

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Burris, Helen E.	2. Court or Organization US Bankruptcy Court, DSC	3. Date of Report 04/21/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Judge- Full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Federal Courthouse 201 Magnolia Street Spartanburg, SC 29306		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-trustee Trust #1, #2, #3	See Part VII
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2016	Employment with Turner Padgett Graham & Laney
2. 2016	The Law Office of Eric K. Englehardt, LLC (this entity owns 50% of Wilson & Englehardt, LLC)(attorneys)
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. South Carolina Bar	01/21/2016 to 01/23/2016	Charleston, SC	CLE/Bar meeting	registration waived
2. National Conference of Bankruptcy Judges	03/14/2016 to 03/15/2016	Fort Worth, TX	mid year board meeting	travel, lodging, food and expenses
3. National Association of Bankruptcy Trustees	04/07/2016 to 04/09/2016	Atlanta, GA	CLE/speaker	lodging, food, registration waived
4. South Carolina Bankruptcy Law Association	04/29/2016 to 05/01/2016	Hilton Head, SC	CLE/ speaker	lodging, food, registration waived
5. South Carolina Association for Justice	08/04/2016 to 08/07/2016	Hilton Head, SC	CLE	lodging, food, registration waived

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6.	National Conference of Bankruptcy Judges	10/26/2016 to 10/30/2016	San Francisco, CA	Annual meeting, board meeting and CLE	travel, lodging, food
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	credit card	J
2.	U.S. Department of Education/Navient	student loan (dependent child's obligation)	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. South State Bank - Accounts	A	Interest	K	T					
2. Tr. #1 South State Bank	A	Interest	J	T					
3. Tr. #2 Russell Frank Inv. Co. Lifepoints Fund Conserv.	A	Int./Div.			Distributed	06/30/16	J		
4. Voya Financial, Inc. Annuity (ING USA)	A	Int./Div.	M	T					
5. NW Mutual Whole Life Cash Value #1	D	Dividend	L	T					
6. 401K Fidelity Freedom 2030 Fund	D	Int./Div.	N	T					
7. NetReit Real Estate Investment Trust	B	Dividend	K	T					
8. (H)- IRA American Funds									
9. -American Funds-Income Fund of America A	A	Dividend	J	T					
10. -American Funds-American Balanced Fund A	B	Dividend	J	T					
11. (H)-Future Scholar529 College Savings Plan-FS Mod Conserv (no control)	A	Int./Div.	J	T	Distributed (part)	03/22/16	J		
12. -cont'd					Buy (add'l)	04/13/16	J		
13. -cont'd					Distributed (part)	07/22/16	J		
14. -cont'd					Distributed (part)	11/10/16	J		
15. Monogram Residential Trust (formerly Behringer Harvard Multifamily)	A	Dividend			Sold	10/27/16	K	A	See VIII
16. Rental Property #1 Greenville SC	D	Rent	L	W					
17. Trust #3 Invesco Bal Risk Alloc C	A	Int./Div.			Distributed	06/30/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. (H)- Future Scholar 529 College Savings Plan (2nd) -FS Mod Conserv (2)	A	Int./Div.	J	T	Buy (add'l)	04/13/16	J		
19. -cont'd					Distributed (part)	07/20/16	J		
20. -cont'd					Distributed (part)	12/13/16	J		
21. (H) TD Ameritrade Institutional									
22. -Deutsche X-Trackers MSCI Europe Hedged Equity ETF	A	Int./Div.	K	T					
23. -First TR Exchan Traded FD VII FST TR GLB FD	A	Int./Div.	J	T	Buy (add'l)	05/23/16	J		
24. -cont'd					Sold	11/09/16	J	A	
25. -Ishares Core S&P Mid-Cap ETF	A	Int./Div.	K	T	Sold (part)	12/15/16	J		
26. -Ishares Core S&P Small-Cap ETF	A	Int./Div.	J	T	Sold (part)	05/23/16	J		
27. -cont'd					Sold (part)	06/18/16	J		
28. -cont'd					Sold (part)	12/15/16	J		
29. -Ishares Floating Rate Bond ETF	A	Int./Div.	J	T					
30. -Powershares Global Listed	A	Int./Div.			Sold	05/19/16	J	A	
31. -Powershares Var Rate PFD POR ETF	A	Int./Div.	J	T					
32. -Vanguard FTSE Developed Markets ETF	A	Int./Div.	K	T					
33. -Vanguard Emerging Markets ETF	A	Int./Div.	J	T	Sold (part)	05/18/16	J		
34. -cont'd					Sold	09/19/16	J	A	

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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35. -Vanguard Index Funds S&P 500 ETF	A	Int./Div.	K	T	Buy (add'l)	05/23/16	J		
36. -SPDR Series Trust Short Term High Yield Bond ETF	A	Int./Div.	J	T					
37. -ALPS ETF Trust Alerian MLP	A	Int./Div.	J	T	Buy	01/26/16	J		
38. -cont'd					Buy (add'l)	10/28/16	J		
39. -Powershares Senior Locan Portfolio	A	Int./Div.	J	T	Buy	05/18/16	J		
40. -Ishares 1-3 year credit BND ETF	A	Int./Div.	J	T	Buy	05/23/16	J		
41. -Ishares JPM USD Emerg Mkt BND ETF	A	Int./Div.			Buy	05/23/16	J		
42. -cont'd					Sold	12/01/16	J	A	
43. -Fidelity Covington Trust MSCI Finance ETF	A	Int./Div.	J	T	Buy	11/22/16	J		
44. -Ishares Core US Aggregate Bond ETF	A	Int./Div.	J	T	Buy	12/15/16	J		
45. -Proshares Inflation Expectations ETF	A	Int./Div.	J	T	Buy	12/15/16	J		
46. Community National Bank IRA (X)	A	Interest	K	T	Spinoff (from line 15)	10/26/16	K		
47. Vanguard IRA (Inherited Nonspouse beneficiary) (X)		None			Distributed	10/25/16	J		See VIII
48. Buyout of partnership investment Turner Padgett Graham&Laney (X)		None	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. Lines 3 and 17 accounts closed, funds distributed and deposited in bank account line 2.

VII. Line 15- This investment, along with NetReit (line 7) are part of a traditional IRA account managed by Community National Bank. The Monogram investment was sold on 10/27/2016 and statement reflects the proceeds held in "savings balance" in IRA until reinvested in 2017 by same brokerage firm. A line was added to show the savings balance from 10/27/16 to the end of the year (line 46).

VII. Line 47- Inherited IRA transferred to filer upon death of account holder in 2016 and distributed simultaneously.

VII. Line 48- Buyout interest in law firm payable upon withdrawal from firm (2016), paid back in monthly installments over 36 months no interest.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Helen E. Burris**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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