

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CRISTOL, A. J.	2. Court or Organization US BANKRUPTCY SOUTHERN FLORIDA	3. Date of Report 08/10/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) CHIEF JUDGE EMERITUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address C. CLYDE ATKINS COURTHOUSE 301 NORTH MIAMI AVENUE CHAMBERS 717 MIAMI, FLORIDA 33128		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee of the Board	American Red Cross, Greater Miami & Keys Chapter
2. Judicial Director	University of Miami School of Law Alumni Association
3. Trustee	Trust #2
4. Trustee	Trust #3
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bankruptcy Institute	02/06/14 - 02/08/14	San Juan, Puerto Rico	Educational seminar or program	Air, Hotel, meals
2. National Conference of Bankruptcy Judges	10/07/14 - 10/11/14	Chicago, IL	Educational seminar or program	Hotel
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ☐ General Electric	D	Dividend	M	T					
2. ☐ Hospitality Properties	A	Dividend	K	T					
3. ☐ Municipal Mortgage (Y)									
4. ☐ Blackrock	B	Dividend	J	T					
5. ☐ Miami-Dade Aviation	B	Interest	K	T					
6. ☐ Cohen & Steers	C	Dividend	K	T					
7. ☐ Elbit	A	Dividend	J	T	Sold (part)	01/06/14	J	A	
8. ☐ AT&T	C	Dividend	L	T					
9. ☐ BP PLC SPONS ADR	A	Dividend			Sold (part)	03/27/14	J	A	
10.					Sold (part)	07/11/14	J	A	
11.					Sold	07/30/14	J	A	
12. ☐ Nuveen Real Estate Fund Com	A	Dividend	J	T					
13. ☐ Plains All American Pipeline Com	B	Distribution	L	T					
14. CVS Caremark	A	Dividend	K	T					
15. Mondelez Inc	A	Dividend	K	T					
16. Starbucks	A	Dividend	K	T	Buy (add'l)	01/28/14	J		
17. Miller Convertible Fund	B	Distribution	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Best Buy Co	A	Interest			Sold	02/12/14	K	B	
19. Connecticut ST SER	B	Interest			Sold	06/15/14	K	A	
20. District Columbia Ambac	B	Interest	K	T					
21. Hillsborough County FL	B	Int./Div.	K	T					
22. Arizona St Transn Brd	B	Interest	K	T					
23. Lord Abbett Floating Rate	B	Dividend			Sold	10/10/14	J	A	
24. RS Floating Rate Fund	B	Dividend	L	T	Sold (part)	10/10/14	J	A	
25. Apple Inc	A	Dividend	L	T					
26. Amgen Inc	A	Dividend	K	T					
27. Celgene Corp		None	K	T	Buy (add'l)	01/30/14	J		
28. Comcast Corp NEW Special CL A	A	Dividend	J	T					
29. Forest Laboratories		None			Sold (part)	03/24/14	J	C	
30.					Sold	07/01/14	J	D	
31. Medivation Inc.		None			Sold	03/24/14	J	A	
32. Mednax Inc		None	J	T					
33. National Grid PLC New	A	Dividend			Sold	09/26/14	J	B	
34. Novo Nordisk ADR Denmark	A	Dividend	K	T					

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35. Tata Motors LTD	A	Dividend	K	T	Buy (add'l)	01/24/14	J		
36. Vantiv Inc CL A		None			Buy (add'l)	01/27/14	J		
37.					Sold	10/31/14	J	B	
38. Grandeur Peak Int'l Opportunities	B	Distribution	K	T					
39. Access Midstream Partners LP	A	Distribution	J	T					
40. Genesis Energy LP	A	Distribution	K	T	Buy (add'l)	10/15/14	J		
41.					Buy (add'l)	12/09/14	J		
42. Kinder Morgan LP	B	Distribution			Sold	11/28/14	K	C	
43. St Johns Cnty FL	B	Interest	K	T					
44. Angel Oak Multi Strategy	B	Dividend	K	T	Buy (add'l)	06/11/14	K		
45. Lauder Estee Cos CL A	A	Dividend			Sold	10/30/14	J	B	
46. UBS AG Trigger		None	L	T					
47. Actavis PLC		None	K	T	Buy	01/09/14	K		
48.					Sold (part)	07/01/14	J	A	
49. Air Lease	A	Dividend	J	T	Buy	07/07/14	J		
50. CBS Corp New CL B	A	Dividend	J	T	Buy	06/20/14	J		
51. Constellation Brands Inc CL A		None	J	T	Buy	10/02/14	J		

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52. Envision Healthcare Hldgs Inc		None	J	T	Buy	07/31/14	J		
53. Gilead Sciences Inc		None	J	T	Buy	03/07/14	J		
54.					Buy (add'l)	03/24/14	J		
55. Google Inc CL A		None	J	T	Buy	03/27/14	J		
