

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dearie, Raymond J.	2. Court or Organization Eastern District of New York	3. Date of Report 01/20/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge, Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States Courthouse Room 91S 225 Cadman Plaza East Brooklyn, NY 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. _____
2. _____
3. _____
4. _____
5. _____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____
2. _____
3. _____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank Checking and Savings	A	Int./Div.	M	T					
2. Citibank IRS Money Market AIC	A	Int./Div.	J	U					
3. IRS Dreyfus GNMA Fund	A	Int./Div.	J	T					
4. Rents received, rental unit, Brooklyn, NY	E	Rent	K	W					
5. Federal Credit Union	A	Int./Div.	K	T					
6. USAA Checking and Savings	A	Interest	J	T					
7. First National Bank of St. Louis	A	Interest	J	T					
8. Municipal Credit Union	A	Interest	J	T					
9. Berkshire Hathaway Inc.	A	Dividend	K	T					
10. Express Scripts Holding	A	Dividend	J	T					
11. General Mills	A	Dividend	J	T					
12. Nike	A	Dividend	J	T					
13. Target	A	Int./Div.	J	T					
14. MFS Global Equity Fund CL A	A	Int./Div.	J	T					
15. MFS Int'l Diversification	A	Int./Div.	J	T					
16. Fed Ex Corp	A	Dividend	J	T		02/19/13			
17. US Bank Corp	A	Dividend	J	T		03/25/13			

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. JP Morgan Chase	A	Dividend	J	T		03/25/13			
19. Edward Jones Investment - Act. 1 - (H)									
20. Bridge Builder Bond Fund	A		J	T	Buy	12/06/13	J		
21. Cha Aggressive Growth I	A	Dividend	J	T					
22. Columbia Small Cap Value I	A	Dividend	J	T					
23. Dodge & Cox Stock	A	Dividend	J	T					
24. Fundamental Investors	A	Dividend	J	T					
25. Harbor Capital Appreciation	A	Dividend	J	T					
26. Invesco Comstock	A	Dividend	J	T					
27. JP Morgan Fed Mon Mkt	A		J	T					
28. JP Morgan High Yield			J	T					
29. JP Morgan Mid Cap Value	A	Dividend	J	T					
30. Loomis Sayle Global Bond		None	J	U					
31. Loomis Sayles Inv Grade Bd		None	J	T					
32. Metropolitan West Ttl Ret Bd		None	J	T					
33. MFS Intl New Discovery	A	Dividend	J	T					
34. MFS Value	B	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Mutual Global Discovery Cl Z	A	Dividend	J	T					
36. Pimco Total Return IV		None	J	T	Buy	12/17/13	J		
37. Prudential Jenn Mid Cap Gr	A	Dividend	J	T					
38. Ranier Small/Mid Cap Eq Insti	A	Dividend	J	T					
39. Scout International	A	Dividend	J	T					
40. T. Rowe Price Blue Chip Gr	B	Dividend	J	T					
41. T. Rowe Price Intl Bd		None	J	T					
42. T. Rowe Price Intl Stock	A	Dividend	J	T					
43. Edward Jones Act. 2 - Roth IRA - (H)									
44. Bridge Builder Bond Fund		None	J	T					
45. Capital World Bond		None	J	T					
46. Cba Aggressive Growth I	A	Dividend	J	T					
47. Columbia Acord International	A	Dividend	J	T					
48. Dodge & Cox Stock	A	Dividend	J	T					
49. Europacific Growth	A	Dividend	J	T					
50. Franklin Mutual Shares Cl Z	A	Dividend	J	T					
51. Harbor International	A	Dividend	J	T					

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52. Ironbridge Smid Cap	A	Dividend	J	T					
53. Jh Disciplined Value Mid Cap	A	Dividend	J	T					
54. JP Morgan Fed Mon Mkt		None	J	T					
55. Mainstay Icap Select Equity	A	Dividend	J	T					
56. Manning & Napier Equity	A	Dividend	J	T					
57. Metropolitaqn West Ttl Ret Bd		None	J	T					
58. MFS International Value	A	Dividend	J	T					
59. MFS Value	A	Dividend	J	T					
60. Pimco Total Return IV		None	J	T					
61. Prudential High-Yield	A	Dividend	J	T					
62. T Rowe Price Instl Lrg Cp Gr	A	Dividend	J	T					
63. T Rowe Price Intl Stock	A	Dividend	J	T					
64. Victory Small Company Opport	A	Dividend	J	T					
65. Prudential Jenn Mid Cap Gr	A	Dividend	J	T					
66. Edward Jones Act. 3 - Traditional IRA-(H)									
67. Bridge Builder Bond Fund		None	J	T					
68. Cba Aggressive Growth I	A	Dividend	J	T					

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69. Columbia Small Cap value I	A	Dividend	J	T					
70. Dodge & Cox Stock		None	J	T					
71. Fundamental Investors	A	Dividend	J	T					
72. Harbor Capital Appreciation	A	Dividend	J	T					
73. Invesco Comstock		None	J	T					
74. JP Morgan Fed Mon Mkt		None	J	T					
75. JP Morgan High Yield	A	Dividend	J	T					
76. JP Morgan Mid Cap Value	A	Dividend	J	T					
77. Loomis Sayles Global Bond	A	Dividend	J	T					
78. Loomis Sayles Inv Grade Bd	A	Dividend	J	T					
79. Metropolitan West Ttl Ret Bd	A	Dividend	J	T					
80. MFS Intl New Discovery	A	Dividend	J	T					
81. MFS Value	A	Dividend	J	T					
82. Mutual Global Discovery CI Z	A	Dividend	J	T					
83. Pimco Total Return IV	A	Dividend	J	T					
84. Prudential Jenn Mid Cap Gr	A	Dividend	J	T					
85. Ramier Small/Mid Cap Eq Instl	A	Dividend	J	T					

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86. Scout International	A	Dividend	J	T					
87. T. Rowe Price Blue Chip Gr	A	Dividend	J	T					
88. T. Rowe Price Intl Bd		None	J	T					
89. T. Rowe Price Intl Stock	A	Dividend	J	T					
90.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Additional investments reported .

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Raymond J. Dearie**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544