

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Adelman, Lynn S.	2. Court or Organization Eastern District of Wisconsin	3. Date of Report 07/26/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 517 E. Wisconsin Ave., Rm. 364 Milwaukee, WI 53202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Gen Auth	3/2/2016	San Francisco	9th Circuit Court of Appeals	1577.41
2. Gen Auth	5/9/2016	Chicago	7th Circuit Conf	1142.58
3. FJC	11/14/2016	South Bend	Workshop for 7th Circuit Judges	170.00
4. Gen Auth	11/14/2016	Palm Beach	Transferee Judges' Conf.	1361.88
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Albuquerque Bernali 013493EU1	A	Interest	K	T					
2. Aldine Ids 014393NP3	B	Interest	K	T					
3. Bank Mutual	A	Interest	K	T					
4. Business - plant nursery	B	None	M	W					
5. Citizens Bank of Mukwonago	A	Interest	J	T					
6. Citizens Bank of Mukwonago	A	Interest	K	T					
7. Citizens Bank of Mukwonago	A	Interest	M	T					
8. County of Cook	B	Interest	K	T					
9. Ctrl Puget Sound	B	Interest	K	T					
10. DFA Commodity Strategy DCMSX	A	Dividend			Buy	01/26/16	K		
11.					Sold	04/01/16	L		
12. DFA Emerging Markets Core Equity DFCEX	B	Dividend	L	T	Buy	01/27/16	J		
13.					Sold	04/01/16	J		
14. DFA International Core DFIEX	D	Dividend	N	T	Buy	01/26/16	L		
15.					Sold	04/01/16	J		
16. DFA Intl. Vector Equity DFVQX					Sold	01/26/16	K		
17. DFA Muni Real Return DMREX	C	Dividend	N	T	Buy	04/01/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. DFA Real Estate Securities DFREX	C	Dividend	L	T					
19. DFA US Core Equity 1 DFEQX	E	Dividend	P1	T	Buy	01/26/16	L		
20.					Buy	01/27/16	K		
21.					Sold	04/01/16	K		
22.					Sold	12/20/16	M		
23. DFA US Core Equity 2 DFQTX					Sold	01/26/16	K		
24. Edgewell Personal Care EPC		None	J	T					
25. Energizer Holdings ENR	A	Dividend	J	T					
26. Exxon Mobil Corporation XOM	B	Dividend	K	T					
27. FI St B/E Cap Outly	B	Interest	L	T					
28. Frisco Ids	B	Interest	L	T					
29. Gilead Sciences Inc. GILD	A	Dividend	K	T					
30. Grant Co Pud No	B	Interest	L	T					
31. Henderson European Focus HFEAX	A	Dividend	K	T					
32. Illinois St Toll Hw	B	Interest			Sold	07/01/16	K		
33. In Fin Auth Hwy REV		None	L	T	Buy	07/27/16	L		
34. Keller Ids	B	Interest	K	T					

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35. Money Market Pool (Schwab Charitable Fund) SCGM1	A	Interest	M	T	Sold	02/01/16	J		
36.					Sold	06/09/16	J		
37.					Sold	10/21/16	L		
38.					Sold	12/05/16	J		
39.					Buy	12/21/16	M		
40. Nc Mun Pwr Cat Elec	B	Interest	L	T					
41. Ncarolina St Univ	B	Interest	K	T					
42. Nike Stock NKE	A	Dividend	L	T					
43. Pfizer PFE	B	Dividend	L	T					
44. Rental Property - Milwaukee, Wisconsin	E	Rent	N	S					
45. Round Rock Ids	A	Interest	K	T					
46. Royal Dutch Shell A Adrf RDSA	A	Dividend	J	T					
47. Schwab Govt Fund SWGXX	A	Dividend	L	T	Buy	07/11/16	J		
48.					Sold (part)	07/11/16	J		
49. Schwab Money Market Fund SCGM1					Sold (part)	02/02/16	L		
50. Spdr S&P Midcap 400 ETF MDY	B	Dividend	M	T					
51. State of California	A	Interest		T	Sold	10/01/16	K		

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52. State of Florida	A	Interest	K	T					
53. State of Minnesota	B	Interest	K	T					
54. State of Ohio	B	Interest	K	T					
55. State of Washington	A	Interest	K	T					
56. State of Wisconsin	B	Interest	K	T					
57. U Colorado Regents	B	Interest	K	T					
58. Univ Texas System	B	Interest	K	T					
59. Univ Texas System	A	Interest	J	T					
60. Vanguard Tax-Exempt Money Market VMSXX	A	Dividend	L	T					
61. Vanguard Total Bond Market Index- Admiral VBTLX	A	Dividend			Sold	01/26/16	K		
62. Vanguard Total Intl Bond Index- Admiral VTABX	B	Dividend	L	T	Sold	01/26/16	K		
63.					Buy	04/01/16	L		
64. W P Carey Inc WPC	B	Dividend	K	T					
65. Wisconsin St	B	Interest	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Lynn S. Adelman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544