

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Major, Barbara L.	2. Court or Organization US District Court-SDCA	3. Date of Report 07/16/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 940 Front Street, Courtroom H San Diego, CA 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2. Board Member	Enright Inn of Court
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2011

Fairbank & Vincent-salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Disney	A	Dividend	J	T					
2. TriContinental	A	Dividend	J	T					
3. AT&T	A	Dividend	J	T					
4. First Energy	A	Dividend	J	T					
5. College Savings Plan of Nebraska		None	M	T					
6. -Vanguard Short-Term Bond Index 529 Portfolio					Sold (part)	08/31/11	K		
7. -Vanguard Value Index 529 Portfolio					Sold	08/31/11	K		
8. -Vanguard Institutional Index 529 Portfolio					Sold	08/31/11	J		
9. -American Century Equity Income 529 Portfolio					Sold	08/31/11	J		
10. -Vanguard Small-Cap Value Index 529 Portfolio					Sold	08/31/11	J		
11. -Vanguard Total International Stock Index 529 Portfolio					Sold	08/31/11	J		
12. -PIMCO Total Return					Buy	08/31/11	M		
13. DFA Real Estate Securities Portfolio (DFREX)	A	Dividend	L	T					
14. DFA US Micro Cap Portfolio (DFSCX)	A	Dividend	L	T					
15. DFA US Small Cap Value Portfolio (DFS VX)	A	Dividend	K	T					
16. Neuberger Berman Guardian Fund Investor Class	A	Dividend	J	T					
17. DFA US Large Cap Value Portfolio (DFLVX)	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	L ~\$50,001 - \$100,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. DFA US Targeted Value Portfolio (DFFVX)	A	Dividend	J	T					
19. Trust #1									
20. -Cabrillo Federal Credit Union-Accounts	A	Interest	K	T					
21. -DFA Emerging Markets Portfolio (DFEMX)	A	Dividend	K	T					
22. -DFA Emerging Markets Small Cap Portfolio (DEMSX)	A	Dividend	K	T					
23. -DFA Emerging Markets Value Portfolio (DFEVX)	A	Dividend	K	T					
24. -DFA International Small Cap Value Portfolio (DISVX)	A	Dividend	K	T					
25. -DFA International Small Company Portfolio (DFISX)	A	Dividend	K	T					
26. -DFA Tax-Mng Marketwide Value (DTMMX)	A	Dividend	L	T					
27. -DFA Tax Managed US Targeted Value Portfolio (DTMVX)	A	Dividend	K	T					
28. -DFA Tax-Mng. International Value (DTMIX)	A	Dividend	J	T					
29. -Schwab CA Muni Money Fund	A	Dividend	M	T					
30. Trust #2	C	Dividend	N	T					
31. -T									
32. -CMCSA									
33. -LSI									
34. -NCR									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -TDC									
36. -VZ									
37. -VOD									
38. -DEMSX									
39. -DFEVX									
40. -DFEMX									
41. -DISVX									
42. -DFISX									
43. -DTMIX									
44. -DTMEX									
45. -SWVXX									
46. Trust #3	C	Dividend	N	T					
47. -UMBIX									
48. -DEMSX									
49. -DFEVX									
50. -DFEMX									
51. -DISVX									

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52. -DFISX									
53. -DTMIX									
54. -DTMMX									
55. -DTMVX									
56. -DTMEX									
57. -DFTSX									
58. -OAKGX									
59. -TAREX									
60. -WBXXN									
61. -Schwab Money Market Fund									
62. CFIMX	A	Dividend	J	T					
63. DRFMX	A	Dividend	J	T					
64. OAKEX	A	Dividend	J	T					
65. TASCX	A	Dividend	J	T					
66. VFITX	A	Dividend	J	T					
67. VSGBX	A	Dividend	J	T					
68. VTSSX	A	Dividend	J	T					

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69. DRITX	A	Dividend	J	T					
70. SWPPX	A	Dividend	J	T					
71. TAREX	A	Dividend	J	T					
72. VFISX	A	Dividend	J	T					
73. VSISX	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII Investments and Trusts #5-12 College Savings Plan of Nebraska. On 8/31/11, we rearranged our allocation of this investment. I listed the transactions as buying and selling but what we did was move all of the assets from numerous funds to two funds: PIMCO Total Return and Vanguard Short-Term Bond Index.

VII Investments and Trusts #64. In my 2010 report, I inadvertently listed OAKEX twice (lines 57 and 58). In this report, I eliminated the second listing.

VII Investments and Trusts #68, 69 and 73. I can't explain what happened because we didn't buy, sell or transfer these investments. However, our yearly summaries use different initials for the involved funds. Specifically, VTSMX (LINE 62 IN 2010 report) is now listed as VTSSX (line 68); DRITZ (line 63 in 2010 report) is now listed as DRITX (line 69); and, NAESX (line 67 in 2010 report) is now listed as VSISX (line 73).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Barbara L. Major**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544