56. Google Inc CL C		None	J	T	Buy	03/27/14	J		
57. Kinder Morgan Inc.		None	K	T	Buy	11/28/14	K		
58.					Buy (add'l)	11/28/14	J		
59.					Sold (part)	12/02/14	J	A	
60. Mobileye N.V. Amstelveen Eur		None	J	T	Buy	11/13/14	J		
61. Royal Bank of Canada		None	L	T	Buy	01/28/14	L		
62. Grandeur Peak Global	B	Dividend	K	T	Buy	03/05/14	K		
63. 13D Actavist Fund Class	A	Dividend	J	T	Buy	10/01/14	J		
64. Marlin Midstream Partners Com	A	Distribution	J	T	Buy	06/11/14	J		
65. Spectra Energy Partners LP	A	Distribution	J	T	Buy	09/15/14	J		
66. Sunoco Logistics Partners	A	Distribution	K	T	Buy	09/22/14	J		
67.					Buy (add'l)	12/10/14	J		
68. Western Gas Partners LP	A	Distribution	K	T	Buy	10/09/14	J		

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69.					Buy (add'l)	10/30/14	J		
70. Florida ST BRD ED PUB ED	B	Interest	K	T	Buy	01/03/14	K		
71. Goldman Sachs Strategic	A	Dividend	K	T	Buy	05/28/14	K		
72. Legg MAson BW	A	Dividend	K	T	Buy	10/14/14	K		
73. Mainstay Unconstrained bond fund	A	Dividend	K	T	Buy	05/05/14	K		
74. Avenue Credit Strategies Fund	A	Dividend			Buy	06/02/14	J		
75.					Buy (add'l)	07/30/14	J		
76.					Sold	12/10/14	K	A	
77. Tableau Software Inc CL A		None			Buy	03/24/14	J		
78.					Sold	05/07/14	J	A	
79. Mohawk Industries		None			Buy	01/24/14	J		
80.					Sold	08/01/14	J	A	
81. Mastec Inc		None			Buy	05/05/14	J		
82.					Sold	09/15/14	J	A	
83. Trinity Industries Inc	A	Dividend			Buy	07/31/14	J		
84.					Sold	10/15/14	J	A	
85. MMA Cap Mgmt LLC Com		None			Buy	09/30/14	J		

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86.					Sold	12/10/14	J	A	
87. Royal Bank of Canada Trigger		None	K	T	Buy	02/26/14	K		
88.			K	T	Buy (add'l)	08/26/14	K		
89. Deutsche Bank AG Trigger		None	K	T	Buy	05/27/14	K		
90. Goldman Sachs Group Trigger		None	K	T	Buy	10/28/14	K		
91. Citigroup Inc Trigger		None	K	T	Buy	12/26/14	K		
92.									
93.									
94.									
95.									
96. Trust # 2	F	Int./Div.	P1	T					
97. █ FL ST BD									
98. █ Wal Mart									
99. █ Johnson&Johnson					Buy (add'l)	01/24/14	J		
100. █ Dade County S/O v									
101. █ Ebay					Sold (part)	04/08/14	K	D	
102.					Sold (part)	06/10/14	J	C	

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103.					Sold (part)	10/01/14	J	C	
104.					Sold (part)	10/15/14	J	C	
105. ☐ Automatic Data									
106. ☐ Procter&Gamble									
107. ☐ Hospitality Properties									
108. ☐ Smucker					Sold (part)	02/14/14	J	A	
109.					Sold	02/14/14	J	A	
110. ☐ General Electric					Sold (part)	01/06/14	K	A	
111. ☐ Home Depot									
112. ☐ Macquarie									
113. ☐ Heico									
114. ☐ Teekay Offshore Fund									
115. ☐ AT&T (X)									
116. ☐ Opko Health					Buy (add'l)	01/06/14	J		
117. ☐ Lazard Global					Sold (part)	12/12/14	J	A	
118.					Sold (part)	12/12/14	J	A	
119.					Sold	12/12/14	K	A	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. ☐ Cohen&Steers									
121. ☐ Akamai Technologies									
122. ☒ GE (X)									
123. ☐ JEA Bulk Electricity					Sold	04/07/14	J	A	
124. ☐ Palm Beach Waste									
125. ☐ Miami-Dade Aviation									
126. ☐ New York Development									
127. ☐ South Indian River SER 2006									
128. ☐ Leesburg Florida Hospital					Sold	12/05/14	M	A	
129. ☐ FL State Mid Bay Bridge									
130. ☐ Lee Mem Hlth									
131. ☐ Univ Cnt Fla									
132. ☐ South Indian Riv Wtr (X)					Sold	11/21/14	L	B	
133. ☐ Google									
134. ☐ Lake Cnty FL Sch Brd CTF Partn									
135. ☐ Northern IL Mun Pwr Agy Pwr Pr					Sold	11/20/14	L	D	
136. ☐ Port St. Lucie FI Research Faci									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. ☐ Univeristy Medicine & Dentisty					Sold (part)	01/08/14	J	A	
138.					Redeemed	06/16/14	K	B	
139. ☐ Donald. F. Rubin, INC.									
