

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bailey, John P.	2. Court or Organization Northern District of West Virginia	3. Date of Report 05/08/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. Courthouse P.O. Box 551 Wheeling, WV 26003		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Federal Judges Association
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2016	Tria Healthcare Group
2. 2016	Germain & Co., Inc.
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. ABA	02/05/16 to 02/09/16	San Diego, CA	Midyear meeting	Travel & some expenses
2. Federal Judges Association	05/16/16 to 05/18/16	Washington, DC	Board Meeting	Travel & Lodging
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Beyond Marketing	Jamboree in the Hills tickets	\$1,000.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Bank	Credit Card	J
2.	American Express	Credit Card	K
3.	Main Street Bank	Personal Loan	M
4.	BB&T	Mortgage on Rental Property	O
5.	Citi Cards	Credit Card	J
6.	Barclay Mastercard	Credit Card	J

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1	A	Dividend	L	T					
2. - Barclays US TIPS Fund	A	Dividend	J	T					
3. - MSCI EAFE Index Fund	A	Dividend	J	T					
4. - MSCI Emerging Mkt Index Fd ETF	A	Dividend	J	T					
5. - SPDR S&P 500 ETF	A	Dividend	K	T					
6. - SPDR S&P Midcap 400 ETF	A	Dividend	J	T					
7. - Russell 2000 Value Index Fd	A	Dividend	J	T					
8. - SPDR S&P Dividend ETF	A	Dividend	J	T					
9. - Federated Govt Obligations Fund 395	A	Interest	J	T	Buy	10/07/16	J		
10. - Federated US Treasury Cash Reserves 632	A	Interest			Sold	10/07/16	J	A	
11. Rental Property, Seabrook Island, SC- 1 X	F	Rent	O	R					
12. Enterprise Products Partners, LP X	A	Distribution	J	T					
13. Columbus Ohio City School Bond X	A	Interest	J	T					
14. IRA #2 X	D	Int./Div.	O	T					
15. - Dodge & Cox International Stock FD X	A	Dividend	K	T					
16. - Fidelity Govt MMkt Capital Reserves X	A	Int./Div.	M	T					
17. - Harbor International Institutional Fd X	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Ishares Russell 2000 Value ETF X	A	Dividend	J	T					
19. I - shares Edge MSCI Min Vol EAFE ETF X	A	Dividend	K	T					
20. - MFS Global Equity Fd Class I X	A	Dividend	K	T					
21. - Pimco Foreign Bond Fd Hedged Inst X	A	Dividend	K	T					
22. - Powershares Exch Traded FD TST II S&P X	A	Dividend	K	T					
23. - Guggenheim S&P 500 Pure Growth ETF X	A	Dividend	K	T					
24. - SPDR Ser Tr S&P Divid ETF X	B	Dividend	K	T					
25. - SPDR S&P Midcap 400 ETF Tr UTSER I X	A	Dividend	K	T					
26. - Vanguard Specialized Portfolios Div X	A	Dividend	K	T					
27. - Vanguard Equity Income Admiral FD X	A	Dividend	K	T					
28. - Vanguard Index Fds Vanguard Small Cap X	A	Dividend	K	T					
29. - Wisdomtree TR Midcap Divid Fd X	B	Dividend	K	T					
30. - Wisdomtree TR Small Cap Divid Fd X	A	Dividend	K	T					
31. Chevron Corp New Com X	A	Dividend	J	T					
32. Coca Cola Co. X	A	Dividend	J	T					
33. e.l.f. Beauty Inc X	A	Dividend	J	T					
34. Fidelity Govt MMKT Capital Reserves CL X	A	Int./Div.	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, new assets marked "X" result of marriage in 2016.

Part VII, line 11, property purchased 02/28/2014, actual purchase price \$ 762,500.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John P. Bailey**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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