

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Merz, Michael R.	2. Court or Organization District Court for the Southern District of Ohio	3. Date of Report 07/28/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (recalled full time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address Room 501 200 West Second Street Dayton, Ohio 45402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee and secretary	East End Community Services Corporation
2.	Trustee and secretary-treasurer	Dayton Metro Library Foundation
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2012	does individual spiritual direction on a fee-for service basis
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. U.S. Conference of Catholic Bishops	6/1/2012-11/15/2012	Atlanta, Georgia & Baltimore, MD	Meetings about child sexual abuse by clerics	Air fare, lodging and meals - some paid to me, balance to vendors
2. Ohio State Bar Association	5/4/2012	Cincinnati, Ohio	Seminar - CLE provider	Mileage, lodging, meals
3. Santa Clara University	5/15/2012	Santa Clara, California	Book presentation on child sexual abuse by clerics	Air fare, lodging, meals
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. New Perspective Fund shares		None			Sold (part)	03/27/12	K	D	
2.					Sold	04/27/12	L	D	
3. Growth Fund of America shares		None			Sold (part)	03/27/12	K	D	
4.					Sold	04/27/12	L	D	
5. Washington Mutual Investors Fund shares		None			Sold (part)	03/12/12	K	B	
6.					Sold	04/27/12	L	C	
7. Fidelity Advisors Tech Fund shares		None			Sold	04/27/12	K		
8. Fidelity Adv. Tech Fund Class T		None			Sold	04/27/12	K		
9. Bank of America CD		None			Redeemed	04/30/12	K		
10. Stifel Nicolaus Money Fund		None	K	T	Sold (part)	04/27/12	J	A	
11. Ally Bank CD	A	Interest			Redeemed	10/22/12	K		
12. Fifth Third Bank	A	Interest	J	T					
13. St. Helen Federal Credit Union	A	Interest			Closed	07/15/12	J		
14. John Hancock Life Insurance cash value policy	A	Int./Div.	J	U					
15. MetLife life insurance cash value policy	A	Int./Div.	K	U					
16. IRA #1 (Stifel, Nicolaus)	D	Int./Div.	O	T					Rollover from TSP
17. - AT&T common shares	B	Dividend	K	T	Sold (part)	10/12/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	05/01/12	J		
19. - Abbott Laboratories common shares	A	Dividend	J	T	Buy (add'l)	10/12/12	J		
20.					Buy (add'l)	05/01/12	J		
21.					Sold (part)	11/14/12	J	A	
22. - Alcoa common shares	A	Dividend	J	T					
23. - Amgen common	A	Dividend	J	T	Sold (part)	11/14/12	J	A	
24. - Apple common	A	Dividend	J	T					
25.					Buy (add'l)	12/26/12	J		
26.									
27. - Applied Materials common					Sold	10/01/12	J	A	
28. - Avery Denison common					Sold	07/24/12	J	A	
29. - Bank of NY Mellon common					Buy (add'l)	03/13/12	J		
30.					Sold	11/07/12	J	A	
31. - Broadcom Class A common					Sold (part)	03/20/12	J	A	
32.					Sold	08/23/12	J	A	
33. - Campbell Soup common					Sold	11/07/12	J	A	
34. - Century Link common					Sold (part)	09/20/12	J	B	