140. ☐ Michael Kors Hldgs LTD					Sold (part)	05/30/14	J	D	
141. ☐ Allergan INC									
142. ☐ Check Point Software Tech LTD ILS					Sold (part)	10/30/14	J	B	
143. ☐ Best Buy Co Inc					Sold	02/12/14	K	B	
144. ☐ American Tower Corp									
145. ☐ Canadian Riv Mun									
146. ☐ El Paso Pipeline Partners					Sold	03/07/14	K	A	
147. ☐ EUnits 2 Yr US Mkt Trust					Sold	05/22/14	L	E	
148. ☐ Florida St Dept Transn					Sold	07/01/14	K	B	
149. ☐ Frisco TX Natio		Interest			Sold	09/16/14	K	A	
150. ☐ Georgia Hsg & Fin Auth									
151. ☐ Kentucky HSG Corp					Sold	07/03/14	K	A	
152. ☐ Kinder Morgan Energy Partners					Buy (add'l)	06/02/14	J		
153.					Sold (part)	11/28/14	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold (part)	11/28/14	K	D	
155.					Sold	12/02/14	J	A	
156. ☐ Lord Abbet Floating									
157. ☐ McDonalds Corp									
158. ☐ Mednax Inc									
159. ☐ Microsoft Corp									
160. ☐ Miller Convertible Fund Class A									
161. ☐ Nevada ST Fgic									
162. ☐ Nike Inc CL									
163. ☐ Qualcomm Inc					Sold (part)	11/06/14	J	A	
164. ☐ RS Floating Rate Fund Class A									
165. ☐ Thermo Fisher Scientific Inc									
166. ☐ Union Pacific Corp									
167. ☐ Wynn Resorts					Sold (part)	12/01/14	J	D	
168. ☐ TJX Cos Inc New									
169. ☐ Panera Bread Comp CL A					Sold (part)	10/30/14	J	A	
170. ☐ AT&T Inc									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.  SPDR Gold Trust					Sold (part)	01/14/14	J	A	
172.					Sold (part)	02/14/14	J	A	
173.					Sold (part)	03/14/14	J	A	
174.					Sold (part)	04/14/14	J	A	
175.					Sold (part)	05/14/14	J	A	
176.					Sold (part)	06/14/14	J	A	
177.					Sold (part)	07/14/14	J	A	
178.					Sold (part)	08/14/14	J	A	
179.					Sold (part)	09/14/14	J	A	
180.					Sold (part)	10/14/14	J	A	
181.					Sold (part)	11/14/14	J	A	
182.					Sold (part)	12/14/14	J	A	
183.  Angel Oak Multi Strategy					Buy (add'l)	10/13/14	K		
184.					Buy (add'l)	11/19/14	K		
185.					Buy (add'l)	12/03/14	K		
186.  Pepsico Inc									
187.  Yahoo Inc					Sold (part)	01/06/14	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000 J - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000 K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000 L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$50,000,000	D - \$5,001 - \$15,000 H2 - More than \$5,000,000 M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Assessment W - Estimated	T - Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

188. ☐ Hercules Technology Growth									
189. ☐ Lord Abbett Floating Rate Fund A									
190. ☐ RS Floating Rate Fund A									
191. ☐ UBS AG Trigger PS SX5E					Sold	08/04/14	L	D	
192. - Village Cmnty Dev No 6 Ser 2013									
193. - Lauderhill FL Cap IMPT REV SER 2013					Sold	11/20/14	K	C	
194. - Chicago IL BRD ED, GO SER 2012A					Sold (part)	11/25/14	K	D	
195. - Metropolitan Pier & Exposition Auth IL SER 2012B					Sold	11/21/14	K	C	
196. - Amer Express					Buy (add'l)	02/03/14	J		
197. - Ares Capital Corp					Buy (add'l)	01/06/14	J		
198. - Cummins Inc									
199. - Enbridge Energy Partners LP									
200. - Energy Transfer Partners LP									
201. - Genesis Energy LP Unit LTD					Buy (add'l)	03/25/14	J		
202.					Buy (add'l)	07/11/14	J		
203.					Buy (add'l)	10/30/14	J		
