01/23-25/2011	Naples, FL	Conference panelist	Transportation, food, lodging
3. New York Intellectual Property Law Association	03/25-26/2011	New York City, NY	Annual Judges' Dinner	Transportation, food, lodging
4. Cornell Law School	04/09-10/2011	Ithaca, NY	Moot Court Judge	Transportation, food, lodging
5. Atlanta Bar Association IP Section	04/29-30/2011	Adairsville, GA	Conference panelist	Transportation, food, lodging

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6.	Intellectual Property Owners Education Foundation	05/20-26/2011	Brussels, Belgium	Conference panelist	Transportation, food, lodging
7.	Federal Circuit Bar Association	06/22-25/2011	Key Biscayne, FL	Conference panelist	Transportation, food, lodging
8.	Federal Circuit Bar Assoc. (with the US Patent and Trademark Office)	10/23-31/2011	Tokyo, Japan	Conference panelist	Transportation, food, lodging
9.	Intellectual Property Section of the State Bar of Georgia	11/10-13/2011	Los Cabos, Mexico	Conference panelist	Transportation, food, lodging
10.	Austin Intellectual Property Law Association	12/12-13/2011	Austin, TX	Dinner speaker	Transportation, food, lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. ML Fundamental Growth Mutual Fund		None	J	T					
2. CMA Tax-Exempt Fund	A	Interest	J	T					
3. Reserve Prime Money Market	A	Dividend			Redeemed	01/31/11	J	A	
4. General Electric Company	A	Dividend	J	T					
5. Pioneer - Pioneer Value Fund CIA	A	Dividend	K	T					
6. American Funds - SmallCap World Fund Inc. CIA (Am. Century)		None	J	T	Sold (part)	10/31/11	J	A	
7. WGL Holdings Inc.	C	Dividend	K	T					
8. American Funds - Capital World Growth Income Fund CIB (Am Gr		None			Sold	04/11/11	J	A	
9. Rental Property, Silver Spring, MD	D	Rent	M	W					
10. United States Senate FCU - Account	B	Interest	M	T					
11. United States Senate - ROTH IRA	A	Interest	J	T					
12. Pioneer - Pioneer Mid-Cap Growth Fund - IRA	A	Dividend	K	T					
13. M&T Bank - IRA	A	Interest	J	T					
14. PNC Bank - IRA	A	Interest	J	T					
15. U.S. Government Fund - The Reserve Fund - IRA	A	Dividend	J	T					
16. Merrill Lynch Life Insurance Cash Surrender Value	A	Dividend	L	T					
17. PNC Bank - CDs	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. American Funds - Euro Pac Growth	A	Dividend	J	T	Buy (add'l)	10/31/11	J		
19. Goldman Sachs TR Mid-Cap Value Fund		None	J	T					
20. Pentair Inc.	A	Dividend	J	T					
21. American Century New Opportunities		None	J	T					
22. Eaton Vance Large Cap Value	A	Dividend	J	T	Buy (add'l)	10/31/11	J		
23. Hartford Growth Opportunities		None	J	T	Sold (part)	10/31/11	J	A	
24. Artio Global Investment Funds International Equity II I	A	Dividend	J	T	Buy (add'l)	10/31/11	J		
25. Blackrock Global	A	Dividend	J	T	Buy	08/11/11	J		
26. Dreyfus Appreciation	A	Dividend	J	T	Buy	04/11/11	J		
27. Dreyfus Appreciation	A	Dividend			Sold (part)	10/31/11	J	A	
28. Royce Pennsylvania Mutual Fund	A	Dividend	J	T	Sold (part)	10/31/11	J	A	
29. Thornburg International Value Fund	A	Dividend	J	T	Buy (add'l)	10/31/11	J		
30. Wells Fargo Adv Emerging Growth		None	J	T	Buy	08/31/11	J		
31. Wells Fargo Advantage Small Cap Growth Admin Frac		None			Sold	08/31/11	J	A	
32. Westcore Small Cap Value Fund	A	Dividend	J	T	Sold (part)	10/31/11	J	A	
33. iShares Russell 1000 Growth Index Fund	A	Dividend	J	T	Sold (part)	11/01/11	J	A	
34. iShares Russell 1000 Value Index Fund	A	Dividend	J	T	Sold (part)	11/01/11	J	A	

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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35. Ohio (Bldg Auth)	A	Interest	K	T					
36. Jefferson County, CO	A	Interest	K	T					
37. Oregon St. Dpt. Admin.	A	Interest	K	T					
38. Spring Branch, TX Indpt. School Dist.	A	Interest	K	T					
39. San Antonio, TX Elec. & Gas Rev.	A	Interest			Sold	11/04/11	K	A	
40. New York, NY Trans. Fin. Auth.	A	Interest			Sold	12/05/11	K	B	
41. University Tex.	A	Interest	K	T					
42. Energy Northwest Wa. Elec.	A	Interest			Sold	11/30/11	K	A	
43. Met. Nsh-Dvd TN Elec. Rev.	A	Interest			Sold	07/25/11	K	A	
44. Georgia	A	Interest	K	T					
45. Ohio St Major New St Infrastructure	A	Interest	J	T					
46. MFS Valuel Fund	A	Dividend	J	T					
47. Clackamas OR Cmty College	A	Interest	K	T					
48. NY St EFC St Chn-Drinking Wtr	A	Interest	K	T					
49. Tampa Fla Sales Tax Rev RF	A	Interest	K	T	Buy	08/03/11	K		
50. New Jersey St Tran TR FD Auth	A	Interest	K	T	Buy	11/07/11	K		
51. California Health Facs Fing Auth Rev	A	Interest	K	T	Buy	12/02/11	J		

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52. Virginia St PSA Sch Fing	A	Interest	K	T	Buy	12/02/11	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Sharon Prost**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544