

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WISE, TRACEY N.	2. Court or Organization U.S. BANKRUPTCY COURT, EASTERN DISTRICT OF KENTUCKY	3. Date of Report 05/14/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) UNITED STATES BANKRUPTCY JUDGE, ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 300 COMMUNITY TRUST BUILDING 100 EAST VINE STREET LEXINGTON, KY 40507		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 14

Name of Person Reporting

WISE, TRACEY N.

Date of Report

05/14/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

Angelucci Acoustical, Inc. - Construction

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. Cincinnati Bar Association

8/22-8/23/2013

Cincinnati, OH

Non-FJC Educational
Seminar or program

Lodging/Meals

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 14

Name of Person Reporting

WISE, TRACEY N.

Date of Report

05/14/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Central Bank & Trust Company	Mortgage Loan - Rental Property	L
2.	Cimarron Mortgage	Mortgage Loan - Rental Property	L
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 14

Name of Person Reporting

WISE, TRACEY N.

Date of Report

05/14/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Central Bank & Trust Co. (Checking)	A	Interest	J	T					
2. Central Bank & Trust Co. (Money Market)	A	Interest	M	T	Spinoff (from line 3)	12/27/13	M		
3. Central Bank & Trust Co. (Money Market)	B	Interest			Closed	12/27/13	M		
4. UBS Financial Services (Money Market)	B	Int./Div.	O	T	Spinoff (from line 3)	09/06/13	M		
5. - Ishares Trust Core S&P 500 ETF	A	Int./Div.	K	T	Buy	09/20/13	J		
6.					Buy (add'l)	10/09/13	J		
7.					Buy (add'l)	10/25/13	J		
8. - Ishares Russell 1000 ETF	A	Int./Div.	K	T	Buy	09/20/13	J		
9.					Buy (add'l)	10/09/13	J		
10.					Buy (add'l)	10/25/13	J		
11. - Ishares Russell 2000 ETF	A	Int./Div.	J	T	Buy	09/20/13	J		
12.					Buy (add'l)	10/09/13	J		
13.					Buy (add'l)	10/25/13	J		
14. - Ishares Trust Core S&P Mid-Cap ETF	A	Int./Div.	K	T	Buy	09/20/13	J		
15.					Buy (add'l)	10/09/13	J		
16.					Buy (add'l)	10/25/13	J		
17. - Ishares U.S Financial Services ETF	A	Int./Div.	J	T	Buy	09/20/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 14

Name of Person Reporting

WISE, TRACEY N.

Date of Report

05/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	10/09/13	J		
19.					Buy (add'l)	10/25/13	J		
20. - Ishares MSCI Eafe Small Cap ETF	A	Int./Div.	J	T	Buy	09/20/13	J		
21.					Buy (add'l)	10/09/13	J		
22.					Buy (add'l)	10/25/13	J		
23. - Technology Sector SPDR Trust SHS	A	Int./Div.	J	T	Buy	09/20/13	J		
24.					Buy (add'l)	10/09/13	J		
25.					Buy (add'l)	10/25/13	J		
26. - Vanguard REIT ETF	A	Int./Div.	J	T	Buy	09/20/13	J		
27.					Buy (add'l)	10/09/13	J		
28.					Buy (add'l)	10/25/13	J		
29. - Vanguard Sector Index FD Vanguard Health Care ETF	A	Int./Div.	J	T	Buy	09/20/13	J		
30.					Buy (add'l)	10/09/13	J		
31.					Buy (add'l)	10/25/13	J		
32. - Vanguard Energy ETF	A	Int./Div.	J	T	Buy	09/20/13	J		
33.					Buy (add'l)	10/09/13	J		
34.					Buy (add'l)	10/25/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 14

Name of Person Reporting

WISE, TRACEY N.

Date of Report

05/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - Vanguard FTSE Emerging Markets ETF	A	Int./Div.	J	T	Buy	09/20/13	J		
36.					Buy (add'l)	10/09/13	J		
37.					Buy (add'l)	10/25/13	J		
38. - Vanguard FTSE Developed MKT ETF	A	Int./Div.	K	T	Buy	09/20/13	J		
39.					Buy (add'l)	10/09/13	J		
40.					Buy (add'l)	10/25/13	J		
41. - Vanguard Divid Appreciation ETF	A	Int./Div.	J	T	Buy	09/20/13	J		
42.					Buy (add'l)	10/09/13	J		
43.					Buy (add'l)	10/25/13	J		
44. - Vanguard Total INTL Stock ETF	A	Int./Div.	J	T	Buy	09/20/13	J		
45.					Buy (add'l)	10/09/13	J		
46.					Buy (add'l)	10/25/13	J		
47.					Buy (add'l)	11/11/13	J		
48. - iShares Short Term Natl AMT Free Muni Bond ETF	A	Int./Div.	J	T	Buy	09/20/13	J		
49.					Buy (add'l)	10/09/13	J		
50.					Buy (add'l)	10/25/13	J		
51. - iShares Floating Rate Bond ETF	A	Int./Div.	J	T	Buy	09/20/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 14

Name of Person Reporting

WISE, TRACEY N.