204. - Targa Resources Partners LP					Sold	12/12/14	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - HSBC USA Inc									
206. - Citigroup Funding Inc									
207. - Citigroup Inc									
208. - Dade CNTY FL Spl Ser 1996 B									
209. - Chicago IL O'Hare Int'l Airport									
210. - Florida St Governmental Util Ser 2003									
211. - Halifax Hosp Med Ctr FL Ser 2006A									
212. - Hernando Cnty FL Sch BD Ser 2006									
213. -Allianz High High Yield Bond Class P					Buy	12/30/14	K		
214. -Avenue Credit Strategies Fund					Buy	03/05/14	J		
215.					Buy (add'l)	08/05/14	K		
216.					Sold	12/12/14	K	C	
217. -American Airls Group					Buy	09/15/14	J		
218. -Blackstone Group LP					Buy	10/01/14	J		
219. -Costco Wholesale					Buy	06/05/14	J		
220. -Denver Co City & CNty					Buy	12/15/14	M		
221. -Ecolab Inc (X)					Buy	10/15/14	J		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. -Energy Transger Partners					Buy	08/01/14	J		
223. -Exterran Partners LP					Buy	03/10/14	J		
224.					Buy (add'l)	03/20/14	J		
225.					Buy (add'l)	05/05/14	J		
226.					Buy (add'l)	06/04/14	J		
227.					Sold	12/12/14	K	A	
228. -Goldman Sachs Strategic Inc					Buy	05/28/14	K		
229.					Buy (add'l)	08/05/14	J		
230.					Buy (add'l)	11/21/14	J		
231. -Legg Mason BW ALternative					Buy	09/15/14	K		
232.					Buy (add'l)	09/18/14	J		
233.					Buy (add'l)	12/17/14	K		
234. -Mainstay Unconstraint Bond					Buy	04/08/14	K		
235.					Buy (add'l)	08/05/14	J		
236.					Sold	11/21/14	K	A	
237. -New York NY Fiscal					Buy	11/21/14	M		
238. -Ralph Lauren Corp					Buy	05/30/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. -Targa Rresources Partners					Buy	07/15/14	J		
240. -Whole Foods Market Inc					Buy	06/09/14	J		
241. -Wisconsin St Clean Wtr					Buy	07/08/14	L		
242. -CDK Global					Buy	01/01/14	J		
243.					Sold	10/30/14	J	B	
244. -JP Morgan Chase & Co Trigger					Buy	06/26/14	J		
245. -Credit Suisse AG Trigger					Buy	06/25/14	K		
246. -Royal Bank of Canada Trigger					Buy	06/25/14	J		
247. -JEA FL ST Johns Riv PWR PK SYS					Buy	07/11/14	K		
248.					Sold	11/20/14	K	A	
249. Trust # 3	G	Int/Div.	P1	T					
250.  Alcoa					Sold	11/18/14	J	A	
251.  Helios									
252.  Six Flags 8.875									
253.  General Motors					Sold (part)	06/19/14	J	A	
254.					Sold (part)	06/19/14	J	A	
255.					Sold (part)	06/19/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Sold (part)	06/19/14	J	A	
257.					Sold (part)	06/19/14	J	A	
258.					Sold (part)	06/19/14	J	A	
259.					Sold (part)	06/19/14	J	A	
260.					Sold (part)	06/19/14	J	A	
261.					Sold (part)	06/19/14	J	A	
262.					Sold (part)	06/19/14	J	A	
263.					Sold (part)	06/19/14	J	A	
264.					Sold (part)	06/19/14	J	A	
265.					Sold	06/19/14	J	A	
266.	General Motors Company Warrant 072016				Sold (part)	11/13/14	J	A	
267.	Chicos				Sold	11/18/14	J	A	
268.	General Motors Company Warrant 072019				Sold (part)	11/13/14	J	A	
269.	General Motors Company(GM)				Buy (add'l)	04/23/14	J		
270.					Buy (add'l)	05/30/14	J		
271.					Sold (part)	06/19/14	M	D	
272.					Sold (part)	06/19/14	M	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273.					Sold (part)	11/13/14	J	A	
274.					Sold (part)	11/18/14	J	A	
275.					Sold (part)	11/18/14	J	A	
276.					Sold (part)	11/18/14	J	A	
277.					Sold (part)	11/18/14	J	A	
