

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Houser, Barbara J.	2. Court or Organization US Bky Ct; No Dst of Tx	3. Date of Report 04/11/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 1254 Earle Cabell Federal Bldg 1100 Commerce Street Dallas, TX 75242-1496		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Present Interest Trust
2. Fellow; Member of Executive Committee	National Bankruptcy Conference
3. Fellow; Vice-President; Member of Board of Directors	American College of Bankruptcy
4. Fellow	American Bar Foundation
5. Fellow	Texas Bar Foundation
6. Master	John C. Ford American Inn of Court
7. Member; Member of Board of Directors	American Bankruptcy Institute
8. Chair of Board of Directors	Dallas Legal Hospice n/k/a Legal Hospice of Texas
9. Member	National Conference of Bankruptcy Judges

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Advanced Consumer Bankruptcy Practice Institute	January 18-20	Phoenix, AZ	Teach NITA style course to consumer bankruptcy lawyers	Transportation, Lodging, and Meals
2.	University of WI school of law	February 16-18	Madison, WI	Speak at bankruptcy conference	Transportation and Meals
3.	Center for American and International Law	February 22-24	New Orleans, LA	Speak at bankruptcy conference	Transportation, Lodging, and Meals
4.	State Bar of Texas Bankruptcy Section	February 24-26	New Orleans, LA	Judge moot court competition	Transportation, Lodging, and Meals

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5.	American College of Bankruptcy	March 15-17	Washington DC	Attend Spring Meeting of College and speak at educational program	Transportation, Lodging, and Meals
6.	National Conference of Bankruptcy Judges	March 19-20	Miami, FL	Attend mid-year meeting of NCBJ	Transportation, Lodging, and Meals
7.	American Bankruptcy Institute	April 19-22	Washington DC	Attend ABI Board meeting and speak at bankruptcy conference	Transportation, Lodging, and Meals
8.	State Bar of AZ	June 21-23	Phoenix, AZ	Speak at bankruptcy conference	Transportation, Lodging, and Meals
9.	Advanced Consumer Bankruptcy Practice Institute	July 7-9	New Orleans, LA	Teach NITA style course to consumer bankruptcy lawyers	Transportation, Lodging and Meals
10.	American Bankruptcy Institute	October 4-5	Washington DC*	Speak at bankruptcy conference	Transportation, Lodging, and Meals
11.	National Conference of Bankruptcy Judges	October 23-27	San Diego, CA	Attend annual meeting of NCBJ	Transportation, Lodging, and Meals
12.	National Conference of Bankruptcy Judges	November 7-9	Washington DC	Attend meetings	Transportation, Lodging, and Meals
13.	American Bankruptcy Institute	November 9-11	New York City, NY	Speak at bankruptcy conference	Transportation, Lodging, and Meals
14.	American Bankruptcy Institute	November 29-December 1	Tucson, AZ	Attend Board meeting and speak at bankruptcy conference	Transportation, Lodging, and Meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Glenn Cox	Cash gift	\$13,000.00
2.	Veronica Cox	Cash gift	\$13,000.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Technical Communications common stock	A	Dividend	J	T					