Date of Report

05/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	10/09/13	J		
53.					Buy (add'l)	10/25/13	J		
54. - Pimco Enhanced Short Mat Exchange-Traded FD	A	Int./Div.	J	T	Buy	09/20/13	J		
55.					Buy (add'l)	10/09/13	J		
56.					Buy (add'l)	10/25/13	J		
57. - Pimco Total Return ETF	A	Int./Div.	K	T	Buy	09/20/13	J		
58.					Buy (add'l)	10/09/13	J		
59.					Buy (add'l)	10/25/13	J		
60. - Vanguard Short Term Corporate Bd ETF	A	Int./Div.	J	T	Buy	09/20/13	J		
61.					Buy (add'l)	10/09/13	J		
62.					Buy (add'l)	10/25/13	J		
63. - Vanguard BD Index FD Inc Short	A	Int./Div.	J	T	Buy	10/09/13	J		
64. - Vanguard Short-term Inflation Protected SEC Index FD ETF	A	Int./Div.	J	T	Buy	09/20/13	J		
65.					Buy (add'l)	10/09/13	J		
66.					Buy (add'l)	10/25/13	J		
67. - American High-Income Municipal Bond F-1	A	Int./Div.	J	T	Buy	09/20/13	J		
68.					Buy (add'l)	10/09/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 14

Name of Person Reporting

WISE, TRACEY N.

Date of Report

05/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Buy (add'l)	10/25/13	J		
70. - Doubleline Core Fixed Income Fund Class N	A	Int./Div.	J	T	Buy	10/09/13	J		
71. - Fidelity Advisor Intermediate Municipal Income Fund A	A	Int./Div.	J	T	Buy	09/20/13	J		
72.					Buy (add'l)	10/09/13	J		
73.					Buy (add'l)	10/25/13	J		
74. - Templeton Global Total Return Class A	A	Int./Div.	J	T	Buy	09/20/13	J		
75.					Buy (add'l)	10/09/13	J		
76.					Buy (add'l)	10/25/13	J		
77. - Wells Fargo Advantage Short/Term High Yield Bond Fund Class A	A	Int./Div.	J	T	Buy	09/20/13	J		
78.					Buy (add'l)	10/09/13	J		
79.					Buy (add'l)	10/25/13	J		
80. - BlackRock Global Long/Short Credit Fund A	A	Int./Div.	J	T	Buy	09/20/13	J		
81.					Buy (add'l)	10/09/13	J		
82.					Buy (add'l)	10/25/13	J		
83. - iShares Gold Trust ETF					Buy	09/20/13	J		
84.					Buy (add'l)	10/09/13	J		
85.					Buy (add'l)	10/25/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 14

Name of Person Reporting

WISE, TRACEY N.

Date of Report

05/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.					Sold	12/27/13	J	A	
87. - Ishares Silver Trust					Buy	09/20/13	J		
88.					Buy (add'l)	10/09/13	J		
89.					Buy (add'l)	10/25/13	J		
90.					Sold	12/27/13	J	A	
91. UBS Financial Services (Traditional IRA fka SEP IRA)	D	Int./Div.	O	T					
92. - Ishares Trust Core S&P 500 ETF (fka Ishares Trust S&P)	B	Int./Div.	L	T					
93. - Ishares Russell 2000 ETF (fka Ishares Trust Russell 2000 Index)	A	Int./Div.	K	T					
94. - Ishares Trust Core S&P Mid-Cap ETF (fka Ishares Trust Mi)	A	Int./Div.	L	T					
95. - Ishares MSCI EAFE ETF (Ishares MSCI EAFE Index Fund)	A	Int./Div.	K	T					
96. - Ishares North Amer Nat Resources ETF (fka Ishares S&P North Amer)	A	Int./Div.	J	T					
97. - Ishares Trust S&P Latin Amer 40 Index Fund					Sold	01/11/13	J	A	
98. - Ishares MSCI EAFE Small Cap ETF (fka Ishares MSCI EAFE Small Cap)	A	Int./Div.	J	T					
99. - Vanguard REIT ETF	A	Int./Div.	J	T					
100. - Vanguard FTSE Emerging Markets ETF (fka Vanguard MSCI Emerging)	A	Int./Div.	J	T					
101. - Vanguard Divid Appreciation ETF	A	Int./Div.	K	T					
102. - Ishares TR Core Total US Bond Mkt ETF (fka Ishares Barcl)					Sold	08/26/13	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 14

Name of Person Reporting

WISE, TRACEY N.