278. ☐ Ford Motor									
279. ☐ Occidental Pete Corporation									
280. ☐ Six Flags 9.625									
281. ☐ US Concrete Inc. B									
282. ☐ US Concrete Inc. A									
283. ☐ Ford MTR Company Del COM PAR					Buy (add'l)	02/28/14	J		
284.					Sold (part)	06/06/14	M	D	
285.					Sold (part)	06/16/14	L	A	
286.					Sold (part)	08/11/14	L	A	
287.					Sold	08/21/14	L	A	
288. ☐ Freeport-Memoran Copper & Gold					Buy (add'l)	01/15/14	J		
289.					Buy (add'l)	06/17/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Sold (part)	07/07/14	M	E	
291.					Sold (part)	07/07/14	M	D	
292.					Sold	07/07/14	L	D	
293. ■ Whiting USA TR II					Sold	04/03/14	M	A	
294. ■ Caterpillar Incorporated					Sold	01/03/14	N	E	
295. ■ Occidental Pete Corporation					Buy (add'l)	04/16/14	J		
296.					Sold (part)	03/07/14	M	D	
297.					Sold	05/28/14	M	C	
298. - Breitburn Energy Partners LP					Sold	01/31/14	L	B	
299. - Seadrill Limited SHS					Buy (add'l)	01/14/14	J		
300.					Buy (add'l)	02/28/14	J		
301.					Buy (add'l)	04/23/14	J		
302.					Sold (part)	04/29/14	M	D	
303.					Sold (part)	05/29/14	M	D	
304.					Buy (add'l)	07/28/14	J		
305.					Buy (add'l)	07/31/14	J		
306.					Buy (add'l)	09/15/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Sold	11/26/14	M	A	
308. - Procter & Gamble Company					Buy (add'l)	01/10/14	J		
309.					Sold (part)	09/08/14	N	D	
310.					Sold (part)	09/10/14	N	C	
311.					Sold	09/10/14	L	A	
312. - Verizon Communications Incorporated					Sold (part)	04/15/14	L	A	
313.					Sold (part)	04/29/14	L	A	
314.					Sold (part)	05/27/14	M	A	
315.					Sold	06/13/14	M	A	
316. - American Homes 4 Rent Class A					Sold	03/13/14	L	C	
317. - Capital Product Partners LP					Sold (part)	01/09/14	L	D	
318.					Buy (add'l)	09/08/14	J		
319.					Buy (add'l)	09/30/14	J		
320. - Transocean Limited REG SHS					Buy (add'l)	06/23/14	J		
321.					Buy (add'l)	07/15/14	J		
322.					Buy (add'l)	08/08/14	J		
323.					Sold (part)	10/13/14	L	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Sold (part)	11/18/14	M	A	
325.					Sold (part)	11/18/14	L	A	
326.					Sold (part)	11/18/14	K	A	
327. -Crestwood Midstream Partners LP					Sold (part)	08/14/14	L	A	
328.					Sold	08/14/14	L	A	
329. - Merck & Company Incorporated New					Buy (add'l)	04/16/14	J		
330.					Buy (add'l)	04/21/14	J		
331.					Sold (part)	01/24/14	M	D	
332.					Sold (part)	01/24/14	M	E	
333.					Sold (part)	06/11/14	M	D	
334.					Sold	06/11/14	M	D	
335. - McDonalds Corporation					Sold (part)	04/29/14	M	D	
336.					Buy (add'l)	06/20/14	J		
337.					Buy (add'l)	06/24/14	J		
338.					Buy (add'l)	07/14/14	J		
339.					Buy (add'l)	07/18/14	J		
340.					Buy (add'l)	07/23/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341.					Buy (add'l)	07/28/14	J		
342.					Buy (add'l)	08/05/14	J		
343.					Buy (add'l)	08/14/14	J		
344.					Sold (part)	09/18/14	L	A	
345.					Sold (part)	09/19/14	L	A	
346.					Sold (part)	09/30/14	L	A	
347.					Sold (part)	10/08/14	L	A	
348.					Sold (part)	10/09/14	L	A	
349.					Sold (part)	10/09/14	L	A	
350.					Sold (part)	10/13/14	L	A	
351.					Sold (part)	10/13/14	M	A	
352.					Sold	10/13/14	L	A	
353. - Cisco Systems Incorporated					Sold	02/25/14	M	D	
354. - Chesapeake Granite Wash TR					Sold (part)	03/03/14	M	B	
355.					Sold (part)	03/03/14	M	D	
