

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Mund , Geraldine	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 03/29/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 21041 Burbank Blvd. Woodland Hills, CA 91367		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. CA Bankruptcy Forum

5/20/16 - 5/22/16

Indian Wells, CA

Educational seminar

food, registration

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America	A	Interest	K	T					
2. Real Estate Investment in Israel (Kiryat Bialik, Israel)	A	Interest	J	U					
3. State of Israel Bonds	A	Interest	J	T					
4. Rental Property, Sherman Oaks, California	E	Rent	N	W					
5. Vacant Land Kern County, California appraisal by State 2003		None	K	Q					
6. WELLS FARGO ADVISORS									
7. - Aegon NV	A	Dividend	J	T					
8. - Madera County Partner Valley Children's Hospital Fund	A	Interest	J	T					
9. - Los Angeles CA UN1 School District	A	Interest	J	T					
10. - San Francisco CA County Public Utils	A	Interest	J	T					
11. - Wells Fargo Sweep Account	A	Interest	L	T					
12. - AB Mun Income Fd, inc. High Income Mun	A	Dividend	J	T					
13. - Baron Select Real Estate Fund		None			Sold	08/25/16	J		
14. -Deutsche Blb RL Est Inst	A	Dividend	J	T	Buy	08/25/16	J		
15. - Deutsche Global/Intl Fund	A	Dividend	J	T					
16. -Dreyfus High Yield Muni	A	Dividend	J	T	Buy	04/29/16	J		
17. - Dreyfus Invt. Fds Standish Global Fixed Income	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - Dreyfus Premier Calif Amt-Free mun BDFD	A	Dividend	J	T					
19. - Emerson Electric Co	A	Dividend	J	T					
20. - Fidelity Advisor Technology	A	Dividend	J	T					
21. -Fidelity Strat Div Inc-I	A	Dividend	J	T	Buy	07/21/16	J		
22. - Financial Inv. Trust Emerald Bkg & Fin Fd	A	Dividend	J	T					
23. - Glaxosmithkline PLC-ADR	A	Dividend	J	T					
24. - Hennessy Funds Tr Gas Utility Index Fund		None			Sold	01/28/16	J		
25. - International Business Machine Corp	A	Dividend	J	T					
26. - Invesco Floating Rate Fund	A	Dividend	J	T	Buy (add'l)	02/22/16	J		
27. - Invesco High Yield Muni Fund		None	J	T					
28. - MFS Ser Tr XIII Diversified Income Fund	A	Dividend	J	T					
29. - Pimco FDS Income Fund	A	Dividend	J	T					
30. - Proctor & Gamble	A	Dividend	J	T					
31. - Prudential Inv Port Inc 17 Total Return BD	A	Dividend	J	T					
32. - Prudential World Fd Jennison Global Oppty Fund		None	J	T					
33. - Qualcomm, Inc.	A	Dividend	J	T					
34. -US Steel Corp Sr. Unsec. Notes	A	Interest	K	T	Buy	03/07/16	K		

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35. - Virtus Global Multi Sector Income	A	Dividend	J	T					
36.									
37.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII:

In the 2015 report, I confused two accounts. They should read as follows:

Financial Inv. Trust Emerald Bkg & Fin Fd was not sold in 2015. On 11/5/15 I bought additional shares with a J value. I still hold this investment.

Financial Inv. Trust Emerald Growth should have been reported in 2015 as follows:

Buy 08/15 J

Buy addtl 11/5/15 J

Buy addtl 11/23/15 J

Sell 12/16/15 J, sold at a loss

I received no income from this investment in 2015

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Geraldine Mund**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544