2. State Bank of Texas CDs	A	Interest			Redeemed	05/30/12	M		
3. Bank of America (various accounts)	A	Interest	K	T					
4. Capitol One FSB CD	D	Interest	M	T					
5. Key Bank CD	C	Interest			Redeemed	07/23/12	M		
6. Present Interest Trust		None							
7. Wal-Mart Stores, Inc. common stock	A	Dividend	J	T					
8. Discover Bank CD	D	Interest	M	T					
9. Washington Federal Savings Bank CDs	C	Interest	L	T	Redeemed (part)	01/12/12	M		
10. ING CD n/k/a Capitol One Bank	C	Interest	L	T					
11. Interstate Netbank CD n/k/a Customers Bank	B	Interest			Redeemed	06/19/12	L		
12. Jefferson Bank CD n/k/a Mid-South Bank	C	Interest	L	T					
13. Acacia Federal Savings Bank CD	C	Interest	L	T					
14. Wachovia Bank CD n/k/a Wells Fargo	C	Interest	L	T					
15. Intervest National Bank CD	D	Interest	L	T					
16. Flagstar Bank CD	C	Interest			Redeemed	10/16/12	L		
17. Nexity Bank CD n/k/a Alostar Bank	B	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. Exchange Bank CD	C	Interest	M	T					
19. Washington Mutual Bank CD n/k/a Chase Bank	B	Interest	K	T					
20. GMAC Bank (various accounts and CDs) n/k/a Ally Bank	D	Interest	M	T	Redeemed (part)	07/13/12	L		
21. Transportation Alliance Bank CD	B	Interest	K	T					
22. Am Trust Direct n/k/a New York Community Bank CD	B	Interest			Redeemed	01/26/12	M		
23. Am Trust Direct n/k/a New York Community Bank money market	B	Interest	M	T	Open	01/26/12	M		
24. Patriot Bank CDs	D	Interest	M	T					
25. Great Western Bank CDs	C	Interest	M	T	Redeemed (part)	01/01/12	K		
26. Third Federal Bank CD	C	Interest	L	T					
27. Omni American Bank CD	D	Interest	M	T					
28. ELoan Bank CD	C	Interest	L	T					
29. On Bank CD	C	Interest	L	T					
30. Equity Bank CDs n/k/a Triumph Savings Bank	D	Interest	M	T					
31. Salem 5 CD	C	Interest	L	T					
32. Zions Bank CD	C	Interest	L	T					
33. Park Cities Bank CDs	A	Interest			Redeemed	03/05/12	K		
34. View Point Bank CD	C	Interest	L	T					

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35. Community Trust Bank of Texas CD	C	Interest	M	T					
36. Share Plus Federal Bank CD	C	Interest	L	T					
37. Green Bank Money Market	A	Interest	J	T					
38. Brokerage account # 1 (H)									
39. Arcos Dorados Hldgs Inc Cl-A (ARCO) stock	A	Dividend			Buy	03/22/12	K		
40.					Sold	10/13/12	K		
41. Anheuser-Busch (BUD) common stock	A	Dividend	K	T					
42. Automatic Data Processing Inc (ADP) common stock	A	Dividend	K	T					
43. Beam, Inc. (BEAM) common stock	A	Dividend	K	T	Buy	12/11/12	K		
44. Berkshire Hathaway Inc (BRKB) common stock		None	M	T					
45.					Buy (add'l)	01/24/12	K		
46. BHP Billiton LTD (BHP) common stock	A	Dividend			Sold	10/03/12	K		
47. Bristol Myers Squibb (BMY) common stock	A	Dividend	K	T					
48. Coca-Cola (KO) common stock	A	Dividend	K	T					
49. Dr Pepper Snapple Group common stock	A	Dividend	K	T	Buy	04/10/12	K		
50. Emerson Electric (EMR) common stock	A	Dividend	K	T					
51. Exxon Mobil (XOM) common stock	A	Dividend	K	T					