356.					Sold (part)	03/03/14	L	D	
357.					Buy (add'l)	03/24/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.					Buy (add'l)	03/25/14	J		
359.					Buy (add'l)	04/08/14	J		
360.					Sold (part)	04/22/14	M	D	
361.					Sold (part)	05/16/14	M	C	
362.					Sold (part)	05/16/14	L	A	
363.					Buy (add'l)	06/05/14	J		
364.					Buy (add'l)	06/09/14	J		
365.					Buy (add'l)	08/08/14	J		
366.					Buy (add'l)	08/08/14	J		
367.					Buy (add'l)	08/22/14	J		
368.					Buy (add'l)	08/25/14	J		
369.					Sold (part)	08/27/14	M	D	
370.					Buy (add'l)	09/15/14	J		
371.					Buy (add'l)	11/04/14	J		
372.					Sold (part)	11/21/14	L	A	
373.					Sold (part)	11/21/14	J	A	
374. -General Electric Company					Buy	02/05/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Buy (add'l)	04/09/14	J		
376.					Sold (part)	07/23/14	M	B	
377.					Buy (add'l)	10/14/14	J		
378.					Buy (add'l)	10/14/14	J		
379.					Buy (add'l)	10/20/14	J		
380. -CM FIN Incorporated					Buy	02/11/14	J		
381.					Buy (add'l)	06/17/14	J		
382.					Sold (part)	08/05/14	K	A	
383.					Sold	08/13/14	L	A	
384. -AT&T Incorporated					Buy	03/14/14	J		
385.					Buy (add'l)	03/14/14	J		
386.					Sold (part)	03/26/14	M	D	
387.					Sold	03/26/14	M	D	
388. -Goodrich Petroleum Coporation					Buy	03/26/14	J		
389.					Sold	04/14/14	M	E	
390. -Realty Income Corporation					Buy	04/01/14	J		
391.					Buy (add'l)	04/02/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Buy (add'l)	04/25/14	J		
393.					Sold (part)	05/19/14	L	D	
394.					Sold (part)	05/19/14	L	C	
395.					Sold	05/19/14	M	B	
396. -Carrols Restaurant Group Incorporated					Buy	05/06/14	J		
397.					Sold	07/17/14	M	D	
398. -Linneo LLC ComSHS LTD Int					Buy	05/07/14	J		
399.					Sold (part)	07/07/14	M	D	
400.					Buy (add'l)	09/23/14	J		
401.					Buy (add'l)	09/30/14	J		
402.					Sold (part)	07/07/14	M	D	
403. -Stonemor Partners LP Com					Buy	06/03/14	J		
404.					Buy (add'l)	06/18/14	J		
405.					Sold (part)	08/20/14	M	C	
406.					Sold	08/20/14	M	A	
407. -Knot Offshore Partners LP COM					Buy	06/27/14	J		
408.					Buy (add'l)	06/30/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Sold (part)	08/25/14	J		
410.					Sold	08/25/14	J		
411. -Newmont Mining Corporation					Buy	07/11/14	J		
412.					Buy (add'l)	07/15/14	J		
413.					Sold (part)	08/19/14	M	D	
414.					Sold	08/19/14	M	B	
415. -Catchmark Timber TR Incorporated					Buy	07/16/14	J		
416.					Buy (add'l)	09/04/14	J		
417.					Buy (add'l)	09/22/14	J		
418. -Amazon Com Incorporated					Buy	08/01/14	J		
419.					Buy (add'l)	08/07/14	J		
420.					Sold (part)	08/21/14	M	C	
421.					Sold (part)	08/21/14	L	C	
422.					Buy (add'l)	10/15/14	J		
423. -Time Warner Incorporated Com					Buy	08/11/14	J		
424.					Buy (add'l)	08/12/14	J		
425.					Buy (add'l)	08/18/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426.					Sold (part)	08/26/14	M	C	
427.					Sold (part)	08/26/14	L	C	
428.					Sold	08/26/14	M	C	
429. -Bristol Myers Squibb Company					Buy	09/04/14	J		
430.					Buy (add'l)	09/16/14	J		
431.					Buy (add'l)	09/23/14	J		
432.					Sold (part)	10/22/14	N	C	
433.					Sold (part)	10/22/14	M	B	
434.					Sold	10/22/14	M	A	
435. -Hi-Crush Partners LP Com					Buy	09/05/14	J		
436.					Buy (add'l)	09/09/14	J		
437.					Buy (add'l)	09/09/14	J		
438.					Buy (add'l)	09/23/14	J		
