

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pechman, Marsha J.	2. Court or Organization U.S. District Court	3. Date of Report 05/03/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III judge - senior status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 700 Stewart Street, Room 14229 Seattle, WA 98101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Trustees	Justice Advocacy Africa
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1/1/99	Public Employees Retirement System, State of Washington (retirement benefit plan)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	Preg O'Donnell & Gillett - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. National Judicial Institute of Canada	10/02/17 - 10/05/17	Montreal, Quebec, Canada	Faculty member at conference on Managing and Maintaining Control in Large Jury Cases	Transportation, lodging, meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Visa	Credit card	J
2.	Capital One	Credit card	J
3.	Preg, O'Donnell & Gillett PLLC Profit Sharing Plan	Loan	K
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1									
2. -- Amgen, Inc (AMGN)	A	Int./Div.	K	T					
3. -- Costco Companies	A	Int./Div.			Buy	03/01/17	K		
4.					Redeemed	06/15/17	K	A	
5. -- Dodge & Cox Income (DODIX)	A	Int./Div.	K	T					
6. -- Emerson Electric (EMR)	A	Int./Div.			Sold	10/10/17	J	D	
7. -- Europacific Growth Fund - Amer Funds (AEPFX)	A	Int./Div.	J	T	Buy	07/27/17	J		
8.									
9. -- Exxon/Mobil Corp. (XOM)	A	Int./Div.	K	T					
10. -- FMI Large Cap Fund (FMIHX)	B	Int./Div.	J	T	Buy	02/23/17	K		
11. -					Sold (part)	10/10/17	J	A	
12. -- Guggenheim S&P Mid Cap 400 (EWMCOLD)	A	Int./Div.	K	T	Buy	02/23/17	K		
13. -- Harbor Bond Fund (HABDX)	A	Int./Div.	K	T	Sold (part)	02/23/17	K	A	See notes @ Part VIII
14. -- Harris Assoc Inv Tr Oakmark Intl Fund (OAKIX)	A	Int./Div.	K	T	Sold (part)	10/17/17	K	B	
15. -- Harris Assoc Inv Tr - Oakmark Eq&Inc Fund (OAKBX)	B	Int./Div.	K	T	Sold (part)	10/17/17	J	C	
16. -- Hennessy Cornerstone Mid-Cap 30 Fd (HIMDX)					Sold (part)	02/23/17	K	A	
17.					Sold	07/27/17	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -- Johnson & Johnson (JNJ)	A	Int./Div.	K	T					
19. -- JP Morgan (JVAIX)	B	Int./Div.	L	T	Sold (part)	10/10/17	J	C	
20. -- Managers AMG Funds (Yackman)	A	Int./Div.			Sold	10/10/17	J	A	
21. -- Metropolitan Funds (MWLIX)	B	Int./Div.	M	T					
22. -- Parnassus Core Equity (PRBLX)	B	Int./Div.	L	T	Buy	07/27/17	J		
23.					Sold (part)	10/10/17	K	B	
24. -- PepsiCo Cap. Resources, Inc.	A	Int./Div.			Buy	03/01/17	K		
25.					Redeemed	10/13/17	K	A	
26. -- Principal Funds Inc (PCBIX)	B	Int./Div.	K	T					
27. -- Prof Mngd Fund Portfolio - Osterweis Funds (OSTFX)	A	Int./Div.			Sold	07/27/17	K	A	
28. -- RJ Bank Deposit Program	A	Interest	L	T					
29. -- Target (TGT)	A	Int./Div.			Sold	03/24/17	J	D	
30. -- Touchstone Instl Funds (CISGX)					Sold	02/23/17	K	A	
31. -- T Rowe Price Growth Stock Fund Inc. (PRGFX)	D	Int./Div.	L	T	Sold (part)	10/10/17	K	D	
32. -- U.S. Treasury Bills			M	T	Buy	04/04/17	L		