Date of Report

05/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. - Ishares Tips Bond ETF (fka Ishares Barclays Tips Bond Fund)					Sold	08/29/13	K	A	
104. - Ishares Iboxx High Yield Corporate Bond ETF (fka Ishares Iboxx)	B	Int./Div.	K	T					
105. - Vanguard Short Term Corporate BD ETF	B	Int./Div.	M	T					
106. - Vanguard BD Index Fd Inc Total Bond Mkt ETF					Sold	08/23/13	K	A	
107. - Vanguard BD Index Fd Inc Short Term Bond ETF	B	Int./Div.	M	T					
108. - Ishares Gold Trust ETF					Sold	12/27/13	J	A	
109. - Vanguard Energy ETF	A	Int./Div.	J	T					
110. - Berkshire Hathaway Inc New CL B					Sold	05/02/13	J	B	
111. - Ishares Russell 1000 ETF (fka Ishares Trust Russell 1000 Index)	A	Int./Div.	J	T					
112. - Ishares U.S Financial Services ETF (fka Ishares Trust Dow Jones)	A	Int./Div.	K	T					
113. - Technology Sector SPDR Trust SHS	A	Int./Div.	J	T					
114. - Vanguard Sector Index FD Vanguard Health Care ETF	A	Int./Div.	J	T	Buy	01/11/13	J		
115. - Vanguard Total Intl Stock ETF	A	Int./Div.	J	T	Buy	03/01/13	J		
116.					Buy (add'l)	11/11/13	J		
117. - Pimco Total Return ETF	B	Int./Div.	L	T	Buy	08/23/13	K		
118.					Buy (add'l)	08/26/13	J		
119.					Buy (add'l)	08/26/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 14

Name of Person Reporting

WISE, TRACEY N.

Date of Report

05/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	08/26/13	J		
121.					Buy (add'l)	08/27/13	K		
122. - Vanguard Short-term Inflation Protected SEC Index FD ETF	A	Int./Div.	K	T	Buy	08/29/13	J		
123.					Buy (add'l)	08/29/13	J		
124. - Vanguard FTSE Europe ETF					Buy	02/01/13	J		
125.					Sold	03/01/13	J	A	
126. UBS Financial Services Brokerage Account	B	Int./Div.	L	T					
127. - Vanguard Index Funds Vanguard Small CAP ETF	A	Int./Div.	K	T					
128. - Vanguard Index Funds Vanguard Large CAP ETF	A	Int./Div.	K	T	Sold (part)	05/13/13	J	C	
129. - Vanguard REIT ETF	A	Int./Div.	J	T					
130. - Vanguard INTL Equity Index FD INC FSTE All World-EX-US	A	Int./Div.	J	T					
131. - Ishares MSCI Mexico Capped ETF	A	Int./Div.	J	T	Buy	05/13/13	J		
132. Merrill Lynch - Angelucci Acoustics Inc SEP	C	Int./Div.	L	T					
133. - American AMCAP CL B	A	Int./Div.	K	T					
134. - American Growth Fund of America CL B	A	Int./Div.	J	T					
135. - American Growth Fund of America CL A	A	Int./Div.	J	T					
136. - Blackrock Value	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 14

Name of Person Reporting

WISE, TRACEY N.

Date of Report

05/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Blackrock Global	A	Int./Div.	J	T					
138. Rental Property, [REDACTED] Shelby County, KY	D	Rent	M	W					
139. Rental Property, [REDACTED] Fayette County, KY	D	Rent	M	W					
140. Symetra Life Insurance Company (Annuity)	C	Interest			Closed	10/22/13	N		
141. BB&T (Savings) (Y)									
142. Poppin Angels LLC		None	J	T					
143. Jackson National Life Insurance Company (Annuity)	D	Interest	N	T	Spinoff (from line 140)	10/28/13	N		
144.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 14

Name of Person Reporting

WISE, TRACEY N.

Date of Report

05/14/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 14 of 14

Name of Person Reporting

WISE, TRACEY N.

Date of Report

05/14/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ TRACEY N. WISE**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544