439. -Memorial Prodtm Partners LP					Buy	09/09/14	J		
440.					Buy (add'l)	09/30/14	J		
441. -BP PLC Sponsored ADR (United Kingdom)					Buy	09/10/14	L		
442.					Sold	09/17/14	L	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. -Lehigh Gas Partners LP					Buy	09/19/14	J		
444. -CIT Group Incorporated Com					Buy	09/19/14	J		
445.					Sold	09/23/14	M	A	
446. -Fidus Invst Corporation					Buy	10/03/14	J		
447. -Du Pont E I De Nemours Co					Buy	10/21/14	J		
448.					Buy (add'l)	10/24/14	J		
449.					Buy (add'l)	10/27/14	J		
450. -LRR Energy Partners (X)					Sold (part)	01/10/14	K	A	
451.					Sold (part)	01/10/14	M	B	
452.					Sold	01/10/14	M	D	
453. -Angel Oak Multi-Strategy Inc Class Instl					Buy	11/18/14	L		
454.					Buy (add'l)	12/01/14	L		
455. -RS Floating Rate Class Y					Buy	11/18/14	K		
456.					Buy (add'l)	11/21/14	K		
457.					Buy (add'l)	12/02/14	K		
458. -Goldman Sachs Strategic Income					Buy	11/18/14	K		
459.					Buy (add'l)	11/21/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. -UNTD Rentals Inc					Buy	11/18/14	J		
461. -Florida ST Brd ED Lottery					Buy	11/21/14	M		
462. -Perrigo CO PLC EUR					Buy	12/12/14	J		
463. -Legg Mason BW Alternative					Buy	12/18/14	K		
464. -Brookfield High Income FD ((X))					Sold (part)	11/18/14	J	A	
465. -UBS AB Trigger					Buy	11/25/14	K		
466. -Zimmer (X)					Sold	11/18/14	J	A	
467. -Citigroup Inc Trigger					Buy	12/26/14	K		
468. Raymond James Traditional (IRA)# 1 (X)	E	Int./Div.	J	T					
469. - Merck & Company					Sold	01/29/14	L	D	
470.					Buy	04/18/14	L		
471.					Buy (add'l)	06/10/14	L		
472.					Sold (part)	06/12/14	L	D	
473.					Sold (part)	06/12/14	L	B	
474.					Sold	06/12/14	L	A	
475. - AFLAC INC PFD 5.5%					Sold	04/01/14	L	A	
476. - CISCO Systems Incorporated					Sold	02/25/14	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. - International Business Machine					Sold (part)	04/11/14	L	B	
478.					Sold	04/11/14	K	B	
479. - Nuveen Real Estate Income FD					Sold	04/04/14	L	A	
480. - Israel State DEB Actual					Sold	08/11/14	K	A	
481. - AK Steel Hldg Corporation					Sold	04/14/14	J	A	
482. - VOC Energy TR TR					Sold (part)	03/14/14	J	A	
483.					Sold (part)	03/14/14	J	A	
484.					Sold (part)	03/14/14	J	A	
485.					Sold (part)	03/14/14	J	A	
486.					Sold (part)	03/14/14	J	A	
487.					Sold (part)	04/03/14	J	A	
488.					Sold	04/03/14	K	A	
489. - Seadrill Limited SHS					Sold	02/25/14	K	A	
490.					Buy (add'l)	04/17/14	J		
491.					Buy (add'l)	04/23/14	L		
492.					Sold (part)	05/29/14	L	D	
493.					Buy (add'l)	07/23/14	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494.					Buy (add'l)	07/28/14	M		
495. - General Electric Company					Buy	02/05/14	L		
496.					Sold (part)	04/17/14	J	A	
497.					Sold (part)	04/17/14	J	A	
498.					Sold (part)	04/17/14	J	A	
499.					Sold (part)	04/17/14	J	A	
500.					Sold (part)	04/17/14	J	A	
501.					Sold (part)	04/17/14	J	A	
502.					Sold (part)	04/17/14	K	A	
503.					Sold (part)	07/23/14	K	B	
504.					Sold (part)	07/23/14	L	C	
505.					Sold (part)	07/23/14	L	A	
506.					Buy (add'l)	10/14/14	M		
507.					Buy (add'l)	10/20/14	K		
508.					Sold (part)	11/03/14	L	B	
509. - Ford Mtr Company Del Com					Buy (add'l)	02/28/14	K		
510.					Buy (add'l)	04/09/14	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511.					Sold (part)	02/25/14	K	C	
