

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gruender, Raymond W.	2. Court or Organization Eighth Circuit Court of Appeals	3. Date of Report 04/01/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 111 South Tenth Street Suite 23.365 St. Louis, MO 63102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Advisor	W.R. Persons Charitable Trust (advise on charities to receive funding; no control over investment assets)
2.	Board of Directors	Variety Club of St. Louis (no control over investment assets)
3.	National Council	Washington University School of Law (no control over investment assets)
4.	Board of Advisors	St. Louis Lawyers Chapter - Federalist Society
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2014

Buck Consultants - Salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Mr. and Mrs. Tom Schlafly	Two tickets to St. Louis Public Library Gala	\$3,000.00
2.	James Danaher	Sweater, Botanical Leaf Cheese Board, candlesticks	\$630.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. UMB Bank (checking accounts)	A	Interest	K	T					
2. Bank of America common stock	A	Dividend	J	T					
3. Citigroup common stock	A	Dividend	J	T					
4. Exxon Mobil common stock	A	Dividend	J	T					
5. General Electric common stock	A	Dividend	J	T					
6. Goldman Sachs common stock	A	Dividend	K	T	Sold (part)	07/31/14	J	A	
7. Macy's Inc. common stock	A	Dividend	J	T					
8. Microsoft common stock	A	Dividend	J	T					
9. Newscorp common stock		None	J	T					
10. Oracle common stock	A	Dividend	J	T					
11. Proctor & Gamble common stock	A	Dividend	K	T					
12. 21st Century Fox common stock	A	Dividend	K	T					
13. UnitedHealth Group common stock	A	Dividend	K	T					
14. Vertex Pharmaceuticals common stock		None	J	T	Sold (part)	02/17/14	J	C	
15. Scottrade money market--Scottrade Bank	A	Interest	K	T					
16. IRA -- Scottrade	D	Dividend	M	T					
17. --Invesco American Franchise	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000 M = \$100,001 - \$250,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. --Invesco Technology Fund	A	Dividend	J	T					
19. --AIM Small Cap Growth Fund	B	Dividend	K	T					
20. --Fidelity Advisor Growth Opportunities		None	K	T					
21. --GAMCO Global Growth Fund	A	Dividend	J	T					
22. --Gabelli Value Fund	B	Dividend	J	T					
23. --Janus Enterprise Fund	A	Dividend	J	T					
24. --Janus Research Fund	B	Dividend	J	T					
25. --MFS Growth Fund	A	Dividend	J	T					
26. --MFS Massachusetts Investors Growth Stock Fund	A	Dividend	J	T					
27. --Putnam International Equity Fund	A	Dividend	J	T					
28. --Putnam Multi-Cap Growth Fund	A	Dividend	J	T					
29. --T. Rowe Price Blue Chip Growth Fund	A	Dividend	K	T					
30. --T. Rowe Price Science and Technology Fund	A	Dividend	J	T					
31. --American Funds; Small Cap World Fund	B	Dividend	K	T					
32. --Scottrade Money Market -- U.S. Bank	A	Dividend	J	T					
33. Towers Watson Savings Plan		None	N	T					
34. --Vanguard Total Bond Mkt. Index		None	L	T					

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35. --Blackrock US TIPS		None	K	T					
36. --Vanguard Institutional Index		None	M	T					
37. --Vanguard Extended Mkt Index		None	L	T					
38. --Vanguard REIT Index		None	K	T					
39. --Vanguard Total International Stock Index		None	K	T					
40. Aon Savings Plan	A	Dividend	N	T					
41. --U.S. Large Co. Value (a/k/a Dodge & Cox Stock Portfolio)		None	L	T					
42. --Aon Common Stock	A	Dividend	K	T					
43. --U.S. All Co Grwth (a/k/a Vanguard Capital Opp)		None	K	T					
44. --Foreign All Co (a/k/a American Funds - EuroPacific Grth)		None	K	T					
45. --U.S. Large Co. Index (a/k/a Vanguard Inst. Index Fund)		None	M	T					
46. --U.S. Mid/Small Co Index (a/k/a Vanguard Extended Mkt Index Fd)		None	K	T					
47. --Diversified Bond (a/k/a PIMCO Total Return Portfolio)		None	K	T					
48. --Dwight Asset Mgt. Stable Value Portfolio		None	J	T					
49. Irrevocable Trust u/a 11/17/03	A	Dividend	M	T					
50. --Vanguard Tax Exempt Money Market	A	Dividend	M	T					
51.									

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52.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Raymond W. Gruender**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544