

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schroeder, HKennethJr	2. Court or Organization U.S. District Court, W.D.N.Y.	3. Date of Report 04/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge-Full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. Courthouse 2 Niagara Square Buffalo, New York 14202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	May 2000	Hodgson, Russ, Andrews, Woods & Goodyear Retirement Plan - former law firm - no control
2.	May 2000	Hodgson, Russ, Andrews, Woods & Goodyear Capital Accounts - former law firm - no control
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 12/08/10	Hodgson,Russ,Andrews,Woods&Goodyear-Retirement income from pension plan.	\$75,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA ACCOUNT - Common Stock & money funds:									
2. MLIKX Money Funds		None	J	T					
3. Hodgson, Russ, Andrews, Woods & Goodyear 401(k) - no control									
4. Common Stock:									
5. Harbor Capital Appreciation		None	K	T					
6. Managers Special Equity		None	K	T					
7. Dodge & Cox Stock Fund		None	K	T					
8. Loomis Sayles Small Cap		None	K	T					
9. Fleet Bank-checking account	A	Interest	J	T					
10. Common Stock - M&T Bank	A	Dividend	L	T					
11. Mutual Funds:									
12. AIM Large Cap Growth Fund		None	J	T					
13. Alliance Premier Growth Fund		None	J	T					
14. Legg Mason Value Trust		None	J	T					
15. Lord Abbett Secs Tr.		None	J	T					
16. Putnam Int. Growth Fund		None	J	T					
17. Templeton Funds Inc		None	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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18. Van Kampen Comstock CL		None	J	T					
19. Van Kampen Equity Trust		None	J	T					
20. Money Market Funds:									
21. Legg Mason Cash Reserve Trust		None	K	T					
22. Section 529 - Internal Revenue Code Education Funds for									
23. New York's College Savings Program (age/ risk based invest.)									
24.		None	K	T					
25.		None	K	T					
26.		None	K	T					
27. M&T BANK (common stock)	B	Dividend	K	T					
28. M&T BANK (common stock)	A	Dividend	J	T					
29. M&T BANK (common stock)	A	Dividend	K	T					
30. M&T BANK (common stock)	A	Dividend	K	T					
31. M&T BANK (common stock)	B	Dividend	J	T					
32. M&T BANK (common stock)	B	Dividend	J	T					
33. M&T BANK (common stock)	B	Dividend	J	T					
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35. UNITEDHEALTH GROUP INC		None	J	T					
36. CONSTELLATION ENERGY GP		None	J	T					
37. HERSHEY FOODS CORP		None	J	T					
38. IMMUNEX CORP NEW		None	J	T					
39. INDYMAC BANCORP INC		None	J	T					
40. LEHMAN BROTHERS HLDGS COM		None	J	T					
41. HCA INC		None	J	T					
42. VISHAY INTERTECHNLGY		None	J	T					