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52. HJ Heinz (HNZ) common stock	A	Dividend	K	T					
53. Hershey (HSY) common stock	A	Dividend	K	T					
54. Imperial Oil LTD (IMO) common stock	A	Dividend	K	T					
55. Intl Business Machines (IBM) common stock	A	Dividend	L	T					
56. Intl Flavors & Fragrances (IFF) commons stock	A	Dividend			Sold	08/09/12	K	B	
57. Johnson & Johnson (JNJ) common stock	A	Dividend	L	T					
58. Microsoft (MSFT) common stock	A	Dividend			Sold	10/03/12	K	E	
59. Mc Donalds Corp (MCD) common stock	A	Dividend	K	T	Buy	12/05/12	K		
60. Monsanto (MON) common stock	A	Dividend	K	T					
61. Nestle SA (NSRGY) common stock	A	Dividend	K	T					
62. PPG Industries (PPG) common stock	A	Dividend			Sold	06/26/12	K	D	
63. J C Penney (JCP) common stock	A	Dividend			Buy	03/05/12	K		
64.					Sold	10/03/12	J		
65. Pepsico (PEP) common stock	A	Dividend	K	T					
66. Procter & Gamble (PG) common stock	A	Dividend	K	T	Buy (add'l)	07/13/12	J		
67. Sigma-Aldrich (SIAL) common stock	A	Dividend	K	T					
68. 3 M (MMM) common stock	A	Dividend	K	T					

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69. Southern Co (SO) common stock	A	Dividend	K	T	Buy	02/09/12	K		
70. United Parcel Service (UPS) common stock	A	Dividend	K	T					
71. Wal Mart Stores (WMT) common stock	A	Dividend	K	T					
72. Ishares MSCI Emerging Mkts Index FD (EEM)	A	Dividend	J	T					
73. Brokerage Account # 2 (H)									
74. Bristol Myers Squibb (BMY) common stock	A	Dividend	J	T					
75. Conoco Phillips (COP) common stock	A	Dividend	J	T					
76. HJ Heinz (HNZ) common stock	A	Dividend	J	T					
77. Phillips 66 Com (PSX) common stock	A	Dividend	J	T	Spinoff (from line 75)	04/16/12	J		
78. Brokerage Account # 3 (H)									
79. Blackrock National Mutual Fund	A	Dividend			Sold (part)	03/05/12	J	A	
80.					Sold (part)	06/18/12	J	A	
81.					Sold	09/14/12	K	A	
82. DWS Intrmd Tax/Amt Free Mutual Fund	B	Dividend	L	T	Sold (part)	03/05/12	J	A	
83.					Sold (part)	06/18/12	J	A	
84.					Buy (add'l)	09/24/12	J		
85. Lord Abbett Intermediate Tax Free Fund	B	Dividend	L	T	Buy (add'l)	03/05/12	J		

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86.					Sold (part)	06/18/12	J	A	
87.					Buy (add'l)	09/24/12	J		
88. Mainstay Large CapGrowth Fund	A	Dividend	K	T	Buy (add'l)	03/05/12	J		
89.					Buy (add'l)	06/16/12	J		
90.					Sold (part)	09/24/12	J	A	
91. T Rowe Price Summit Muni Intermediate Fund	B	Dividend	L	T	Buy (add'l)	03/05/12	J		
92.					Sold (part)	06/18/12	J	A	
93.					Buy (add'l)	09/24/12	J		
94. MFS Value Fund	A	Dividend	K	T	Buy (add'l)	09/24/12	K		
95. Dreyfus Appreciation Fund	A	Dividend	K	T	Sold (part)	03/05/12	J	A	
96.					Buy (add'l)	06/18/12	J		
97.					Sold (part)	09/24/12	J	A	
98. Cambiar Opportunity Fund	A	Dividend			Buy (add'l)	03/05/12	J		
99.					Buy (add'l)	06/18/12	J		
100.					Sold	09/24/12	K	A	
101. Eaton Vance NTL Ltd Maturity Muni Fund	A	Dividend			Buy (add'l)	03/05/12	J		
102.					Sold (part)	06/18/12	J	A	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Sold	09/24/12	L	A	
104. Federated Strategic Value Dividend Fund	A	Dividend	K	T	Sold (part)	03/05/12	J	A	
