

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McCarthy, Jeremiah J.	2. Court or Organization United States District Court	3. Date of Report 07/13/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full-Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address Robert H. Jackson United States Courthouse 2 Niagara Square, Room 640 Buffalo, New York 14202-3498		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Timothy V. Riordan Supplemental Needs Trust
2.	Director	Hilbert College
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. First Niagara Checking	A	Royalty	J	T					
2. First Niagara Savings	A	Interest	K	T					
3. First Niagara Supp. Needs Trust Acct	A	Interest	K	T					
4. M&T Bank Savings Account	A	Interest	J	T					
5. Franklin NY Intermediate - Term Tax Fee Income Fund Class A	A	Dividend	J	T					
6. Columbian Mutual Life Ins. Co.	A	Interest	K	T					
7. Fundamental Investors A (American Fund)	A	Interest	J	T					
8. Fundamental Investors A (American Fund)	A	Interest	J	T	Buy	07/31/14	J		
9. Fundamental Investors A (American Fund)	A	Interest	J	T	Buy	12/15/14	J		
10. AQR Managed Futures Fund CL 1	A	Int./Div.	J	T	Sold (part)	01/23/14	J		
11. Blackrock Equity Dividend	A	Int./Div.	J	T	Sold (part)	01/23/14	L		
12. Doubleline Total Rt Bond FD	B	Interest	K	T					
13. Fidelity Cash Reserves	A	Dividend	J	T					
14. Goldman Sachs Strg Income Fund	B	Dividend	K	T					
15. Ing Mid Cap Opportunity	A	Int./Div.			Sold	10/15/14	L		
16. John Hancock Discipl. Value Mid Cap	C	Dividend	L	T	Buy	10/15/14	J		
17. JP Morgan Chase & Co. Alerian MLP	B	Interest	K	T	Buy	01/23/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Lazard Intl Strategy Equity	C	Dividend	L	T					
19. Mainstay Large Cap Growth	D	Dividend	M	T					
20. Morgan Stanley Sm Co. Gr	B	Dividend	J	T	Buy	01/23/14	J		
21. Morgan Stanley Sm Co. Gr	B	Dividend	K	T	Sold (part)	10/15/14	K		
22. Oppenheimer Dev Markets	B	Dividend	K	T					
23. Oppenheimer Sr. Floating Rt Y	B	Dividend	K	T					
24. Pimco Fundmntl Adv Total Return	C	Dividend	K	T					
25. Templeton Frontier Markets	A	Dividend			Sold	10/15/14	K		
26. Hartford Small Cap Growth Class Y	C	Dividend	L	T	Buy	10/15/14	L		
27. JP Morgan Divers Mid Cap Growth	D	Interest	L	T	Buy	10/15/14	L		
28. JP Morgan Equity Income Select Shares	C	Dividend	M	T	Buy	01/23/14	L		
29. JP Morgan Equity Income Select Shares	C	Dividend	M	T	Buy	10/15/14	L		
30. Swan Defined Risk Fund Class 1	A	Dividend	L	T	Buy	10/15/14	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Jeremiah J. McCarthy**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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