

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McAuliffe, Steven J.	2. Court or Organization U.S. District Court - New Hampshire	3. Date of Report 05/15/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Warren B. Rudman U.S. Courthouse 55 Pleasant Street, Room 416 Concord, N.H. 03301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Fellow	New Hampshire Bar Foundation
2.	Director	Challenger Center for Space Science Education
3.	Trustee	Trust #1
4.	Partner	Piglet (Neighborhood Investment Club)
5.	Member	Shumac, LLC
6.	Member	Pleasant Lake Cottages, LLC

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	UBS	Account Secured Premier Credit Line	O
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Accts Merrimack County Savings Bank	A	Interest	J	T					
2. IRA American Funds: Investment Company of America	B	Dividend	L	T					
3. IRA American Funds: Washington Mutual Investors Fund	A	Dividend	J	T					
4. ExxonMobil Corp	A	Dividend	J	T					
5. UBS Retirement Money Fund (UBS Bank USA Dep Acct)	A	Interest	J	T					
6. Piglet Investment Club Partnership Shares (See Part VIII)	A	Interest	J	T					
7. -UBS RMA Cash Account	A	Interest	J	T					
8. -Facebook Inc	A	Dividend	J	T					
9. -Autodesk Inc	A	Dividend	J	T					
10. -Exact Sciences Corp		None	J	T					
11. -Kandi Technologies		None			Sold	10/17/16	J	A	
12. -NXP Semiconductors	A	Dividend	J	T					
13. -Zoe's Kitchen Inc		None			Sold	11/02/16	J	A	
14. -Apple Inc	A	Dividend	J	T	Buy (add'l)	05/04/16	J		
15. -Amazon		None	J	T					
16. -Etsy		None			Sold	05/06/16	J	A	
17. -Gilead	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Skyworks Solar	A	Dividend	J	T					
19. -Solar City Corp		None			Sold	06/13/16	J	A	
20. -General Electric	A	Dividend	J	T	Buy	02/03/16	J		
21. -Electronic Arts Inc		None	J	T	Buy	02/03/16	J		
22. -Tesla		None	J	T	Buy	01/06/16	J		
23. CVS Health Corp.	A	Dividend	J	T					
24. IRA iShares Russell Fund	B	Dividend	K	T					
25. IRA Midcap SPDR TR	B	Dividend	M	T					
26. UBS Bank USA Dep Acct	A	Dividend	L	T					
27. Merrimack County Savings Bank NOW Acct (2)	A	Interest	J	T					
28. Enterprise Products Partners LP	B	Dividend	K	T					
29. iShares TR Russell 2000 Index Fund	B	Dividend	L	T					
30. IRA iShares Russell Midcap	A	Dividend	K	T					
31. iShares Trust Russell Midcap Growth Index Fund	A	Dividend	J	T					
32. Apple Inc	B	Dividend	K	T					
33. Northern Trust Prime Obligation Fund 9/1	A	Dividend	J	T					
34. Simon Property Group Inc	B	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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35. Florida St Brd Ed Pub Ed Cap	B	Interest	K	T					
36. iShares iBoxx Invst Grade DE Corp Bond Fund	B	Dividend	K	T					
37. Tennessee St Ser A	A	Interest			Sold	09/01/16	K	A	
38. Fairfax Cty VA Pub Ser A	B	Interest	K	T					
39. First Colebrook Bank	A	Dividend	J	T					
40. Danaher Corp	A	Dividend	J	T	Sold (part)	07/14/16	J	C	Spin-off to Fortive(L.127)
41. Alphabet Inc. CL A	A	Dividend	J	T					
42. JP Morgan Chase & Company	A	Dividend	J	T					
43. Oracle Corp	A	Dividend			Sold	10/20/16	J	A	
44. Starbucks Corp	A	Dividend	J	T					
45. TJX Companies Inc	A	Dividend	J	T					
46. VISA Inc	B	Dividend	K	T					
47. Alphabet Inc. CL A and CL C	A	Dividend	K	T					
48. Home Depot Inc	A	Dividend	L	T					
49. Henderson Global Equity	A	Dividend	K	T					
50. iShares Trust Russell 1000	A	Dividend	K	T					
51. Celgene Corp	B	Dividend	J	T	Sold (part)	04/06/16	J	C	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Cerner Corp	A	Dividend			Sold	04/06/16	J	A	
53. Chubb Ltd Ord. Formerly Chubb Inc; Merger w/ Ace Ltd	A	Dividend	K	T					
54. Gilead Sciences Inc	A	Dividend			Sold	04/06/16	J	A	
55. Henderson Global FDS Euro	A	Dividend	K	T					
56. Washington Prime Group Inc Com (Name Changed to WP Glimcher Inc REIT)	A	Dividend	J	T					
57. Biogen Inc	A	Dividend	K	T					
58. British American Tobacco PLC	A	Dividend	K	T					
59. HCA Holdings Inc	B	Dividend	K	T					
60. Parexel International Corp	A	Dividend	K	T					
61. Veritiv Corp Com		None	J	T					
62. SPDR S&P MidCap 400 ETF TR	C	Dividend	K	T					
63. Technology Sector SPDR Trust SHS	B	Dividend	L	T					
64. Johnson County Kansas Water District #1 Bond	A	Interest	K	T					
65. Brentwood Tennessee G.O. Bond	A	Interest	K	T					
66. Minn St Colleges & SR A Revenue Bond	A	Interest	K	T					
67. Vermont Municipal Bond Bank SRL Revenue Bond	A	Interest	K	T					
68. Pimco Real Return Fund Class A	A	Dividend	K	T					

