

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sands, Willie L.	2. Court or Organization District Court Middle Georgia	3. Date of Report 05/10/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U. S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address C. B. King U. S. Courthouse 201 West Broad Avenue Albany, Georgia 31701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Mercer University
2.	Trustee	Walter F. George School of Law Foundation, Inc.
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Former Judicial Law Clerks and Staff	Engraved Wristwatch on the occasion of my taking senior status	\$610.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Richard Neuwirth	personal loan	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Interest Checking (X)	A	Interest	K	T					
2. E*Trade Clearing LLC Brokerage (X)	A	Dividend	K	T					
3. AKRX (X) common		None	J	T	Buy	08/13/14	J		
4. ATK (X) common	A	Dividend	J	T	Buy	07/24/14	J		
5. AAPL (X) common	A	Dividend	J	T	Buy	09/08/14	J		
6. CALX (X) common		None	J	T	Buy	09/04/14	J		
7. CALX (X) common		None	J	T	Buy	10/10/14	J		
8. CNQ (X) common		None			Buy	08/13/14	J		
9. CNQ (X) common		None			Sold	12/08/14	J		
10. CNQ (X) common		None			Buy	12/10/14	J		
11. CNQ (X) common		None			Sold	12/11/14	J		
12. DHX (X) common		None	J	T	Buy	09/25/14	J		
13. DHX (X) common		None	J	T	Buy (add'l)	10/17/14	J		
14. DHX (X) common		None	J	T	Buy (add'l)	11/04/14	J		
15. EKSO (X) common		None	J	T	Buy	10/06/14	J		
16. EKSO (X) common		None	J	T	Buy (add'l)	10/15/14	J		
17. EKSO (X) common		None	J	T	Buy (add'l)	10/15/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. EKS0 (X) common		None	J	T	Buy (add'l)	10/20/14	J		
19. EKS0 (X) common		None	J	T	Buy (add'l)	10/24/14	J		
20. EKS0 (X) common		None	J	T	Buy (add'l)	11/04/14	J		
21. EKS0 (X) common		None	J	T	Buy (add'l)	11/12/14	J		
22. EKS0 (X) common		None	J	T	Buy (add'l)	12/05/14	J		
23. EKS0 (X) common		None	J	T	Buy (add'l)	12/05/14	J		
24. EKS0 (X) common		None	J	T	Buy (add'l)	12/16/14	J		
25. FTR (X) common	A	Dividend	J	T	Buy	07/24/14	J		
26. IDCC (X) common	A	Dividend	J	T	Buy	07/24/14	J		
27. INVN (X) common		None			Buy	08/01/14	J		
28. INVN (X) common		None			Buy (add'l)	09/25/14	J		
29. INVN (X) common		None			Sold	10/16/14	J		
30. INVN (X) common		None			Buy	10/24/14	J		
31. INVN (X) common		None			Sold	11/10/14	J		
32. MTRX (X) common		None			Buy	09/29/14	J		
33. MTRX (X) common		None			Sold	12/01/14	J		
34. NTAP (X) common		None	J	T	Buy	07/29/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. NR (X) common		None			Buy	10/06/14	J		
36. NR (X) common		None			Sold	12/08/14	J		
37. ORBC (X) common		None	J	T	Buy	09/24/14	J		
38. PCRFY (X) ADR	A	Dividend	J	T	Buy	08/01/14	J		
39. PQ (X) common		None			Buy	09/09/14	J		
40. PQ (X) common		None			Buy (add'l)	11/04/14	J		
41. PQ (X) common		None			Sold	12/03/14	J		
42. POZN (X) common		None	J	T	Buy	09/25/14	J		
43. POZN (X) common		None	J	T	Buy (add'l)	10/17/14	J		
44. RKUS (X) common		None	J	T	Buy	09/25/14	J		
45. SENY (X) common		None	J	T	Buy	11/04/14	J		
46. TSL (X) ADR		None			Buy	09/12/14	J		
47. TSL (X) ADR		None			Sold	10/06/14	J		
48. TQNT (X) common		None	J	T	Buy	07/25/14	J		
49. UEC (X) common		None	J	T	Buy	12/04/14	J		
50. XOMA (X) common		None	J	T	Buy	11/20/14	J		
51. XOMA (X) common		None	J	T	Buy (add'l)	11/20/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. XOMA (X) common		None	J	T	Buy (add'l)	12/01/14	J		
53. XOMA (X) common		None	J	T	Buy (add'l)	12/03/14	J		
54. XOMA (X) common		None	J	T	Buy (add'l)	12/08/14	J		
55. XOMA (X) common		None	J	T	Buy (add'l)	12/08/14	J		
56. E*Trade Global (X)		None	J	T					
57. SBOTF (X) common		None	J	T	Buy	08/29/14	J		
58. SBOTF (X) common		None	J	T	Buy (add'l)	08/29/14	J		
59. SBOTF (X) common		None	J	T	Buy (add'l)	08/29/14	J		
60. SBOTF (X) common		None	J	T	Buy (add'l)	08/29/14	J		
61. SBOTF (X) common		None	J	T	Buy (add'l)	08/29/14	J		
62. SBOTF (X) common		None	J	T	Buy (add'l)	08/29/14	J		
63. SBOTF (X) common		None	J	T	Buy (add'l)	09/03/14	J		
64. SBOTF (X) common		None	J	T	Buy (add'l)	09/08/14	J		
65. SBOTF (X) common		None	J	T	Buy (add'l)	10/15/14	J		
66.									
67.									
68.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Willie L. Sands

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544