

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) LEE, Tom S.	2. Court or Organization United States District Court Southern District of MS	3. Date of Report 05/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior United States District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 501 E. Court Street Suite 4.756 Jackson, MS 39201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. General Partner	Tom S. Lee Family Limited Partnership
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2012	State Employee Pension Fund; pension upon retirement age 65
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

Director - Community Bancshares of MS, Inc.

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ING NQ VAR		None	J	T					
2. American Skandia NQ VA Prudential(name change)		None	M	T					
3. MS O Coupon Bond		None	M	T					
4. Eaton Vance MS Fund	B	Dividend	K	T					
5. MS Hospital EQ & FAC Bond	A	Interest			Sold	1/3/2012	K	A	
6. Shares of Community Bancshares of MS, Inc.	E	Dividend	P1	T					
7. ING. NQ VA		None	J	T					
8. American Skandia NQ Prudential (name change)		None	N	T					
9. His Hartford VA ...IRA		None	N	T					
10. Land in Scott Co., MS		None	M	T					
11. U.S. Ser. E. Bonds		None	L	T					
12. Phoenix Life		None	M	T					
13. Community Bancshares of MS	A	Interest	N	T					
14. ING V IRA		None	N	T					
15. Hartford VA NQ		None	N	T					
16. ING VA IRA		None	K	T					
17. Franklin Income	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. ABB Limited		None			Sold	9/4/2012	J	C	
19. VK C&S Municipal	D	Dividend	J	T					
20. FT Municipal	A	Dividend	J	T					
21. VK C&S Municipal	C	Dividend	J	T					
22. Thornburg Income Builder	C	Dividend	L	T					
23. FT Corp. Strength	B	Dividend	L	T					
24. Thornburg Income Builder	B	Dividend	K	T					
25. FT Municipal Ins.	C	Dividend			Sold	9/4/2012	L	D	
26. FT Municipal Ins.	D	Dividend			Sold	9/4/2012	M	E	
27. FT Emerging Markets	A	Dividend	L	T					
28. VK Sector Rotation	A	Dividend	L	T					
29. FT TM Advantage	A	Dividend	J	T					
30. Claymore MPL	B	Dividend	K	T					
31. FT Global Commodities	A	Dividend			Sold	12/4/12	K	A	
32. FT Ins. Municipal	A	Dividend			Sold	9/4/12	J	B	
33. Gugg GLB Div & GRW 1 CA MO (changed name)Gugg Tact High Div.	B	Dividend	L	T					
34. VK INFLATION HEDGE 1 ca	A	Dividend			Sold	9/4/2012	J	A	

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35. GUGG GLOBAL HIGH DIV.	B	Dividend	K	T					
36. VK INFLATION HEDGE	A	Dividend			Sold	9/4/2012	K	A	
37. EV STR. INCOME A	B	Dividend	K	T					
38. FT Smid High Div.	A	Dividend	J	T	Buy	5/19/12	J		
39. FT Loved Call	C	Dividend	L	T	Buy	9/5/12	L		
40. RS Floating Rate	C	Dividend	L	T	Buy	9/5/12	L		
41. FT Smid High Div.	A	Dividend	K	T	Buy	5/29/12	K		
42. FT Loved Call	D	Dividend	M	T	Buy	9/5/12	M		
43. Gugg Ban AC Commodity	B	Dividend	K	T	Buy	12/6/12	K		
44. RS Floating Rate	D	Dividend	M	T	Buy	9/5/12	M		
45.									
46.									
47.									
48.									
49.									
50.									
51.									

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52.									
53.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Practically all of my annuities, mutual fund accounts, stocks and bonds are held in my LPL Financial Account.

The proceeds of all sales and redemptions shown in this Report were received in that account and all funds for purchases were disbursed from that account.

All of the assets owned by the Tom S. Lee Family Limited Partnership are listed in Section VII.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Tom S. LEE

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544