43. BARNES & NOBLE INC		None	J	T					
44. WACHOVIA CORP		None	J	T					
45. REHABCARE GROUP INC		None	J	T					
46. ELECTRS BOUTIQUE HLDGS		None	J	T					
47. HARLEY DAVIDSON INC		None	J	T					
48. MID ATLANTIC MED SVCS		None	J	T					
49. OCEAN ENERGY INC		None	J	T					
50. PUB SVC NEW MEX PR		None	J	T					
51. AFFILIATED COMP SVCS		None	J	T					

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52. AMERICAN STD COS		None	J	T					
53. ANIXTER INTL INC		None	J	T					
54. BOISE CASCADE		None	J	T					
55. MBNA CORP		None	J	T					
56. OPEN TEXT CORP		None	J	T					
57. LEAR CORP		None	J	T					
58. CAPITOL ONE FINL		None	J	T					
59. CAPITOL ONE FINL		None	J	T					
60. KINETICS CONCEPTS		None	J	T					
61. NEWS C ORP CI		None	J	T					
62. NORFOLK SOUTHERN		None	J	T					
63. OPEN TEXT CORP		None	J	T					
64. SONY CORP		None	J	T					
65. WEIGHT WATCHERS INT		None	J	T					
66. HARTFORD FINL SVCS GROUP	A	Dividend			Sold	09/18/13	J	A	
67. COMMERCE BANCORP INC NJ		None	J	T					
68. INGRAM MICRO INC CL A		None	J	T					

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69. MOTOROLA INC COM		None	J	T					
70. MICRO DEVICES INC		None	J	T					
71. SCIENTIFIC ATLANTA		None	J	T					
72. MATSUSHTA EL IND ADR		None	J	T					
73. FISHER SCIENTIFIC 1 NEW		None	J	T					
74. THERMO ELECTRON CORP		None	J	T					
75. LEXMARK INTL INC CL A		None	J	T					
76. UNIVISION COMM INC CL A		None	J	T					
77. SAP AKGSLTT SPONSORD ADR		None	J	T					
78. KOHLS CORP WISC PV 1CT		None	J	T					
79. GLOBAL SANTAFE CORP		None	J	T					
80. REGIONS FINL CORP		None	J	T					
81. CITIZENS COMMNCTNS CO		None	J	T					
82. PENSKE AUTO GROUP INC		None	J	T					
83. GARMIN LTD (KAYMAN IS)		None	J	T					
84. CHEVRON CORP	A	Dividend			Sold	09/18/13	J	A	
85. TREEHOUSE FOODS INC COM STK		None	J	T					

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86. ATC TECH CORP		None	J	T					
87. GILEAD SCIENCES INC COM		None			Sold	09/18/13	J	A	
88. APACHE CORP	A	Dividend	J	T					
89. L.V.M.H. EUR 0.3.3EUR PAR. ORDINARY		None	J	T					
90. CARLSBERG AS-B 20.DKK	A	Dividend			Sold	05/07/13	J	A	
91. EXXON MOBIL CORP COM	A	Dividend			Sold	07/29/13	J	B	
92. NEENAH PAPER INC	A	Dividend			Sold	05/10/13	J	B	
93. TRW AUTOMOTIVE HOLDINGS CORP		None			Sold	02/15/13	J	B	
94. APPLIED MATERIALS INC DE	A	Dividend			Sold	04/18/13	J	A	
95. HOME PROPERTIES INC DE	A	Dividend			Sold	02/08/13	J	A	
96. SCHLUMBERGER LTD NETHERLANDS ANTILLES	A	Dividend	J	T					
97. AMER EXPRESS CO DE	A	Dividend			Sold	09/18/13	J	A	
98. CERNER CORP DE		None			Sold	09/18/13	J	A	
99. FLOWSERVE CORP DE	A	Dividend			Sold	09/18/13	J	A	
100. BAYERISCHE MOTORNWERKE AG SHS 98 EUR	A	Dividend			Sold	08/02/13	J	A	
101. KINDER MORGAN ENERGY PARTNERS MLP	A	Dividend	J	T					
