

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Eagan, Claire V.	2. Court or Organization USDC/N. Dist. of Oklahoma	3. Date of Report 4/25/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States Courthouse 333 W. 4th Street, Room 411 Tulsa, Oklahoma 74103		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1 [See #2 in Part VII and Note #1 in Part VIII]
2.	Trustee	Trust #2 [See #3 in Part VII and Note #2 in Part VIII]
3.	Director	Oklahoma Medical Research Foundation (non-profit medical research organization)
4.	Trustee	Saint Francis of Assisi Tuition Assistance Trust (non-profit educational assistance organization)
5.	Trustee	Saint Clare of Assisi Disadvantaged Schools Endowment Fund (non-profit educational assistance organization)
6.	Member	University of Tulsa College of Law Dean's Advisory Board (private educational institution)
7.	Trustee	Trust #3 [See Note #3 in Part VIII]

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Eagan, Claire V.

Date of Report

4/25/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Eagan, Claire V.

Date of Report

4/25/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Eagan, Claire V.

Date of Report

4/25/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Property #1 - Tulsa, OK		None	L	W					
2. Trust #1 (See Note #1 in Part VIII)		None	J	T					
3. Trust #2 (See Note #2 in Part VIII)		None							
4. Schwab #1 - IRA									
5. - Schwab Money Market Fund	A	Dividend	L	T					
6. - Russell Emerging Markets Fund (Zero Ending Balance)	A	Dividend			Sold	08/13/18	K	E	
7. - Russell Global Equity Fund (Zero Ending Balance)	A	Dividend			Sold	08/13/18	L	D	
8. - Jensen Quality Growth	D	Dividend	L	T					
9. - Loomis Sayles Global Markets	D	Dividend	M	T					
10. - Nuance Concentrated Value	D	Dividend	L	T					
11. - Artisan Int'l Small Cap	E	Dividend	K	T					
12. - Dodge & Cox Int'l	B	Dividend	K	T					
13. -Metropolitan West Total Ret Bond	C	Dividend	M	T					
14. -Schwab US Large Cap	B	Dividend	M	T					
15. - Artisan Intl Fund Adv	C	Dividend	K	T					
16. - iShares Russell Midcap	B	Dividend	M	T					
17. - Stoneridge Reinsurance Risk	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Eagan, Claire V.

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4/25/2019

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Undiscovered Mgrs Behavioral Value	D	Dividend	L	T					
19. - Versus Cap Multi Mgr RE Income	C	Dividend	L	T					
20. - Oakmark Fund Inv (Zero Ending Balance) (See Note #4 Part VIII)	A	Dividend			Sold	02/16/18	L		
21. - Lazard Global Listed	D	Dividend	L	T					
22. -Dodge & Cox Global Stk	D	Dividend	L	T	Buy	08/13/18	L		
23. -Doubleline Shiller	D	Dividend	L	T	Buy	08/13/18	L		
24. -American FD New World	B	Dividend	K	T	Buy	08/13/18	K		
25. -MMF Inv Shares Sch Treas Obligation	A	Dividend	L	T	Buy	12/31/18	L		
26. -Oakmark Fund Inst (See Note #5 Part VIII)	D	Dividend	L	T	Spinoff (from line 20)	12/16/18	L		
27. Schwab #3 - IRA									
28. - Schwab Money Market Fund		None	J	T					
29. - Russell Emerging Markets (Zero Ending Balance)	A	Dividend			Sold	11/21/18	K	D	
30. - Lazard Global Listed	B	Dividend	J	T					
31. -American FD New World	A	Dividend	K	T	Buy	11/21/18	K		
32. Schwab #4 - CVE Trust Account (See Note #2 in Part VIII)									
33. - Schwab Money Market Fund	A	Interest	J	T					
34. - Maingate MLP FD C1	C	Dividend	K	T	Sold (part)	03/14/18	J		

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FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Eagan, Claire V.

Date of Report

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35.					Sold (part)	10/04/18	J		
36.					Sold (part)	12/26/18	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Eagan, Claire V.

Date of Report

4/25/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

NOTE - New funds added to an investment account are listed under that investment account header/line item.

1 --- Trust # 1 - (Part VII, Line 2) - The Trust is the named beneficiary of a life insurance policy issued by Northwestern Mutual Life on the life of an individual who is still living. There are no other Trust assets.

2 --- Trust # 2 - (Part VII, Line 3) - The Trust was created in 2000 to receive, hold, and distribute certain assets. Since January 19, 2016, it has held the assets of Schwab Account #4 (Part VII, lines 32-36). On May 24, 2018, an existing personal checking account was transferred to the Trust. This account historically has not and currently does not meet the reporting requirements..

3 --- Trust # 3 - (Part I, Line 7) - This was an unfunded trust, which was revoked in February 2018.

4 --- Schwab # 1 - IRA - (Part VII, Line 20) - No gain or loss; simply a share class exchange (investor to institutional). See Note # 5, infra.

5 --- Schwab #1 - IRA - (Part VII, Line 26) - This "spinoff" (exchange) is the new institutional share class. See Note #4, supra.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Eagan, Claire V.

Date of Report

4/25/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Claire V. Eagan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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