

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Martin, Jr., , Boyce F.	2. Court or Organization Sixth Circuit, USCA	3. Date of Report 04/04/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 209 United States Courthouse 601 W. Broadway Louisville, KY 40202-2227		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Fordham University	03/28/11 to 03/30/11	New York, NY	Program	Transportation, lodging and food
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Kentucky Tax Free Income Fund (Dupree)	C	Dividend	M	T					
2. CGM Realty Fund	D	Dividend	N	T					
3. IRA - T. Rowe Price Mid Cap Fund (Ky. Deferred Income)	C	Dividend	N	T					
4. Northeast Investors Trust Fund	B	Dividend	K	T					
5. Abbott Labs	A	Dividend	L	T					
6. Bard C.R. Inc.	A	Dividend	M	T					
7. Berkshire Hathaway	A	Dividend	M	T					
8. Brown and Brown	A	Dividend	L	T					
9. Indxx Lab Inc.	A	Dividend	M	T					
10. Illinois Tool Works	A	Dividend	M	T					
11. Johnson and Johnson	A	Dividend	M	T					
12. Knight Transportation Inc.	A	Dividend	K	T					
13. Martin Marietta Materials	A	Dividend	K	T					
14. Medtronic Inc.	A	Dividend	K	T					
15. O'Reilly Auto Inc.	A	Dividend	K	T					
16. Paychex Inc.	A	Dividend	K	T					
17. Pepsico Inc.	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Progressive Corp. OH	A	Dividend	K	T					
19. W.R. Berkley Corp.	A	Dividend	K	T					
20. TJX Cos. Inc.	A	Dividend	K	T					
21. Walgreen Co.	A	Dividend	K	T					
22. Waters Corp.	A	Dividend	K	T					
23. Wolverine Worldwide	A	Dividend	K	T					
24. 3M Corp.	A	Dividend	L	T					
25. B.P. PLC ordinary shs	A	Dividend	M	T					
26. Coca Cola Corp.	A	Dividend	L	T					
27. Exxon Mobil Corp.	B	Dividend	M	T					
28. Revlon Inc. Cl. A	A	Dividend	K	T					
29. Northern Trust Corp.	A	Dividend	K	T					
30. Sunrise Senior Living	A	Dividend	M	T					
31. United Rentals	A	Dividend	K	T					
32. Eastman Kodak Co.	A	Dividend	M	T					
33. Verisign, Inc.	B	Dividend	L	T					
34. Zweig Total Rtn Fund	A	Dividend	K	T					

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35. Senior Housing Properties Trust	C	Dividend	L	T					
36. Vanguard Wellington Fund	B	Dividend	M	T					
37. McCormick Non Vtg.	B	Dividend	K	T					
38. Helios Income Fund	C	Dividend	M	T					
39.									
40.									
41.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Boyce F. Martin, Jr.,**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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