102. ESSEX PRPPERTY TRUST INC DE	A	Dividend			Sold	05/20/13	J	A	

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103. NPS PHARMACEUTICALS DEL DE		None			Sold	09/18/13	J	A	
104. CBS CORP NEW CL B DE	A	Dividend			Sold	09/18/13	J	A	
105. ENTERPRISE PRODUCTS PARTNER LP MLP	A	Dividend			Sold	09/18/13	J	A	
106. CME GROUP INC CE	A	Dividend			Sold	04/01/13	J	A	
107. SNAP ON INC DE	A	Dividend			Sold	09/18/13	J	A	
108. WYNDHAM WORLDWIDE CORP DE	A	Dividend			Sold	06/25/13	J	B	
109. LIMITED BRANDS INC CL A DE	A	Dividend			Sold	02/19/13	J	A	
110. AETNA INC DE	A	Dividend			Sold	09/18/13	J	A	
111. PRICE T ROW GROUUP INC DE	A	Dividend			Sold	09/18/13	J	A	
112. HUMANA INC DE	A	Dividend			Sold	02/19/13	J	A	
113. TESCO CORP CAD DE		None			Sold	07/05/13	J	A	
114. HUNTINGTON BANCSHARES DE	A	Dividend			Sold	05/15/13	J	B	
115. PIONEER NAT RES DO DE	A	Dividend			Sold	06/11/13	J	B	
116. VALERO ENERGY CORP NEW DE	A	Dividend			Sold	09/18/13	J	A	
117. ONEOK INC NEW DE	A	Dividend			Sold	04/24/13	J	B	
118. BAIDU INC ADS REPSNTG CL A ORD SHS SPON ADR		None			Sold	07/15/13	J	A	
119. QUALCOMM INC DE	A	Dividend			Sold	09/18/13	J	A	

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120. USG CORP NEW DE		None			Sold	09/18/13	J	A	
121. AFLAC INC DE	A	Dividend			Sold	09/18/13	J	A	
122. TRINITY INDUSTRIES INC DE	A	Dividend			Sold	09/18/13	J	A	
123. ICON PLC SPON ADR DE		None			Sold	09/18/13	J	A	
124. BROADCOM CORP CL A DE	A	Dividend			Sold	07/25/13	J	B	
125. TEXTRON INC DE	A	Dividend	J	T					
126. MICROSOFT CORP DE	A	Dividend			Sold	04/15/13	J	A	
127. LAZARD LTD CL A DE	A	Dividend			Sold	09/18/13	J	B	
128. VINCI SA ACT EUR DE		None			Sold	04/02/13	J	A	
129. SMUCKER JM CO NEW DE	A	Dividend			Sold	08/21/13	J	B	
130. RAILCORP HOLDINGS INC NEW DE		None	J	T					
131. SANDISK CORP DE		None			Sold	01/24/13	J	A	
132. CBOE HLDGS INC COM DE	A	Dividend			Sold	12/03/13	J	B	
133. RAYMOND JAMES FINANCIAL CORP		None			Sold	01/09/13	J	B	
134. ROCHE HLDG LTD SPONS ADR SWITZ ADR		None			Sold	02/22/13	J	B	
135. HUANENG POWER INTL INC SPONSORED ADR SER N SHS		None			Sold	09/18/13	J	B	
136. TRIUMPH GROUP INC DE	A	Dividend			Sold	07/26/13	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. APPLE INC DE	A	Dividend	J	T					
138. PRUDENTIAL PLC ADR UNITED KINGDOM		None			Sold	03/13/13	J	B	
139. BB&T CORP DE	A	Dividend			Sold	05/15/13	J	B	
140. INTERCONTINENTAL EXCHANGE INC		None			Sold	04/01/13	J	B	
141. MKS INSTRUMENTS INC DE	A	Dividend			Sold	04/25/13	J	B	
142. HARTFORD FINCL SERVICES GROUP INC		None	J	T					
143. VALUCLICK INC DE		None	J	T					
144. ALLERGAN INC DE	A	Dividend			Buy	01/02/13	J		
145. ALLERGAN INC DE		None			Sold	06/24/13	J	A	