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69. Calamos Market Neutral Income Fund Class A	A	Dividend	K	T					
70. First Eagle Global Fund Class A	A	Dividend	K	T					
71. IRA Henderson Global Investors European Focus Fund	B	Dividend	L	T					
72. Shumac LLC 50% Int (Holds Lake Property in Merrimack County, NH)		None	N	W					
73. Pleasant Lake Tributaries, LLC (Real Estate)		None			Merged (with line 74)	04/01/16	K		Property Merger
74. Pleasant Lake Cottages, LLC (Real Estate)		None	O	W					
75. R.P. Jobin, Personal Loan		None	K	T					
76. Disney	A	Dividend			Sold	07/14/16	J	A	
77. Verizon Communications Inc	A	Dividend	J	T					
78. Walgreens Boots Alliance Inc.	A	Dividend	J	T					
79. Wells Fargo & Company	A	Dividend			Sold	10/20/16	J	A	
80. PNC Financial Services Group	A	Dividend	J	T					
81. PepsiCo Inc	A	Dividend	J	T					
82. Priceline Group		None			Sold	04/06/16	J	A	
83. Salesforce.com Inc		None	J	T					
84. Thermo Fisher Scientific Inc	A	Dividend	J	T					
85. UnitedHealth Group	A	Dividend	J	T					

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86. EOG Resources Inc	A	Dividend			Sold	12/09/16	J	A	
87. Express Scripts Holding Company	A	Dividend			Sold	07/14/16	J	A	
88. FedEx Corp	A	Dividend	J	T					
89. General Electric Company	A	Dividend	J	T					
90. Gilead Sciences Inc	A	Dividend	J	T					
91. Lowe's Companies Inc	A	Dividend			Sold	04/06/16	J	B	
92. Microsoft Corp	A	Dividend	J	T					
93. Nike Inc Class B	A	Dividend	J	T					
94. Berkshire Hathaway Inc		None	J	T					
95. Boeing Company	A	Dividend			Sold	04/06/16	J	A	
96. Cerner Corp	A	Dividend			Sold	04/06/16	J	A	
97. Chubb Ltd Ord. Formerly Chubb Inc; Merger w/ Ace Ltd	A	Dividend	J	T		01/20/16			
98. Costco Wholesale Corp	A	Dividend	J	T					
99. Dominion Resources Inc	A	Dividend	J	T					
100. E.I. DuPont de Nemours & Company		None			Sold	01/06/16	J	A	
101. Kroger	A	Dividend			Sold	10/20/16	J	A	
102. SPDR S&P Midcap 400	A	Dividend	K	T	Sold (part)	02/02/16	K	A	