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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35. - Cisco Systems common	A	Dividend	J	T	Sold (part)	11/14/12	J	A	
36. - Computer Sciences Corp. common					Sold	09/12/12	J	B	
37.					Sold (part)	02/17/12	J	A	
38.					Sold (part)	08/21/12	J	A	
39. - Direct TV Class A common	A	Dividend	J	T	Buy (add'l)	12/14/12	J		
40.					Sold (part)	11/14/12	J	A	
41.					Buy (add'l)	11/26/12	J		
42. -Donnelly RR & Sons Co. common	A	Dividend	J	T	Buy	05/16/12	J		
43. -Du Pont E.I. De Nemours & Co. common	A	Dividend	J	T	Buy	10/23/12	J		
44. - Dow Chemical Common					Sold	02/09/12	J	C	
45. - Exelon Corp. common	B	Dividend	K	T	Buy (add'l)	12/26/12	J		
46.					Sold (part)	05/01/12	J	A	
47.					Buy (add'l)	01/27/12	J		
48.					Buy (add'l)	01/30/12	K		
49. -Express Scripts Holding Co. common		Dividend			Buy	12/26/12	J		
50. - Exxon Mobil common	A	Dividend			Sold (part)	10/10/12	J	B	
51.					Sold (part)	11/14/12	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. - Federated Ins PA Class B common					Sold (part)	03/13/12	J	A	
53.					Sold	09/20/12	J	C	
54. - Frontier Communications common					Sold	09/20/12	J		
55. - Gilead Sciences common					Sold	02/17/12	J	A	
56. - Goodyear Tire & Rubber common	A	Dividend	J	T	Sold (part)	11/14/12	J		
57. - Health Care REIT Inc common	B	Dividend	K	T	Buy	08/23/12	J		
58. - Hospira common					Sold	03/20/12	J	B	
59. - JP Morgan Chase common					Sold	10/23/12	J	C	
60. - Johnson & Johnson common	A	Dividend	J	T	Sold (part)	11/14/12	J	A	
61. - Kellogg common	A	Dividend	J	T					
62. - Leggett & Platt common					Sold	10/19/12	J	A	
63. - MEMC Electronic Materials common					Sold	03/05/12	J		
64. - Microsoft common	A	Dividend	J	T	Buy (add'l)	12/26/12	J		
65.					Sold (part)	08/21/12	J	C	
66. - Newmont Mining Corp Holding Co. common	A	Dividend	J	T	Buy	03/28/12	J		
67. - PPL Corp. common	A	Dividend	J	T	Sold (part)	10/10/12	J	A	
68. - People United Financial common	B	Dividend	K	T					

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69. - Pitney Bowes common					Sold	08/23/12	J		
70. - Potash Corp. of Saskatchewan common					Sold	02/17/12	J		
71. - Quest Diagnostics common	A	Dividend	J	T	Sold (part)	11/14/12	J	A	
72. - Royal Dutch Shell PLC sponsored ADR Repstg A common	A	Dividend	J	T					
73. - Schlumberger common					Sold	08/24/12	J	B	
74. - Staples common	A	Dividend	J	T	Sold (part)	11/14/12	J		
75. -Stewart Enterprises Inc. Class A common	A	Dividend	J	T	Buy	04/27/12	J		
76. - Supervalu common					Sold	07/18/12	J		
77.					Buy	03/28/12	J		
78. - Washington REIT SB1	A	Dividend	J	T					
79. -Watson Pharm Inc. common			J	T	Buy	12/19/12	J		
80. - Xerox common	A	Dividend	J	T					
81. - Logitech Intl SA Namen AKT					Sold	05/10/12	J		
82. - Ishares Trust S&P US PFD Stock Index Fund	B	Dividend	K	T	Sold (part)	11/14/12	J	A	
83. - Market Vectors ETF TR - Rare Earth Strategic Metals ETF					Sold	08/24/12	J		
84.					Buy (add'l)	05/01/12	J		
85. - Bancorpsouth Capital Trust I Trups Pfd 8.15%					Redeemed	07/18/12	J		

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86. -J P Morgan Chase Cap XI preferred	B	Dividend	K	T	Sold (part)	11/14/12	J	A	
87.					Sold (part)	08/21/12	K	A	
88. - Wachovia Capital Trust IX GTD Trust Pfd Secs 6.375%					Redeemed	06/15/12	J		
89. - Invesco Van Kampen Trut Investment Grade Municipals	A	Dividend	J	T	Sold (part)	11/14/12	J	A	
90. -Invesco Senior Income Trust	B	Dividend	K	T					
91. - Nuveen Dividend Advantage Municipal Fund	A	Dividend	J	T	Sold (part)	08/21/12	J	A	
92. - Nuveen Dividend Advantage Municipal Fund II	A	Dividend	J	T	Sold (part)	11/14/12	J	A	
93. - Nuveen Municipal Value Fund	B	Dividend	K	T	Sold (part)	11/14/12	J	A	
94. -PVR Partners Unit Reptg Ptnshi Int LTD	A	Dividend	J	T	Buy	02/15/12	J		
95.					Buy (add'l)	02/17/12	J		
96. - Raytheon Co new common	A	Dividend	J	T					
97. -Stifel Nicolaus Money Market Fund	A	Int./Div.	L	T					
98. AT&T, Inc.common	A	Dividend	K	T	Buy	04/27/12	J		
99.					Sold (part)	10/10/12	J	A	
100. Applied Materials, Inc.		None			Buy	04/27/12	J		
101.					Buy (add'l)	05/21/12	J		
102.					Sold	10/01/12	J		