512.					Sold	07/17/14	L	C	
513. - Freeport -McMoran Incorporated CL B					Buy	03/19/14	K		
514.					Buy	06/17/14	J		
515.					Sold (part)	07/07/14	K	C	
516.					Sold	07/07/14	J	B	
517. - Procter & Gamble Company					Buy	04/04/14	L		
518.					Buy (add'l)	04/08/14	K		
519.					Sold (part)	06/18/14	L	A	
520.					Sold	06/18/14	K	A	
521. - AT&T Incorporated					Buy	06/23/14	M		
522.					Sold (part)	10/22/14	L	A	
523.					Sold (part)	10/29/14	L	A	
524.					Sold	10/29/14	J	A	
525. - Knot Offshore Partners LP com					Buy	06/27/14	K		
526.					Sold	08/25/14	K	A	
527. - Newmont Mining Corporation					Buy	07/11/14	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528.					Sold	08/19/14	M	D	
529. - McDonalds Corporation					Buy	08/18/14	K		
530.					Buy (add'l)	08/22/14	M		
531.					Buy (add'l)	09/02/14	K		
532.					Sold (part)	10/08/14	L	A	
533.					Sold (part)	10/13/14	K	A	
534.					Sold (part)	10/13/14	K	A	
535.					Sold	10/13/14	K	A	
536. - Du Pont E I NeMours & Company					Buy	10/27/14	M		
537. UBS Traditional (IRA) #2	A	Dividend	N	T					
538. - Seadrill LTD US Line					Buy	01/09/14	M		
539.					Buy (add'l)	04/14/14	J		
540.					Buy (add'l)	07/18/14	L		
541.					Buy (add'l)	07/23/14	M		
542. - Genl Electric Co					Buy	10/08/14	K		
543.					Buy (add'l)	10/15/14	K		
544.					Sold (part)	11/18/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
545.					Sold (part)	11/19/14	J	A	
546. - Du Pont De Nemours					Buy	10/22/14	J		
547.					Buy (add'l)	10/22/14	J		
548.					Buy (add'l)	10/29/14	L		
549.					Sold (part)	11/18/14	K	A	
550.					Sold (part)	11/21/14	J	C	
551.					Sold (part)	11/26/14	J	C	
552. - Angel Oak Multi-Strategy					Buy	11/18/14	J		
553.					Buy (add'l)	11/21/14	K		
554.					Sold (part)	11/26/14	J	A	
555. - Goldman Sachs Strategic Inc CL I					Buy	11/18/14	J		
556.					Buy (add'l)	11/21/14	J		
557. - Legg Mason BW Alternative Alternative Credit FD CL I					Buy	11/18/14	J		
558.					Buy (add'l)	11/21/14	J		
559.					Buy (add'l)	11/21/14	J		
560. - Lord Abbett Floating Rate FD CL F					Buy	11/18/14	J		
561.					Buy (add'l)	11/21/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. - RS Floating Rate Class Y					Buy	11/18/14	J		
563.					Buy (add'l)	11/21/14	J		
564. - Sentinel Total Rtn Bnd I					Buy	11/18/14	J		
565.					Buy (add'l)	11/21/14	J		
566. - Euro Disneyland SCA (X)					Sold	11/18/14	J	A	
567. UBS Traditional (IRA) #3 (X)	A	Int./Div.	J	T					
568. - UBS AG Trigger PS SX5E (X)					Buy	11/25/14	J		
569. -Citigroup Inc. Trigger PS SX5E (X)					Buy	12/26/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1

Line #538 UBS Traditional (IRA) #1 Assets inadvertently omitted from the 2013 filing corrected now in 2014. Subsequently assets have been transferred to (IRA) #2 and (IRA) #3 and this account was closed November 2014.

Note 2

Line #574 UBS Traditional (IRA) #2 is new to the Financial Disclosure Report. Assets transferred in from (IRA) #1 (Note 1)

Note 3

Line #604 UBS Traditional (IRA) #3 is new to the Financial Disclosure Report. Assets transferred in from (IRA) #1 (Note 1)

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ A. J. CRISTOL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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