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103. Ecolab, Inc	A	Dividend	J	T					
104. Chevron Corp	A	Dividend			Sold	04/06/16	J	A	
105. Medtronic Holding Ltd	A	Dividend	J	T					
106. Chipotle Mexican Grill		None	J	T					
107. Vanguard REIT ETF	A	Dividend	J	T					
108. Facebook Inc	A	Dividend	J	T					
109. Broadcom Ltd (formerly Avago Tech Ltd) (Merger)	A	Dividend	J	T					
110. Vanguard FTSE Dev Mkt	A	Dividend			Sold	02/02/16	L	A	
111. Vanguard Index FDS S&P ETF	A	Dividend			Sold	02/02/16	K	A	
112. iShares Core MSCI Emerg Mkts	A	Dividend			Sold	02/02/16	K	A	
113. Vanguard Index FDS Sm Cap	A	Dividend			Sold	02/02/16	K	A	
114. Dentsply Sirona Inc	A	Dividend	J	T	Buy	04/11/16	J		
115. Dollar General Corp	A	Dividend	J	T	Buy	04/11/16	J		
116. Hess Corp	A	Dividend	J	T	Buy	04/11/16	J		
117. Home Depot Inc	A	Dividend	J	T	Buy	04/11/16	J		
118. Northrop Grumman Corp	A	Dividend	J	T	Buy	04/11/16	J		
119. Praxair Inc	A	Dividend	J	T	Buy	04/11/16	J		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Raytheon Co	A	Dividend	J	T	Buy	04/11/16	J		
121. Ivy Intl Core Equity	A	Dividend	J	T	Buy	04/11/16	J		
122. Hasbro Inc	A	Dividend	J	T	Buy	07/19/16	J		
123. Vanguard Midcap ETF	B	Dividend	J	T	Buy	07/19/16	J		
124. Pentair PLC	A	Dividend	J	T	Buy	07/19/16	J		
125. Kraft Heinz Co	A	Dividend	J	T	Buy	10/25/16	J		
126. TE Connectivity Ltd	A	Dividend	J	T	Buy	10/25/16	J		
127. KeyCorp New	A	Dividend	J	T	Buy	10/25/16	J		
128. Digital Realty Trust		None	J	T	Buy	12/14/16	J		
129. Merck & Co New		None	J	T	Buy	12/14/16	J		
130. Fortive Corp	A	Dividend	J	T	Spinoff (from line 40)	07/11/16	J		
131. Affiliated Mgrs Grp	A	Dividend	J	T	Buy	02/05/16	J		
132. Ameriprise Fin Inc	A	Dividend	J	T	Buy	02/05/16	J		
133. Schlumberger Ltd	A	Dividend	J	T	Buy	02/05/16	J		
134. Union Pacific Corp	A	Dividend	J	T	Buy	02/05/16	J		
135. Northern Trust Prime Obligation Fund 9/1 [C]	A	Dividend	J	T					
136. Apple Inc [C]	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Colgate-Palmolive Company [C]	A	Dividend	J	T					
138. Danaher Corp [C]	B	Dividend	K	T	Sold (part)	07/14/16	J	C	Spin-off to Fortive(L.230)
139. E.I. DuPont de Nemours & Company [C]	A	Dividend			Sold	04/11/16	J	C	
140. JP Morgan Chase & Company [C]	A	Dividend	K	T					
141. Nike Inc [C]	B	Dividend	J	T					
142. Oracle Corp [C]	A	Dividend	K	T					
143. UnitedHealth Group [C]	A	Dividend	L	T					
144. Wells Fargo & Company [C]	A	Dividend			Sold	10/25/16	K	D	
145. UBS Pace Money Market Investments Fund Class P [K]	A	Dividend	J	T					
146. F. Templeton Global Bond A [K]	A	Dividend	J	T					
147. Arbitrage Fund [K]	A	Dividend	J	T					
148. BlackRock Equity Dividend Fund [K]	B	Dividend	J	T					
149. Calamos Market Neutral Income Fund [K]	A	Dividend	J	T					
150. Calamos International Growth Fund [K]	A	Dividend	J	T					
151. Dreyfus Small Cap Value Fund [K]	A	Dividend	J	T					
152. Natixis Loomis Sayles Core Plus Bond Fund [K]	A	Dividend	J	T					