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103. Abbott Laboratories common	A	Dividend	J	T					
104. Alcoa, Inc. common	A	Dividend	J	T					
105. Amgen, Inc. common	A	Dividend	J	T					
106. Apple, Inc. common	A	Dividend	J	T					
107. Avery Denison		None			Buy	04/27/12	J		
108.					Buy (add'l)	05/21/12	J		
109.					Sold	07/24/12	J		
110. Bank New York Mellon Corp.		None			Buy	04/27/12	J		
111.					Buy (add'l)	05/21/12	J		
112.					Sold	11/07/12	J	A	
113. Broadcom Corp. Class A		None			Buy	04/27/12	J		
114.					Buy (add'l)	05/21/12	J		
115.					Sold	08/23/12	J	A	
116. Campbell Soup Company		None			Buy	04/27/12	J		
117.					Buy (add'l)	05/21/12	J		
118.					Sold	11/07/12	J	A	
119. Cisco Systems, Inc. common	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Merz, Michael R.

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07/28/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Centurylink, Inc.		None			Buy	04/27/12	J		
121.					Buy (add'l)	05/21/12	J		
122.					Sold	09/20/12	J	A	
123. Computer Science Corp.		None			Buy	04/27/12	J		
124.					Buy (add'l)	05/21/12	J		
125.					Sold	09/12/12	J	B	
126. Direct TV common	A	Dividend	J	T					
127. Exelon Corp. common	B	Dividend	K	T					
128. Express Scripts Holding Co. common	A	Dividend	J	T	Buy	12/26/12	J		
129. Exxon Mobil Corp. common	A	Dividend			Buy	04/27/12	J		
130.					Sold	10/10/12	J	A	
131. Federated Inv Inc PA Cl B Non-vtg		None			Buy	04/27/12	J		
132.					Buy (add'l)	05/21/12	J		
133.					Sold	09/20/12	J	A	
134. Frontier Communications Corp. common		None			Buy	04/27/12	J		
135.					Buy (add'l)	05/21/12	J		
136.					Sold	09/20/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Merz, Michael R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Goodyear Tire & Rubber Co. common	B	Dividend	J	T					
138. JP Morgan Chase		None			Buy	04/27/12	J		
139.					Buy (add'l)	05/21/12	J		
140.					Buy (add'l)	07/30/12	J		
141.					Sold	10/23/12	J	A	
142. Johnson & Johnson common	A	Dividend	J	T					
143. Kellogg Company common	A	Dividend	J	T					
144. Leggett & Platt Inc		None			Buy	04/27/12	J		
145.					Buy (add'l)	05/21/12	J		
146.					Sold	10/19/12	J	A	
147. Microsoft Corp. common	A	Dividend	J	T					
148. PPL Corp. common	A	Dividend	J	T	Buy	04/27/12	J		
149.					Sold (part)	10/10/12	J	A	
150. People United Financial Inc. common	A	Dividend	K	T	Buy (add'l)	03/13/12	J		
151. Pitney Bowes, Inc.		None			Buy	04/27/12	J		
152.					Buy (add'l)	05/21/12	J		
153.					Sold	08/23/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Merz, Michael R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Quest Diagnostics common	A	Dividend	J	T					
155. Royal Dutch Shell PLC Sponsored ADRn repstg A shares	A	Dividend	J	T					
156. Schlumberger Ltd.		None			Buy	04/27/12	J		
157.					Buy (add'l)	05/21/12	J		
158.					Sold	08/24/12	J	A	
159. Staples, Inc. common	A	Dividend	J	T					
160. Stewart Enterprises Inc. Class A	A	Dividend	J	T	Buy	04/27/12	J		
161.					Sold (part)	12/20/12	J	A	
162. Supervalu Inc.		None			Buy	04/27/12	J		
163.					Buy (add'l)	05/21/12	J		
164.					Sold	07/18/12	J		
165. Washington Real Estate Investment Trust SBI	A	Dividend	J	T					
166. Wellpoint, Inc.	A	Dividend	J	T	Buy	02/08/12	J		
167. Xerox Corp. common	A	Dividend	J	T					
168. Logitech International SA Namend Akt		None			Buy	04/27/12	J		
169.					Sold	05/01/12	J		
170. Market Vectors ETF Tr Rare Earth Strategic		None			Buy	04/27/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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Merz, Michael R.