146. PRUDENTIAL PLC ADR UNITED KINGDOM		None	J	T	Buy	01/03/13	J		
147. ESSILOR INTL SPON ADR DE	A	Dividend			Buy	01/14/13	J		
148. ESSILOR INTL SPON ADR DE		None			Sold	08/30/13	J	B	
149. CARNIVAL CORP NEW	A	Dividend			Buy	01/16/13	J		
150. CARNIVAL CORP NEW		None			Sold	05/22/13	J	B	
151. BOSTON PROPERTIES INC DE	A	Dividend	J	T	Buy	01/18/13	J		
152. MARKS & SPENDER GROUP INC SPON DR	A	Dividend			Buy	01/25/13	J		
153. MARKS & SPENDER GROUP INC SPON DR		None			Sold	11/05/13	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. NATL-OILWELL VARCO INC DE	A	Dividend			Buy	01/25/13	J		
155. NATL-OILWELL VARCO INC DE		None			Sold	07/30/13	J	A	
156. SCRIPPS NETWORKS INTERACT INC CL A	A	Dividend			Buy	02/07/13	J		
157. SCRIPPS NETWORKS INTERACT INC CL A		None			Sold	09/18/13	J	A	
158. TARGET CORP DE	A	Dividend			Buy	02/19/13	J		
159. TARGET CORP DE		None			Sold	08/21/13	J	B	
160. CONAGRA FOOD INC DE	A	Dividend			Buy	02/16/13	J		
161. CONAGRA FOOD INC DE		None			Sold	12/19/13	J	B	
162. LAZARD LTD CL A DE		None	J	T	Buy	02/21/13	J		
163. CHEUNG KONG HOLDINGS LTD ADR HONG KONG ADR	A	Dividend			Buy	02/28/13	J		
164. CHEUNG KONG HOLDINGS LTD ADR HONG KONG ADR		None			Sold	09/18/13	J	A	
165. MEMC ELECTRONIC MATERIALS INC		None	J	T	Buy	03/01/13	J		
166. DISCOVER FINANCIAL SERVICES	A	Dividend			Buy	03/13/13	J		
167. DISCOVER FINANCIAL SERVICES		None			Sold	09/18/13	J	A	
168. ERICSSON SEK 10 NEW 2002 ADR	A	Dividend			Buy	03/13/13	J		
169. ERICSSON SEK 10 NEW 2002 ADR		None			Sold	07/18/13	J	A	
170. CATHAY PAC AIRWAYS LTD SPONSORED ADR ADR	A	Dividend			Buy	03/14/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. CATHAY PAC AIRWAYS LTD SPONSORED ADR ADR		None			Sold	11/26/13	J	B	
172. AKAMAI TECHNOLOGIES INC DE		None			Buy	03/19/13	J		
173. AKAMAI TECHNOLOGIES INC DE		None			Sold	04/25/13	J	B	
174. FRAPORT AG FRANKFURT ARPT SVCS WORLDWIDE AKT	A	Dividend			Buy	03/26/13	J		
175. FRAPORT AG FRANKFURT ARPT SVCS WORLDWIDE AKT		None			Sold	09/30/13	J	B	
176. CHINA UNICOM HONG KING LTD ADR	A	Dividend			Buy	04/26/13	J		
177. CHINA UNICOM HONG KING LTD ADR		None			Sold	09/18/13	J	A	
178. HUTCHINSON WHAMPOA LTD ADR HONG KONG ADR		None			Buy	03/28/13	J		
179. HUTCHINSON WHAMPOA LTD ADR HONG KONG ADR		None			Sold	09/18/13	J	A	
180. EW SCRIPPS CO CL A DE		None			Buy	04/04/13	J		
181. EW SCRIPPS CO CL A DE		None			Sold	07/10/13	J	B	
182. SERCO GROUP PLC GBP DE	A	Dividend			Buy	04/15/13	J		
183. SERCO GROUP PLC GBP DE		None			Sold	09/27/13	J	A	
184. AMERISOURCEBERGEN CORP DE	A	Dividend			Buy	04/17/13	J		
185. AMERISOURCEBERGEN CORP DE		None			Sold	09/18/13	J	A	
186. ACCOR FF 100 ORD EUR DE	A	Dividend			Buy	04/18/13	J		