105.					Sold (part)	06/18/12	J	A	
106.					Sold (part)	09/24/12	J	A	
107. Legg Mason Western Asset Municipal High Income Fund	A	Dividend	K	T	Sold (part)	02/29/12	J	A	
108.					Sold (part)	03/05/12	J	A	
109.					Sold (part)	05/31/12	J	A	
110.					Sold (part)	06/18/12	J	A	
111.					Buy (add'l)	09/24/12	J		
112. Permanent Portfolio Fund	A	Dividend	K	T	Buy (add'l)	03/05/12	J		
113.					Sold (part)	06/18/12	J	A	
114.					Sold (part)	09/24/12	J	A	
115. Eaton Vance Tax Adv Bd Stra Int Ter FD	A	Dividend	L	T	Buy	09/24/12	L		
116. Nuveen High Yield Muni Bond Fd Cl 1	A	Dividend	K	T	Buy	09/24/12	K		
117. Brokerage Account # 4 (H)									
118. Templeton Global Bond Fund	A	Dividend			Buy (add'l)	03/07/12	J		
119.					Sold	06/18/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. J P Morgan Strategic Income Opportunity Fund	A	Dividend			Sold	01/31/12	J		
121. Pimco Emerging Local Bond Fund	A	Dividend	J	T	Sold (part)	02/08/12	J		
122.					Buy (add'l)	03/07/12	J		
123. Lord Abbott Short Duration Income Fund	A	Dividend			Sold	02/08/12	J		
124. J P Morgan Mortgage Backed Securities Fund	A	Dividend	K	T	Sold (part)	01/31/12	J	A	
125.					Buy	11/09/12	J		
126.					Buy (add'l)	12/20/12	J		
127. Transamerica Aegon High Yield Bond Fund	A	Dividend			Sold	01/31/12	J	A	
128. SPDRGold Trust Fund		None			Sold	02/08/12	J		
129. iShares Trust Dow Jones US Real Estate Index Fund	A	Dividend	J	T	Buy (add'l)	01/31/12	J		
130.					Buy (add'l)	03/07/12	J		
131.					Sold (part)	12/03/12	J	A	
132. iShares Barchlays MBS Bond Fund	A	Dividend	J	T	Buy (add'l)	01/31/12	J		
133.					Buy (add'l)	02/08/12	J		
134.					Buy (add'l)	03/07/12	J		
135.					Sold (part)	11/06/12	J	A	
136.					Sold (part)	12/17/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. iShares Barclays 1-3 Year Credit Fund	A	Dividend			Sold	01/31/12	K		
138. Vanguard MSCI Emerging Markets ETF	A	Dividend	K	T	Buy	01/31/12	J		
139.					Buy (add'l)	02/29/12	J		
140.					Buy (add'l)	03/07/12	J		
141.					Sold (part)	05/31/12	J		
142.					Sold	07/31/12	K		
143.					Buy	08/31/12	K		
144. iShares Barclays Aggrgt BD Fund n/k/a Ishare Core Total US	A	Dividend	K	T	Sold (part)	01/31/12	K		
145.					Sold (part)	02/08/12	J		
146.					Sold (part)	02/29/12	K		
147.					Buy (add'l)	03/07/12	J		
148.					Buy (add'l)	04/02/12	J		
149.					Buy (add'l)	05/31/12	K		
150.					Buy (add'l)	07/31/12	J		
151.					Sold (part)	08/31/12	K		
152.					Sold (part)	09/04/12	K		
153.					Sold (part)	10/01/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	11/01/12	J		
155. iShares MSCI EAFE Index FUND	B	Dividend	K	T	Buy	01/31/12	K		
156.					Buy (add'l)	02/29/12	K		
157.					Buy (add'l)	03/07/12	J		
158.					Sold (part)	05/31/12	K		
159.					Sold (part)	07/31/12	K		
160.					Buy (add'l)	08/31/12	K		
161.					Buy (add'l)	09/04/12	K		
162. SPDR Barclay Capital High Yield Bond Fund	A	Dividend	J	T	Buy (add'l)	02/08/12	J		
163.					Buy (add'l)	03/07/12	J		
164. Vanguard Total Bond MKT Fund	A	Dividend			Sold	01/31/12	K	A	
165. iShares Barclays 3-7 Year Bond Fund	A	Dividend			Sold (part)	01/31/12	K	A	
