

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Shea, Edward F.	2. Court or Organization United States District Court	3. Date of Report 10/10/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Senior Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 825 Jadwin Avenue, Ste. 320 Richland, WA 99352		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Officer/Director	_____ - Corporation
2.	_____
3.	_____
4.	_____
5.	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	_____
2.	_____
3.	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2011 _____ - salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	VISA	Consumer Card	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Northwestern Mutual Life Insurance	B	Dividend	K	T					
2. Northwestern Mutual Life Insurance	B	Dividend	K	T					
3. Northwestern Mutual Life Insurance	B	Dividend	K	T					
4. Prudential Life Insurance	A	Dividend	J	T					
5. South Boston Savings Bank Life Ins	A	Dividend	J	T					
6. GESA Credit Union	A	Interest	J	T					
7. Coins		None	J	T					
8. Fishcreek Ltd		None	K	T					
9. Art		None	K	W					
10.									
11. Vanguard Health Care Fund, Admin Personal Acct.	B	Dividend	L	T					
12.									
13. E/ SCHWAB RETIREMENT IRA									
14. --Vanguard Health Care Fund (IRA)		None	K	T					
15. --Microsoft		None			Sold	02/11/11	L		
16.					Buy	06/13/11	K		
17.					Sold	08/04/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$500,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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18. --DFA US 9-10 Small Co (DFSVX)		None			Sold	07/27/11	L		
19. --Globix Corp		None	J	T					
20. --Schwab Value Advantage Money Fund		None	K	T					
21. --Int'l GameTech (IGT)		None	K	T	Buy (add'l)	06/07/11	K		
22.					Sold (part)	08/02/11	K		
23.					Sold (part)	08/04/11	K		
24. --Driehaus Emerging Mkts		None	K	T	Buy (add'l)	06/07/11	J		
25.					Sold (part)	08/04/11	K		
26.					Buy (add'l)	08/29/11	K		
27.					Buy (add'l)	10/03/11	J		
28.					Buy (add'l)	12/20/11	J		
29. --US Bank		None	L	T	Buy (add'l)	09/07/11	J		
30. --Cryptologic		None			Buy	03/29/11	J		
31.					Sold	03/29/11	K		
32. --Elan		None	J	T	Buy	07/28/11	J		
33.					Sold (part)	08/02/11	J		
34.					Buy (add'l)	09/14/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.					Buy (add'l)	12/29/11	J		
36. --PASDX (IRA)		None	K	T					
37. --PTTDX (IRA)		None			Buy	08/31/11	J		
38.					Sold (part)	12/23/11	K		
39.					Sold	12/28/11	K		
40. --BAC (IRA)		None	K	T	Buy	8/24/11	J		
41.					Buy (add'l)	8/29/11	J		
42.					Buy (add'l)	9/6/11	J		
43.					Buy (add'l)	10/4/11	J		
44. --Verizon		None	K	T	Buy	8/1/11	J		
45.					Buy (add'l)	9/6/11	J		
46. --PCL		None			Sold	06/07/11	L		
47. --JDS Uniphase		None			Buy	2/11/11	J		
48.					Buy (add'l)	3/11/11	J		
49.					Buy (add'l)	3/22/11	K		
50.					Buy (add'l)	4/4/11	K		
51.					Sold	7/18/11	K		

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52. --Comcast		None			Buy	3/1/11	K		
53.					Buy (add'l)	3/11/11	K		
54.					Buy (add'l)	3/17/11	K		
55.					Buy (add'l)	4/29/11	K		
56.					Buy (add'l)	5/23/11	K		
57.					Sold (part)	8/1/11	K		
58.					Sold	8/2/11	K		
59. --Skyworks		None	L	T	Buy	09/06/11	J		
60.					Buy (add'l)	09/07/11	J		
61.					Buy (add'l)	12/20/11	K		
62.					Buy (add'l)	12/23/11	K		
63. --Windstream		None	K	T	Buy	11/7/11	K		
64.					Buy (add'l)	12/23/11	J		
65. --Annaly Capital		None	K	T	Buy	12/27/11	K		
66.									
67. M/RETIREMENT ACCOUNT									
68. --Diversified Investment Advisors (DIV) - Plan Advisors									