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	05/21/12	J		
172.					Sold	08/24/12	J		
173. Flextronics Intl LTD		None	J	T					
174. Ishares S&P U.S. Preferred Stock Index ETF	A	Dividend	K	T					
175. Bancorpsouth Capital Trust I Trups Pfd	A	Dividend	J	T					
176. JP Morgan Chase Cap XI Cum Pfd	A	Dividend	K	T					
177. Invesco Senior Income TR	B	Dividend	K	T					
178. Invesco Trust Invt Grade Muns	A	Dividend	J	T					
179. Nuveen Dividend Advantage Municipa Fund	A	Dividend	J	T					
180. Nuveen Dividend Advantage Municipal Fund II	A	Dividend	J	T					
181. Nuveen Municipal Value Fund	B	Dividend	K	T					
182. PVR Partners Unit Reptg Partnership Int Ltd POTnshp	A	Dividend	J	T	Buy	02/15/12	J		
183. BB&T Capital Trust VII Enhanced Trust Pfd Secs		None			Buy	04/27/12	J		
184.					Buy (add'l)	05/21/12	J		
185.					Redeemed	07/18/12	J		
186. Wachovia Capital Trust IX GTD Trust PFD Secs		None			Buy	05/21/12	J		
187.					Redeemed	06/15/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Merz, Michael R.

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07/28/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Bank of America NA Charlotte NC CD	A	Int./Div.			Redeemed	04/30/12	K		
189. Goldman Sachs Bank USA CD	A	Int./Div.			Buy	03/12/12	K		
190.					Sold	05/22/12	K		
191.									
192.									
193.									
194.									
195.									
196.									
197.									
198.									
199.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Merz, Michael R.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Letter of 7/25/2013, ¶ 3: "In Part VII, page 9, lines 90-91, in your prior report to listed 'Flextronics Intl,' but you did not list this asset in your current report." Please note that in fact Flextronics was listed at line 173 of the 2013 Report as initially filed. Please note also that in ¶ 2 you refer to line 173 as listing an asset not previously disclosed, but the asset in question is Flextronics.

Letter of 7/25/2013, ¶ 2: With respect to each of the following lines in the 5/5/2013 report which you state in ¶ 2 lists an asset not previously reported, you will find the listing in the 2011 report at the line shown:

lines 81-82: J.P. Morgan - listed at line 57 in the 2011 report
line 85 Invesco - see line 98 in 2011 report
line 98 Abbott Laboratories - see line 17 in 2011 report
line 99 Alcoa - see lines 18 & 19 in 2011 report
line 100 Amgen - see line 20 in 2011 report
line 101 Apple - see lines 21, 22, & 23 in 2011 report
line 114 Cisco - see line 37 in 2001 report
line 121 Direct TV - see line 41 in 2001 report
line 124 Exelon - see line 45 in 2011 report
line 134 Goodyear Tire & Rubber - see line 53 in 2011 report
line 140 Johnson & Johnson - see line 59 in 2011 report
line 141 Kellogg - see line 60 in 2011 report
line 145 Microsoft - see line 71 in 2011 report
line 149 People United - see line 73 in 2011 report
line 153 Quest Diagnostics - see line 76 in 2011 report
line 154 Royal Dutch Shell - see line 79 in 2011 report
line 164 Washington Real Estate Investment Trust - see line 85 in 2011 report
line 167 Xerox, Inc. - see line 86 in 2011 report
line 174 Ishares S&P - see line 92 in 2011 report
line 175 Banc Corp South - see line 93 in 2011 report
line 176 J. P. Morgan Chase - see line 57 in 2011 report
line 177 Invesco - see line 98 in 2011 report
line 178 Invesco - see also line 98
line 179 Nuveen Dividend Advantage Fund - see line 99 of 2011 report
line 180 Nuveen Dividend Advantage Fund II -- see line 100 of 2011 report
line 181 Nuveen Municipal Value Fund - see line 101 of 2011 report
line 188 Bank of America CD - see line 7 of 2011 report

At line 165 Watson Pharmaceuticals is listed and you note that it was not listed in 2011. The listing at line 165 is a duplicate of the listing earlier in the report which shows the acquisition data for 12/19/2012.

As to all other assets which your letter indicates were not list in the 2011 report, Part VII has been amended to show the acquisition date for 2012.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michael R. Merz**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544