

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Levy, Robert M.	2. Court or Organization USDC EDNY	3. Date of Report 07/22/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 225 Cadman Plaza East Brooklyn, NY 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor of Law	New York University School of Law
2. Adjunct Professor of Law	Brooklyn Law School
3. Lecturer in Law	Columbia Law School
4. Limited Partner	Fourlee Partnership
5. Adjunct Professor of Law	Touro Law School

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. ongoing	ACLU Employee Retirement Plan
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	New York University School of Law	\$14,000.00
2. 2012	Columbia Law School	\$7,605.00
3. 2012	Brooklyn Law School	\$5,350.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Touro Law School	June 14-June 28 classes	Berlin, Germany	Teaching Law Seminar	airfare, lodgings, meals, incidentals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase	credit card	K
2.	Citibank	credit card	J
3.	Mass Mutual	policy loan	J
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Mass Mutual Life Ins--MML Gr Allocn	A	Dividend	J	T					
2. Mass Mutual Life Ins	A	Dividend	K	T					
3. Mass Mutual Life Ins	A	Dividend	K	T					
4. Mass Mutual Life Ins	A	Dividend	J	T					
5. Mass Mutual Life Ins	B	Dividend	K	T					
6. Mass Mutual Life Ins	C	Dividend	K	T					
7. Mass Mutual Variable Life MMI Equity	A	Dividend	J	T					
8. Mass Mutual Life	A	Dividend	J	T					
9. Four Lee Limited Partnership	C	Int./Div.	L	T					
10. Deferred Compensation Plan	E	Dividend	N	T					
11. -Vanguard Wellington	B	Dividend			Sold	08/15/12	K	B	
12. -Copeland Stable Income Fd " "	B	Dividend	M	T	Buy (add'l)	03/12/12	J		
13. " " " "	B	Dividend	M	T	Buy (add'l)	08/15/12	K		
14. " " "	B	Dividend	M	T	Sold (part)	01/25/12	K	A	
15. -Fidelity OTC	C	Dividend			Sold (part)	08/15/12	K	A	
16. -Fidelity OTC	C	Dividend			Sold	10/11/12	M		
17. -Wells Fargo Adv Sm Cap z	B	Dividend	L	T	Buy (add'l)	03/12/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. -Wells Fargo " " "	B	Dividend			Sold	10/11/12	L	B	
19. -Federated TTI Ret Govt IS	A	Dividend			Sold (part)	03/12/12	K	B	
20. -Federated " " "	A	Dividend			Sold (part)	08/15/12	J	A	
21. - Ms Inst Fd Emrg Mkt I	A	Dividend			Sold	08/15/12	K	A	
22. -Hartford Cap Apprec IA	D	Dividend			Sold	01/25/12	L	C	
23. -Vanguard Wellington	A	Dividend			Sold	08/15/12	K	A	
24. -TRowePr Retirement 2015	A	Dividend	J	T	Buy	10/11/12	M		
25. -Vangrd Small Cap Indx Inst	A	Dividend			Buy	08/15/12	K		
26. -Vangrd Small Cap Indx Inst	A	Dividend			Sold	08/15/12	K	A	
27. IRA: Stifel Nicolaus	B	Dividend	K	T					
28. -Ivy Funds Inc	A	Dividend			Sold	01/14/12	J	A	
29. -Hartford Mut Fds Inc Cap Apprc Fd Cl C	A	Dividend			Sold	01/14/12	J	A	
30. -IVA Fiduciary Trust IVa Worldwide Fd Class A	A	Dividend			Sold	01/14/12	J	A	
31. -Oppenheimer Dvlp Mrkts FD CLA	A	Dividend	J	T					
32. -First Eagle FD of America Cl A	A	Dividend	J	T	Buy	05/11/12	J		
33. -IVA Fiducary Trust IVA Worldwide Fd Class A	A	Dividend	J	T	Buy (add'l)	05/11/12	J		
34. -Nuveen Investment Trust TradeWinds Val Opps FD CL A	A	Dividend	J	T					

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35. -First Trust Unit 3749	A	Dividend	J	T	Buy	09/13/12	J		
36. -Advisors Disciplined 852 Inflation Incm Strat SER 2012-1	A	Dividend	J	T	Buy	08/28/12	J		
37. -Advisors Disciplined TR Unit 734 Blue Chip Ser 2011-12	A	Dividend	J	T	Buy	01/19/12	J		
38. -Biovest	A	Dividend	J	T	Buy	05/11/12	J		
39. Ameriprise	D	Dividend	M	T					
40. -Pimco Total Return	A	Dividend	J	T					
41. -MFS Value A	A	Dividend	J	T	Sold (part)	2/17/12	J	A	
42. -CNL Properties (REIT)	C	Distribution	K	T					
43. -Eaton Vance Strategic Income A	A	Dividend	J	T					
44. -Janus Balanced A	A	Dividend			Sold	2/21/12	J	A	
45. -Fidelity Adv Small Cap-A	A	Dividend	J	T					
46. -Fid Adv Strat Income-A	A	Dividend	J	T					
47. -Fid Adv New Insights	A	Dividend	J	T					
48. -Thorn Intl Val-A	A	Dividend	J	T	Sold (part)	2/21/12	J	A	
49. -1st Eagle Fund of Amer-A	A	Dividend	J	T					
50. -Frk Mut Glb Discovery A (Franklin)	A	Dividend			Sold	2/21/12	J	A	
51. -Tem Bric-A (Franklin)	A	Dividend			Sold	2/17/12	J	A	

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52. -Fidelity Contra Fd	A	Dividend			Sold	2/17/12	K	B	
53. -Oppenheimer Developing Mkts-A	A	Dividend	J	T					
54. -Doubleline Tot Ret Bd-N Doubleline Fds	A	Dividend	J	T	Buy	2/17/12	J		
55. -Perm Portfolio	A	Dividend	J	T	Buy	2/17/12	J		
56. -American Century Diversified Bond	A	Dividend	J	T					
57. Rental , Suffolk County, NY	E	Rent	O	W					
58. Biovest Intl, Inc	A	Dividend	J	T	Buy	01/19/12	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Robert M. Levy

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544