187. ACCOR FF 100 ORD EUR DE		None			Sold	12/05/13	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. CHINA UNICOM HONG KING LTD ADR		None			Buy	04/26/13	J		
189. CHINA UNICOM HONG KING LTD ADR		None			Sold	10/04/13	J	B	
190. PAREXEL INTL CORP DE		None			Buy	05/01/13	J		
191. PAREXEL INTL CORP DE		None			Sold	09/18/13	J	A	
192. ACTAVIS INC COM DE		None			Buy	05/02/13	J		
193. ACTAVIS INC COM DE		None			Sold	05/20/13	J	B	
194. GILEAD SCIENCES INC DE		None	J	T	Buy	05/02/13	J		
195. GAMESTOP CORP NEW (HOLDING CO) CL A	A	Dividend			Buy	05/08/13	J		
196. GAMESTOP CORP NEW (HOLDING CO) CL A		None			Sold	07/24/13	J	B	
197. STADA ARZNEIMITTEL AG DEM 50 (REGD) EUR	A	Dividend			Buy	05/08/13	J		
198. STADA ARZNEIMITTEL AG DEM 50 (REGD) EUR		None			Sold	12/03/13	J	B	
199. EMC CORP MASS DE	A	Dividend			Buy	05/09/13	J		
200. EMC CORP MASS DE		None			Sold	09/18/13	J	A	
201. EUROPEAN AERONAUTIC DEFENCE AN SHS EURO EUR	A	Dividend			Buy	05/15/13	J		
202. EUROPEAN AERONAUTIC DEFENCE AN SHS EURO EUR		None			Sold	09/18/13	J	A	
203. XILINX INC DE	A	Dividend			Buy	05/20/13	J		
204. XILINX INC DE		None			Sold	09/18/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. MEMC ELECTRONIC MATERIALS INC		None	J	T	Buy	05/31/13	J		
206. AMER EXPRESS CO DE		None	J	T	Buy	05/31/13	J		
207. PUBLIC STORAGE REIT DE	A	Dividend	J	T	Buy	05/31/13	J		
208. QLOGIC CORP DE		None			Buy	06/03/13	J		
209. QLOGIC CORP DE		None			Sold	09/18/13	J	A	
210. TRIMBLE NAVIGATION LTD DE		None			Buy	06/03/13	J		
211. TRIMBLE NAVIGATION LTD DE		None			Sold	09/18/13	J	A	
212. PRUDENTIAL FINANCIAL INC DE		None			Buy	06/04/13	J		
213. PRUDENTIAL FINANCIAL INC DE		None			Sold	09/18/13	J	A	
214. SINOPEC SHANGHAI PETROCHEMICAL CO LTD	A	Dividend			Buy	06/13/13	J		
215. SINOPEC SHANGHAI PETROCHEMICAL CO LTD		None			Sold	06/13/13	J	A	
216. TORONTO DOMINION BK NEW CANADA CAD	A	Dividend			Buy	06/18/13	J		
217. TORONTO DOMINION BK NEW CANADA CAD		None			Sold	09/13/13	J	B	
218. LOUISIANA PACIFIC CORP DE		None			Buy	06/19/13	J		
219. LOUISIANA PACIFIC CORP DE		None			Sold	11/05/13	J	A	
220. BLACKROCK INC DE	A	Dividend	J	T	Buy	06/19/13	J		
221. ADIDAS AG SPON ADR DE		None			Buy	06/25/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. ADIDAS AG SPON ADR DE		None			Sold	12/10/13	J	B	
223. TAKE-TWO INTERACTIVE SOFTWARE		None			Buy	06/27/13	J		
224. TAKE-TWO INTERACTIVE SOFTWARE		None			Sold	09/18/13	J	A	
225. ANSYS INC DE		None			Buy	06/28/13	J		
226. ANSYS INC DE		None			Sold	09/18/13	J	A	
227. JOHNSON CONTROLS INC DE	A	Dividend			Buy	07/18/13	J		
228. JOHNSON CONTROLS INC DE		None			Sold	09/18/13	J	B	
229. AUTONATION INC DE		None			Buy	07/18/13	J		
230. AUTONATION INC DE		None			Sold	09/18/13	J	A	
231. AIR CHINA LTD SPON ADR DE		None			Buy	07/18/13	J		