33.					Redeemed (part)	07/06/17	K		
34.					Buy (add'l)	07/16/17	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Buy (add'l)	09/11/17	K		
36.					Buy (add'l)	10/11/17	L		
37.					Buy (add'l)	10/16/17	K		
38.					Buy (add'l)	11/09/17	K		
39.					Redeemed (part)	11/09/17	K		
40.					Redeemed (part)	12/28/17	K		
41. -- Vanguard Dividend Apprec (VIG)	B	Int./Div.	L	T					
42. -- Vanguard Short Term Corp Fund - Adm (VFSUX)	C	Int./Div.	M	T	Sold (part)	07/27/17	K	A	See note @ Part VIII
43. -- Wells Fargo & Co.	A	Int./Div.	J	T					
44.									
45. IRA #2									
46. -- Vanguard Emerging Mkts Stk Idx Inv (VEIEX)	A	Int./Div.	J	T					
47. -- Vanguard Inflation- Protected Sec Inv (VIPSX)	A	Int./Div.	J	T					
48. -- Vanguard International Growth Inv (VWIGX)	A	Int./Div.	K	T					
49. -- Vanguard Long-Term Invest-Gr Inv (VWESX)	B	Int./Div.	K	T					
50. -- Vanguard Mid-Cap Index Fund Adm (VIMAX)	A	Int./Div.	K	T					
51. -- Vanguard Prime Money Mkt Fund (VMMXX)	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -- Vanguard REIT Index Fund Adm (VGSLX)	A	Int./Div.	K	T					
53. -- Vanguard S-T Investmt- Grade Inv (VFSTX)	A	Int./Div.	K	T					
54. -- Vanguard Small-Cap Index Fund - Adm (VSMAX)	A	Int./Div.	J	T	Open	01/09/17	J		See note Part VIII.
55. -- Vanguard Small-Cap Index Fund Inv (NAESX)					Closed	01/09/17	J		See note Part VIII.
56. -- Vanguard Total Intl Bond Idx Adm (VTABX)	A	Int./Div.	J	T					
57. -- Vanguard Wellesley Income Fund Inv (VWINX)	B	Int./Div.	K	T					
58. -- Vanguard Wellington Fund Admiral (VWENX)	C	Int./Div.	K	T					
59. -- Vanguard 500 Index Fund Adm (VFIAX)	B	Int./Div.	L	T					
60.									
61. 401K									
62. -- American Funds Europacific R4	A	Int./Div.	K	T					
63. -- DFA U.S. Large Cap Value	A	Int./Div.	J	T					
64. -- DFA U.S. Small Cap Value	A	Int./Div.	J	T					
65. -- JHancock3 Displin Val Mid Cap	A	Int./Div.	J	T					
66. -- Morley Stable Value Fund	A	Int./Div.	J	T					
67. -- Oppenheimer Developing Mkts Y	A	Int./Div.	J	T					
68. -- Pimco Real Return Adm	A	Int./Div.	J	T					

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69. -- T Rowe Price New American Growth	A	Int./Div.	J	T					
70. -- Vanguard 500 Index Admiral	A	Int./Div.	J	T					
71. -- Vanguard Inter Bond Index Signal	A	Int./Div.	J	T					
72. -- Vanguard Mid Cap Growth Index Admiral	A	Int./Div.	J	T					
73. -- Vanguard Mid Cap Index Admiral	A	Int./Div.	J	T					
74. -- Vanguard Small Cap Growth Index	A	Int./Div.	J	T	Buy	04/03/17	J		
75. -- Vanguard Small Cap Index Admiral	A	Int./Div.	J	T					
76. -- Virtus Real Estate Fund I	A	Int./Div.	J	T					
77.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 13. Originally reported incorrectly as a complete sale.

Line 42. Originally reported incorrectly as a complete sale.

Line 54. Converted from Vanguard Small-Cap Index Fund Inv (NAESX).

Line 55. Converted to Vanguard Small-Cap Index Fund - Adm (VSMAX)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Marsha J. Pechman

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544