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69. --Goldman Sacks Mid Cap Value Fund		None	K	T	Buy (add'l)	01/11/11	K		
70.					Sold (part)	01/11/11	K		
71.					Buy (add'l)	01/25/11	J		
72.					Sold (part)	08/03/11	K		
73. --Vanguard Inst'l Index		None	J	T	Sold (part)	07/27/11	J		
74. --American Growth Fund (Replaced in DIA with Mainstay Growth)		None			Merged (with line 75)	06/21/11	K		
75. --Mainstay Lg Cap Growth Fund					Sold	07/27/11	K		
76. --Thornburg Int'l Value Fund		None	K	T	Buy (add'l)	01/25/11	J		
77.					Sold (part)	08/03/11	K		
78. --Pimco Tot.Ret. Fund		None	M	T	Buy (add'l)	01/11/11	L		
79.					Sold (part)	01/11/11	L		
80.					Buy (add'l)	03/22/11	K		
81.					Buy (add'l)	07/11/11	K		
82.					Buy (add'l)	08/03/11	K		
83. --TFLIC		None	M	T	Sold (part)	04/25/11	K		
84.					Sold (part)	07/11/11	J		
85.					Buy (add'l)	07/27/11	K		

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86. --Allainz NFJ Dividend Value A Fund		None	K	T	Buy (add'l)	03/22/11	K		
87.					Sold (part)	08/03/11	J		
88. --Columbia Acn Slet		None			Sold (part)	01/25/11	J		
89.					Sold	03/22/11	K		
90. --American EuroPac Fund		None			Sold (part)	01/25/11	J		
91.					Sold	03/22/11	J		
92. --Columbia SM.CAP.Val.*		None							
93.									
94. M/IRA - SCHWAB									
95. --Skyworks		None		T	Buy	1/26/11	J		
96.					Buy (add'l)	2/18/11	J		
97.					Buy (add'l)	9/12/11	J		
98. --Comcast		None		T	Buy	1/26/11	J		
99.					Buy (add'l)	4/4/11	J		
100. --Frontier Communications FTR)		None			Buy (add'l)	1/26/11	J		
101.					Sold	3/1/11	J		
102. --ELAN		None		T	Buy	1/26/11	J		

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103.					Sold (part)	2/18/11	J		
104.									
105. SCHWAB JT ACCOUNT									
106. --Schwab Money Market Fund	A	Interest	J	T					
107. --Charles Schwab Cash Account	A	Interest	J	T					
108. --Microsoft		None			Sold	02/11/11	K		
109. --Schwab Invest Money Fund (Pers)	A	Int./Div.	L	T					
110. --Pimco All Asset	B	Dividend	K	T	Buy	08/03/11	J		
111. --Banco Santander		None			Sold	03/31/11	J		
112. --Cryptologic		None			Buy	3/29/11	J		
113.					Sold	3/29/11	J		
114. --Novatel		None			Buy	05/06/11	J		
115.					Sold	7/1/11	J		
116. --SIRIUS		None	J	T	Buy	8/1/11	J		
117.					Buy (add'l)	8/22/11	J		
118. --MGM		None			Buy	5/4/11	J		
119.					Sold	8/22/11	K		

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120. --ELN		None	J	T	Buy	4/7/11	J		
121.					Buy (add'l)	4/19/11	J		
122.					Buy (add'l)	5/23/11	J		
123.					Buy (add'l)	5/27/11	J		
124.					Buy (add'l)	7/1/11	J		
125.					Buy (add'l)	7/18/11	J		
126.					Sold (part)	7/27/11	K		
127.					Buy (add'l)	8/22/11	J		
128.					Buy (add'l)	8/24/11	J		
129.					Buy (add'l)	8/29/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Shea, Edward F.

Date of Report

10/10/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

* Columbia Sm. Cap. Val - Did not have in account in 2011. Must have been completely sold in 2010.

** Part VII, Investments and Trusts - Line 117 listing SIRIUS stock activity and lines 120-129 listing ELN stock activity were inadvertently omitted from the original report and added to this amended report.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Shea, Edward F.

Date of Report

10/10/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Edward F. Shea**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544