

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Seabright, J Michael	2. Court or Organization District Court - Hawaii	3. Date of Report 04/11/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 300 Ala Moana Blvd. Room C-409 Honolulu, HI 96850		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017	State of Hawaii salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Honolulu Federal Credit Union Accounts	A	Interest	M	T					
2. State of Hawaii Deferred Compensation Plan	A	Dividend	K	T					
3. Pershing Federated Treasury Obligations	A	Dividend			Sold	04/25/17	N	A	
4. Schwab Cash Account	A	Interest	K	T	Buy	04/25/17	N		
5. Ford Motor Company (F)	A	Dividend			Buy	01/05/17	K		
6.					Sold	03/21/17	K		
7. Universal Display Corp. (OLED)	A	Dividend			Buy	02/01/17	K		
8.					Buy (add'l)	02/15/17	J		
9.					Sold (part)	02/24/17	K	C	
10.					Sold	03/13/17	K	C	
11. Acadia Pharmaceuticals, Inc. (ACAD)		None			Sold	05/10/17	J		
12. FireEye, Inc. (FEYE)		None			Buy (add'l)	01/13/17	K		
13.					Sold	05/10/17	L		
14. Par Pacific Holdings, Inc. (PARR)		None			Sold	09/21/17	L	E	
15. Mountain Province Diamonds, Inc. (MDM) (symbol changed to MPVD)		None			Sold	04/04/17	K		
16. Sarepta Therapeutics, Inc. (SRPT)		None			Sold (part)	01/17/17	K	D	
17.					Sold	05/10/17	L	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Del Taco Restaurants Warrant fka Levy Acquisitions (TACOW)		None			Sold	05/15/17	J		
19. Vonage Holdings Corp. (VG)		None			Buy (add'l)	01/13/17	K		
20.					Sold (part)	03/22/17	J		
21.					Sold	05/10/17	K		
22. Teletex Holdings, Inc. (TTEC)	A	Dividend			Sold (part)	05/10/17	J	B	
23.					Sold	09/21/17	L	E	
24. iShares Core Europe ETF (IEUR)	B	Dividend	M	T	Buy	05/15/17	L		
25.					Buy (add'l)	09/21/17	L		
26.					Sold (part)	12/21/17	J	A	
27. iShares Core Emerging Markets ETF (IEMG)	B	Dividend	K	T	Buy	05/15/17	K		
28.					Buy (add'l)	09/21/17	J		
29.					Sold (part)	12/21/17	J	A	
30. iShares Core Pacific ETF (IPAC)	B	Dividend	L	T	Buy	05/15/17	K		
31.					Buy (add'l)	09/21/17	K		
32.					Sold (part)	12/21/17	J	A	
33. iShares MSCI Frontier 100 ETF (FM)	A	Dividend	J	T	Buy	05/15/17	J		
34. iShares MSCI Canada ETF (EWC)	A	Dividend	K	T	Buy	05/15/17	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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35.					Sold (part)	12/21/17	J	A	
36. iShares S&P 500 ETF (IVV)	C	Dividend	M	T	Buy	05/15/17	M		
37.					Sold (part)	09/21/17	K	B	
38.					Buy (add'l)	12/21/17	K		
39. iShares S&P Small-Cap ETF (IJR)	A	Dividend	K	T	Buy	09/21/17	K		
40.					Buy (add'l)	12/21/17	J		
41. iShares S&P Mid-Cap ETF (IJH)	A	Dividend	K	T	Buy	09/21/17	K		
42.					Buy (add'l)	12/21/17	J		
43. iShares US Treasury Bond ETF (GOVT)	B	Dividend	J	T	Buy	05/15/17	M		
44.					Sold (part)	09/21/17	M	A	
45.					Sold (part)	12/21/17	L		
46. iShares US Aggregate Bond ETF (AGG)	B	Dividend	M	T	Buy	09/21/17	M		
47.					Buy (add'l)	12/21/17	L		
48. iShares EAFE Index ETF (EFA)	A	Dividend	J	T	Buy	05/15/17	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ J Michael Seabright**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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