153. Royce Premier Fund [K]	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Laudus Growth Inv US Large Cap Fund [K]	A	Dividend	J	T					
155. UBS Pimco College Fund 529(1) [K]	A	Interest	J	T					
156. UBS Pimco College Fund 529(2) [K]	A	Interest	K	T					
157. Fidelity Advisor Materials Fund Class A [K]	A	Dividend	J	T					
158. Invesco Developing Markets Fund Class A [K]	A	Dividend	J	T					
159. Oakmark International Fund [K]	A	Dividend	J	T					
160. Delaware Corporate Bond Fund A [K]	A	Dividend	J	T					
161. Ivy High Income Fund [K]	A	Dividend	J	T					
162. Metropolitan West Total Return Bond Fund [K]	A	Dividend	J	T					
163. Pimco Real Return Fund [K]	A	Dividend	J	T					
164. RidgeWorth High Grade Municipal Bond Fund [K]	A	Dividend	J	T					
165. T. Rowe Price Tax Free Short Intermediate Fund [K]	A	Dividend	J	T					
166. TCW Emerging Markets Income Fund [K]	A	Dividend	J	T					
167. MainStay Marketfield Fund [K]	A	Dividend	J	T					
168. First Eagle Global Fund [K]	A	Dividend	J	T					
169. BlackRock Equity Dividend Fund A [C]	A	Dividend	K	T					
170. Harbor International Fund Investor Class [C]	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Henderson Global Investors International Opportunities Fund A [C]	A	Dividend	J	T					
172. Voya Global Real Estate Fund Class A [C] (Name Change)	A	Dividend	J	T					
173. John Hancock Large Cap Equity Fund Class A [C]	A	Dividend	K	T					
174. Neuberger Berman Genesis Fund Investor Class [C]	A	Dividend	J	T					
175. Oppenheimer Developing Markets Fund Class Y [C]	A	Dividend	J	T					
176. Royce Premier Fund [C]	A	Dividend	J	T					
177. F. Templeton Global Bond A [C]	A	Interest	K	T					
178. Pimco Total Return Fund Class P [C]	B	Dividend	K	T					
179. Wilmington Short/Intermediate-Term Bond Fund [C]	B	Dividend	K	T					
180. Aberdeen Equity Long-Short Fund Class A [C]	A	Dividend	J	T					
181. JP Morgan Multi-cap Market Neutral Fund [C]	A	Dividend	J	T					
182. Van Eck Global Hard Assets Fund Class A [C]	A	Dividend	J	T					
183. Celgene Corp [C]	B	Dividend	K	T					
184. Berkshire Hathaway Inc [C]		None	J	T					
185. Chubb Ltd Ord. Formerly Chubb Inc; Merger w/ Ace Ltd [C]	A	Dividend	J	T					
186. Costco Wholesale Corp [C]	A	Dividend	J	T					
187. Disney [C]	A	Dividend			Sold	07/19/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Dominion Resources [C]	A	Dividend	J	T					
189. EOG Resources Inc [C]	A	Dividend			Sold	12/04/16	J	A	
190. ExxonMobil Corp [C]	A	Dividend	J	T					
191. General Electric Company [C]	A	Dividend	J	T					
192. Lowe's Companies Inc [C]	A	Dividend			Sold	04/11/16	J	B	
193. PNC Financial Services Group [C]	A	Dividend	J	T					
194. PepsiCo Inc [C]	A	Dividend	J	T					
195. Priceline Group Inc [C]	A	Dividend			Sold	04/11/16	J	A	
196. TJX Companies [C]	A	Dividend	J	T					
197. Verizon Communications Inc [C]	A	Dividend	J	T					