166.					Sold (part)	02/08/12	J	A	
167.					Buy (add'l)	03/07/12	J		
168.					Buy (add'l)	07/31/12	K		
169.					Sold (part)	08/01/12	J	A	
170.					Sold	08/31/12	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. IShares Barclays 20+ Year	A	Dividend			Buy	08/01/12	J		
172.					Sold	12/17/12	J		
173. Amex Technology Select SPDR	A	Dividend			Buy	01/31/12	J		
174.					Buy (add'l)	03/07/12	J		
175.					Sold	09/28/12	J	B	
176. Cohen & Steers Preferred Sec & Income Fd Cl 1	A	Dividend	J	T	Buy	11/20/12	J		
177. Eaton Vance Floating Rate Fund Cl 1		None	J	T	Buy	01/31/12	J		
178.					Buy (add'l)	03/07/12	J		
179. Elements-Rogers TR SV		None	J	T	Buy	02/08/12	J		
180.					Buy (add'l)	02/29/12	J		
181.					Buy (add'l)	03/07/12	J		
182.					Sold (part)	05/31/12	J		
183.					Sold (part)	07/31/12	J		
184.					Buy (add'l)	08/31/12	J		
185.					Sold (part)	11/01/12	J		
186.					Buy (add'l)	12/03/12	J		
187. First TR Financials Alphadex Fund	A	Dividend	J	T	Buy	01/31/12	J		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	03/07/12	J		
189.					Sold (part)	09/28/12	J	A	
190.					Buy (add'l)	09/28/12	J		
191. Guggenheim Bulletshares 2016 Corporate Bond ETF	A	Dividend	J	T	Buy	01/31/12	J		
192.					Sold (part)	02/08/12	J		
193.					Buy (add'l)	03/07/12	J		
194. Guggenheim Bulletshares 2017 Corporate Bond ETF	A	Dividend	J	T	Buy	02/08/12	J		
195.					Buy (add'l)	03/07/12	J		
196. Ishares Gold TR		None	J	T	Buy	01/31/12	J		
197.					Buy (add'l)	03/07/12	J		
198.					Sold (part)	04/02/12	J		
199.					Buy (add'l)	08/31/12	J		
200. IsharesIBOXX \$ Investment Grade Bd Fund	A	Dividend	J	T	Buy	01/31/12	J		
201.					Buy (add'l)	03/07/12	J		
202.					Sold (part)	11/15/12	J	A	
203. Ishares JP Morgan EM Bond Fund	A	Dividend	J	T	Buy	06/18/12	J		
204. Ishares Russell 1000 Growth Index Fund	A	Dividend	K	T	Buy	01/31/12	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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205.					Buy (add'l)	03/07/12	J		
206. Powershares International Corp Bond ETF	A	Dividend	J	T	Buy	11/15/12	J		
207. Sector SPDR Consrms Stpl	A	Dividend	J	T	Buy	01/31/12	J		
208.					Buy (add'l)	02/08/12	J		
209.					Buy (add'l)	03/07/12	J		
210.					Sold (part)	03/30/12	J	A	
211.					Buy (add'l)	09/28/12	J		
212. Sector SPDR Financial	A	Dividend	J	T	Buy	03/30/12	J		
213. Sector SPDR Industrial	A	Dividend	J	T	Buy	01/31/12	J		
214.					Buy (add'l)	03/07/12	J		
215. SPDR S P World Ex US	A	Dividend	K	T	Buy	08/31/12	J		
216.					Buy (add'l)	09/04/12	J		
217. SPDR Wells Fargo PFD ETF	A	Dividend			Buy	01/31/12	J		
218.					Buy (add'l)	02/08/12	J		
219.					Buy (add'l)	03/07/12	J		
220.					Sold	06/18/12	J		
221. S&P US PDF STK Index Fund Ishares	A	Dividend			Buy	06/18/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222.					Sold (part)	08/01/12	J	A	
223.					Sold	11/15/12	J	A	
224. Third Avenue Focused Credit Fund CL Instl	A	Dividend	J	T	Buy	08/06/12	J		
225. Vanguard Consumer Discretionary ETF	A	Dividend			Buy	03/30/12	J		
226.					Sold	07/02/12	J		
227. Vanguard Materials ETF	A	Dividend	J	T	Buy	01/31/12	J		
