

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jones, Steve C.	2. Court or Organization United States District Court, Northern District of Georgia	3. Date of Report 05/08/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge -- Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 1967 United States Courthouse 75 Spring Street, S.W. Atlanta, Georgia 30303-3309		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. President, Immediate Past-President	University of Georgia Alumni Association
2. Board Member	University of Georgia Board of Visitors
3. Board Member (ex officio)	University of Georgia Athletic Board
4. Managing Trustee	University of Georgia Foundation
5. Member (ex officio), Board of Trustees	Athens Academy
6. Director	Athens Area Community Foundation
7. Member, Board of Visitors	Riverside Military Academy

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1995	Steve C. Jones & Georgia Judicial Retirement Systems, pension upon age 60 and minimum of 10 years of service
2. 1978	Steve C. Jones & Unified Government of Athens-Clarke County, GA, pension upon retirement at age 62, with eligibility for receipt of early benefits at age 56
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Jones, Steve C.

Date of Report

05/08/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Unified Government of Athens-Clarke County, GA, fixed benefits from vested pension plan	\$9,988.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	self-employed seamstress
2. 2013	Clarke County School District (retirement compensation)
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. UGA Athletic Association, Inc.	12/31/2013-1/2/2014	Jacksonville, Florida	UGA Foundation Trustee duties at 2014 Gator Bowl	transportation, meals, hotel
2. Mt. Zion Baptist Church	2/23/2013-2/25/2013	Ontario, California	keynote speaker at Black History Program	transportation, hotel
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Jones, Steve C.

Date of Report

05/08/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Bank	mortgage on rental property #1 (Athens, Georgia, Part VII, line 1)	L
2.	Wells Fargo	mortgage on rental property #2 (Athens, Georgia, Part VII, line 2)	K
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Jones, Steve C.

Date of Report

05/08/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. rental property #1, Athens, GA	D	Rent	M	W					
2. rental property #2, Athens, GA	D	Rent	L	W					
3. rental property # 3, Compton, CA	D	Rent	M	W					
4. rental property #4, Compton, CA	D	Rent	M	W					
5. Athens First IRA (cd holdings)	A	Interest	J	T					
6. Total Systems Services -- common stock	A	Dividend	J	T					
7. Synovus Financial Corp. -- common stock	A	Dividend	J	T					
8. Commercial Bank -- CD	A	Int./Div.	J	T					
9. IRA # 1	E	Interest	N	T					
10. -VALIC Stock Index Fund (VSTIX)									
11. -Vanguard Windsor II (VWNFX)									
12. -VALIC Mid Cap Index Fund (VMIDX)									
13. -VALIC Small Cap Value Fund (VCSVX)									
14. -VALIC Int'l Equities Fund (VCIEX)									
15. -VALIC Fixed Account Plus									
16. -Vanguard LT Inv. Grade Fund (VWESX)									
17. -VALIC Science & Technology Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Jones, Steve C.

Date of Report

05/08/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Clarke Co. Bd. of Ed. 457 Plan - 100 % Fixed Account Plus Fund	D	Interest	M	T					
19. ING ReliaStar - Annuity	B	Interest	L	T					
20. Invesco American Franchise Fund, Class C, VAFCX	A	Dividend	J	T					
21. IRA # 2	E	Int./Div.	M	T					
22. -Invesco - convertible securities									
23. -BlackRock Strategic, Inc.									
24. -Fidelity Advisor Strategic, Inc.									
25. -Fidelity Cash Reserves									
26. -Ford Motor Credit Co.	B	Interest			Matured	06/20/13	K		
27. -Synovus Finl. Corp.	B	Interest			Matured	02/15/13	K		
28. -PIMCO Income Fund CL C									
29. -Ivy High Income CL C									
30. -Morgan Stanley D W Disc SRMTNS									
31. -JPMorgan Income Builder Fund Class C									
32. -American Capital Income Builder CL C	B	Dividend			Buy	02/27/13	K		
33. -Franklin Rising Dividends Class C	A	Dividend			Buy	02/15/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Jones, Steve C.

Date of Report

05/08/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I. Positions. On June 30, 2013, my term as President of the University of Georgia Alumni Association ended. My current role with UGA's Alumni Association is that of Immediate Past-President. Also, on June 30, 2013, my term on the University of Georgia's Athletic Board ended.

Part VII. Investments, Line 5. The Athens First IRA (cd holdings) was inadvertently omitted from my 2012 report. It was included in my nomination and 2011 reports.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Jones, Steve C.

Date of Report

05/08/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Steve C. Jones**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544