

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Alley, Frank	2. Court or Organization U. S. Bankruptcy Court, District of Oregon	3. Date of Report 12/21/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 08/31/2017
7. Chambers or Office Address United States Courthouse 405 E. 8th Ave. Suite 2600 Eugene, OR 97401		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Family Trust created 12/2003
2.	Partner	Laurel St. Land. Co., Medford, OR
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Washington Mutual Bank or successor (JPMorgan Chase)	Mortgage on Laurel St. Land property, Medford, OR	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Umpqua Bank Savings	A	Int./Div.	J	T					
2. Umpqua Bank Checking		None	J	T					
3. Umpqua Bank "Money Market" Checking account	A	Int./Div.	L	T					
4. US Bank Money Market/Checking	A	Int./Div.	J	T					
5. Fidelity Mutual Cap. Appreciation fund	A	Distribution	J	T					
6. Fidelity Adv.Diversified Fund	B	Distribution	N	T					
7. First Amerien Equity Income Fund	B	Dividend	M	T					
8. First Amerien Midcap Growth	A	Dividend	M	T					
9. First American High Income (Bond)	E	Int./Div.	N	T					
10. T Rowe Price Midcap Growth	B	Dividend	M	T					
11. Alliant Energy Corp.	A	Dividend	K	T					
12. American Electrical Power Corp.	A	Dividend	J	T	Sold (part)	05/24/17	J	A	
13. Chevron Corp.	A	Dividend	L	T					
14. Conoco Phillips Corp.	A	Dividend	J	T	Sold (part)	05/24/17	J	A	
15. Duke Energy Corp.	A	Dividend	K	T					
16. Exxon Mobil corp.	A	Dividend	K	T					
17. Johnson & Johnson	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Marathon Oil Corp.	A	Dividend	K	T	Sold (part)	05/24/17	J	A	
19. McDonalds Corp.	A	Dividend	K	T					
20. Pepsico	A	Dividend	K	T					
21. Praxair, Inc.	A	Dividend	K	T					
22. 3M Corp.	A	Dividend	K	T					
23. United Parcel Service	A	Dividend	K	T					
24. Waste Management Corp.	B	Dividend	K	T					
25. US Treasury Bond	B	Interest	K	T					
26. Boeing Capital Corp Bond	B	Interest	K	T					
27. US treasury Note 8/15/12	B	Interest	K	T					
28. Bank of America Note	B	Interest	K	T					
29. Goldman Sachs Group note	B	Interest	K	T					
30. Royal Bank of Scotlandf	B	Interest	K	T					
31. FLHMC	A	Interest	K	T					
32. Metlife Inc. Note	B	Interest	K	T					
33. Excide Technologies Warrant	A	Interest	J	T					
34. First America Prime Money Market	D	Interest	O	T					

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35. Johnson Controls	A	Dividend		T					
36. Nuveen Divident Value FAQIX	A	Dividend	J	T	Sold (part)	07/27/17	K	A	
37. Nuveen Santa Barbara Div Growth NSBRX	A	Dividend	J	T					
38. TFS Market Neutral fund TFSMX	A	Dividend	J	T					
39. Nuveen High Yield Muni Bond NHMRX	A	Dividend	M	T	Sold (part)	05/24/17	J	A	
40.					Sold (part)	07/27/17	J	A	
41. Nuveen Inflation Pro Fixed Income Fund	C	Interest	L	T					
42. Oppenheimer Com. Fixed Income	C	Dividend	L	T					
43. Pimco Total Return Fund	D	Interest	K	T					
44. Vanguard Equity Income VEIRX	A	Int./Div.	J	T					
45. Vanguard Strategic Equity VSEQX	A	Int./Div.	J	T					
46. Aberdeen Fds Emergn Mkt	A	Int./Div.	J	T					
47. Willis group	A	Dividend	J	T					
48. Halyard Health	A	Dividend	J	T					
49. ITT Corp	A	Dividend	J	T					
50. International Paper	A	Dividend	J	T					
51. Kimberly Clark	A	Dividend	J	T					

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52. Kroger Co.	A	Dividend	J	T					
53. Mylan, Inc.	A	Dividend	J	T					
54. Proctor & Gamble	A	Dividend	J	T					
55. Rio Tinto PLC ADR	A	Dividend	J	T					
56. Vectrus inc.	A	Dividend	J	T					
57. VISA Inc	A	Dividend	J	T					
58. Xylem, Inc	A	Dividend	J	T					
59.									
60. Pacific Continental Bank checking (as trustee)	A	None	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

This is my final report. I retired effective August 31, 2016, and served a one-year term on recall from 9/1/2016 to 8/31/2017

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Frank Alley**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544