228.					Buy (add'l)	03/07/12	J		
229. Vanguard Short-Term Corporate Bond	A	Dividend	J	T	Buy	12/17/12	J		
230. Vanguard Telecomm Services ETF	A	Dividend	J	T	Buy	07/02/12	J		
231. Wisdomtree Emerging Mkt Equity Income	A	Dividend	K	T	Buy	10/01/12	K		
232. Brokerage Account # 5 (H)									
233. Abbott Labs (ABT) common stock	A	Dividend	J	T	Buy	03/09/12	J		
234. Altria Group Inc. (MO) common stock	A	Dividend	J	T	Buy	03/09/12	J		
235. Chevron Corp (CVX) common stock	A	Dividend	J	T	Buy	03/09/12	J		
236. Coca Cola Company (KO) common stock	A	Dividend	J	T	Buy	03/09/12	J		
237. Digital Rlty Tr Inc (DLR) common stock	A	Dividend	J	T	Buy	03/09/12	J		
238. Du Pont E I De Nemours (DD) common stock		None	J	T	Buy	11/21/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. Emerson Elec Co (EMR) common stock	A	Dividend	J	T	Buy	03/09/12	J		
240. Glaxosmithkline PLC ADR (GSK) common stock	A	Dividend	J	T	Buy	03/09/12	J		
241. Intel Corp (INTC) common stock	A	Dividend	J	T	Buy	03/09/12	J		
242. Kimberly Clark (KMB) common stock	A	Dividend	J	T	Buy	03/09/12	J		
243. Kraft Foods Group Inc (KRFT) common stock	A	Dividend	J	T	Buy	03/09/12	J		
244.					Buy (add'l)	11/21/12	J		
245. McDonalds Corp (MCD) common stock	A	Dividend	J	T	Buy	03/09/12	J		
246. Merck and Co Inc (MRK) common stock	A	Dividend	J	T	Buy	03/09/12	J		
247. Microsoft Corp (MSFT) common stock	A	Dividend	J	T	Buy	03/09/12	J		
248. Nextera Energy Inc (NEE) common stock	A	Dividend	J	T	Buy	03/09/12	J		
249. Paccar Inc (PCAR) common stock	A	Dividend	J	T	Buy	03/09/12	J		
250. Philip Morris Intl Inc (PM) common stock	A	Dividend	J	T	Buy	03/09/12	J		
251. Prudential Financial (PRU) common stock	A	Dividend			Buy	03/09/12	J		
252.					Sold	11/21/12	J		
253. Raytheon Co Delaware NE (RTN) common stock	A	Dividend			Buy	03/09/12	J		
254.					Sold	11/21/12	J	A	
255. Royal Dutch Shell Plc (RDSB) common stock	A	Dividend	J	T	Buy	03/09/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. RPM International Inc (RPM) common stock	A	Dividend			Buy	03/09/12	J		
257.					Sold	11/21/12	J	A	
258. Sempra Energy (SRE) common stock	A	Dividend	J	T	Buy	03/09/12	J		
259. Southern Company (SO) common stock	A	Dividend	J	T	Buy	03/09/12	J		
260. Spectra Energy Corp (SE) common stock	A	Dividend	J	T	Buy	03/09/12	J		
261. SPDR Series Trust shares (XTL)	A	Dividend	J	T	Buy	03/09/12	J		
262. Time Warner Inc (TWX) common stock	A	Dividend	J	T	Buy	03/09/12	J		
263. Toronto Dominion Bank (TD) common stock	A	Dividend	J	T	Buy	03/09/12	J		
264. United Parcel Service (UPS) Class B shares	A	Dividend	J	T	Buy	03/09/12	J		
265. Ventas Inc (VTR) Reit	A	Dividend	J	T	Buy	03/09/12	J		
266. XCEL Energy Inc (XEL) common stock	A	Dividend	J	T	Buy	03/09/12	J		
267. First TR Financials Alphasdex Fund (FXO)	A	Dividend	J	T	Buy	11/21/12	J		
268. First TR Industrial PRDCR Durables Alphasdex Fund (FXR)	A	Dividend	J	T	Buy	11/21/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 6 - This trust has no assets.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Barbara J. Houser**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544