232. AIR CHINA LTD SPON ADR DE		None			Sold	09/18/13	J	A	
233. SIMON PPTY GROUP INC SBI DE	A	Dividend	J	T	Buy	07/29/13	J		
234. SOCIETE GENERALE FRANCE SPONS ADR ADR		None			Buy	08/01/13	J		
235. SOCIETE GENERALE FRANCE SPONS ADR ADR		None			Sold	11/08/13	J	B	
236. IAC INTERACTIVE CORP DE	A	Dividend			Buy	08/01/13	J		
237. IAC INTERACTIVE CORP DE		None			Sold	10/29/13	J	B	
238. HUANENG POWER INTL INC SPONSORED ADR SER N SHS		None			Buy	08/01/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. HUANENG POWER INTL INC SPONSORED ADR SER N SHS		None			Sold	10/24/13	J	B	
240. BEIERSDORF AG ORD *FOREIGN SECURITY ORD		None	J	T	Buy	08/07/13	J		
241. KONINKLIJKE AHOLD NV NEW 2007 SPON ADR		None			Buy	08/16/13	J		
242. KONINKLIJKE AHOLD NV NEW 2007 SPON ADR		None			Sold	09/18/13	J	A	
243. SUNEDISON INC DE		None			Buy	08/27/13	J		
244. SUNEDISON INC DE		None			Sold	09/18/13	J	B	
245. US AIRWAYS GROUP INC DE		None			Buy	08/29/13	J		
246. US AIRWAYS GROUP INC DE		None			Sold	09/18/13	J	A	
247. SINCLAIR BROADCAST GROUP INC CL A	A	Dividend			Buy	09/03/13	J		
248. SINCLAIR BROADCAST GROUP INC CL A		None			Sold	09/18/13	J	A	
249. CAMPBELL SOUP CO DE	A	Dividend			Buy	09/05/13	J		
250. CAMPBELL SOUP CO DE		None			Sold	09/18/13	J	A	
251. INTL BUSINESS MACH DE		None			Buy	09/09/13	J		
252. INTL BUSINESS MACH DE		None			Sold	10/22/13	J	B	
253. EARTHLINK INC DE	A	Dividend			Buy	09/13/13	J		
254. EARTHLINK INC DE		None			Sold	09/18/13	J	A	
255. JPMORGAN CHASE & CO DE	A	Dividend	J	T	Buy	09/19/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. TOTAL S.A. FRANCE SPON ADR		None	J	T	Buy	09/24/13	J		
257. EQUINIX INC NEW DE		None	J	T	Buy	10/16/13	J		
258. SWATCH GROUP AG ADR DE		None	J	T	Buy	10/22/13	J		
259. LAZARD LTD CL A DE		None	J	T	Buy	10/24/13	J		
260. EVEREST RE GROUP LTD BERMUDA	A	Dividend	J	T	Buy	10/25/13	J		
261. CARNIVAL CORP NEW (PAIRED STOCK)		None	J	T	Buy	11/01/13	J		
262. ROYAL CARIBBEAN CRUISES LTD LIBERIA ORD		None	J	T	Buy	11/11/13	J		
263. TRINA SOLAR LTD ADS SPON ADR		None	J	T	Buy	11/19/13	J		
264. LAS VEGAS SANDS CORP DE	A	Dividend	J	T	Buy	11/21/13	J		
265. GAMESTOP CORP NEW (HOLDING CO) CL A		None	J	T	Buy	11/21/13	J		
266. CIENA CORP NEW DE		None	J	T	Buy	11/21/13	J		
267. GILEAD SCIENCES INC DE		None	J	T	Buy	12/09/13	J		
268. POWER ASSETS HOLDINGS LTD SPON ADR		None	J	T	Buy	12/17/13	J		
269. EASTMAN CHEMICAL CO DE		None	J	T	Buy	12/18/13	J		
270. EOG RESOURCES INC DE		None	J	T	Buy	12/18/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Continuation of Part I. POSITIONS:

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ HKennethJr Schroeder

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544