198. Walgreens Boots Alliance, Inc [C]	A	Dividend	J	T					
199. Boeing Co [C]	A	Dividend			Sold	04/11/16	J	A	
200. Ameriprise Fin Inc [C]	A	Dividend	J	T					
201. Broadcom Ltd(formerly Avago Tech Ltd) (Merger) [C]	A	Dividend	J	T					
202. Chevron Corp [C]	A	Dividend			Sold	04/11/16	J	A	
203. Citigroup Inc [C]	A	Dividend			Sold	10/25/16	J	A	
204. Ecolab Inc [C]	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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205. Facebook Inc [C]		None	J	T					
206. Kroger Co [C]	A	Dividend			Sold	10/25/16	J	A	
207. Vanguard Mid Cap FD [C]	B	Dividend	L	T					
208. iShares Core MSCI Emerging Mkts [C]	A	Dividend			Sold	02/05/16	K	A	
209. SPDR DJ Wilshire REIT [C]	B	Dividend			Sold	02/08/16	K	A	
210. Vanguard FTSE Dev Mkt [C]	B	Dividend			Sold	02/05/16	L	A	
211. Vanguard Index FDS S&P ETF [C]	A	Dividend			Sold	02/05/16	J	A	
212. Vanguard Index FDS Small Cap [C]	A	Dividend			Sold	02/05/16	K	A	
213. Vanguard REIT ETF [C]	A	Dividend	K	T					
214. Dollar General Corp [C]	A	Dividend	J	T	Buy	04/11/16	J		
215. Affiliated Mgrs Group [C]	A	Dividend	J	T	Buy	02/05/16	J		
216. Chipotle Mexican Grill [C]	A	Dividend	J	T	Buy	02/05/16	J		
217. Digital Realty Trust Inc [C]		None	J	T	Buy	12/14/16	J		
218. Hasbro Inc [C]	A	Dividend	J	T	Buy	07/11/16	J		
219. Hess Corp [C]	A	Dividend	J	T	Buy	04/11/16	J		
220. KeyCorp New [C]	A	Dividend	J	T	Buy	04/11/16	J		
221. Kraft Heinz Co [C]	A	Dividend	J	T	Buy	10/25/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
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2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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222. Northrop Grumman Corp [C]	A	Dividend	J	T	Buy	04/11/16	J		
223. Pentair PLC [C]	A	Dividend	J	T	Buy	07/19/16	J		
224. Praxair Inc [C]s	A	Dividend	J	T	Buy	04/11/16	J		
225. Raytheon Co [C]	A	Dividend	J	T	Buy	04/11/16	J		
226. Home Depot Inc [C]	A	Dividend	J	T	Buy	04/11/16	J		
227. Union Pacific Corp [C]	A	Dividend	J	T	Buy	10/25/16	J		
228. SPDR S&P 600 Small Cap [C]	A	Dividend	K	T	Buy	02/05/16	K		
229. Ivy Intl Core Equity [C]	A	Dividend	K	T	Buy	02/05/16	K		
230. Oppenheimier Dev Mkts [C]	A	Dividend	K	T	Buy	02/09/16	K		
231. Starbucks Corp [C]	A	Dividend	J	T	Buy	02/05/16	J		
232. Visa Inc [C]	A	Dividend	J	T	Buy	02/05/16	J		
233. Fortive Corp [C]		None	J	T	Spinoff (from line 138)	07/11/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART I. POSITIONS.

Pleasant Lake Tributaries, LLC, Dissolved.

PART VII. INVESTMENTS AND TRUSTS.

Trust #1 assets are listed in Part VII, identified by the designation "[C]."

PART VII. INVESTMENTS AND TRUSTS.

Line 7. Piglet Investment Club Partnership - Aggregated (specific holdings are listed below main entry and designated by "-").

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Steven J. McAuliffe**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544