

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Davis, Tony M.	2. Court or Organization U.S. Bankruptcy Court, W.D. TX, Austin Division	3. Date of Report 11/15/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 06/30/2013
7. Chambers or Office Address U.S. Courthouse Homer Thornberry Federal Judicial Building 903 San Jacinto, Ste. 322 Austin, TX 78701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	2012	Partnership Agreement, Baker Botts LLP
2.	2013	Agreement with former law firm, Baker Botts LLP
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Baker Botts LLP - Partnership Income	\$832,568.00
2. 2013	Baker Botts LLP - Partnership Income	\$450,700.00
3. 2011	Baker Botts LLP - Partnership Income	\$1,177,892.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property in Fairfax, VA	D	Rent	J	W					
2. Baker Botts Draw Account	D	Interest	J	T					
3. Baker Botts Capital Account	D	Interest	J	T					
4. 401K(Aim Liquid Asset Portfolio)	A	Int./Div.	O	T					
5. 401K (Pioneer Fund Y Share)	E	Int./Div.	M	T					
6. 401K (Vanguard Target 2015 Fund)	D	Int./Div.	K	T					
7. 401K(Dreyfus Emer. Mrkts. I)	B	Int./Div.	J	T					
8. 401K(Wells Fago Adv. Emer. Mkt I Fd.)	A	Int./Div.	K	T					
9. JP Morgan Core Moderate Growth Funds	F	Int./Div.	O	T					
10. Invesco Diversified Dividend Fund CL R5	A	Dividend	J	T					
11. Aberdeen Emerging Mkts FD Instl SV CL		None	J	T					
12. Artisan Small Cap Fund Investor CL		None	J	T					
13. William Blair Int'l Growth Class N		None	J	T					
14. Columbia Contrarian Core Fund CL R5		None	J	T					
15. Columbia Select Large Cap Growth R5		None	J	T					
16. DWS Managed Municipal Bond CL S	A	Dividend	L	T					
17. Dreyfus Opportunistic Midcap Value CL A		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. American Europacific Growth Class F1		None	J	T					
19. Fimm MMKT Port Inst. CL	A	Int./Div.	K	T					
20. Fidelity Advisor New Insights CL 1		None	J	T					
21. Fidelity Tax-Free Bond	A	Int./Div.	L	T					
22. Fidelity RE Investment	A	Int./Div.	J	T					
23. Fidelity Growth Co.		None	J	T					
24. Fidelity Low Priced Stock		None	J	T					
25. Fidelity Dividend Growth		None	J	T					
26. Franklin Federal Tax Free Class A	A	Int./Div.	J	T					
27. Franklin Int'l SM Cap Growth Advisor		None	J	T					
28. Goldman Sachs SM Cap Value Instl		None	J	T					
29. Oakmark Fund I		None	J	T					
30. Oakmark Int'l CL I		None	J	T					
31. Ishares Russell Midcap Value ETF	A	Int./Div.	J	T					
32. Ishares Russell 1000 Value ETF	A	Int./Div.	K	T					
33. John Hancock Disciplined Value I2		None	J	T					
34. Lazard Emerging Mkts Equity Open CL Shrs		None	J	T					

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35. Manning & Napier World Oppt Ser CL A		None	J	T					
36. Morgan Stanley Mid Cap Growth Port CL P		None	J	T					
37. Oppenheimer Growth Class A		None	J	T					
38. Prudential Jennison Mid Cap Growth CL A		None	J	T					
39. T Rowe Price Small Cap Value Advisor CL		None	J	T					
40. T Rowe Price Mid Cap Value Adv Class		None	J	T					
41. SPDR S&P 500 ETF Trust Unit Ser 1 S&P	A	Int./Div.	K	T					
42. Sector SPDR TR SHS Ben Int Financial		None	J	T					
43. Templeton Frontier Mkts FD Adv CL		None	J	T					
44. Templeton Global Bond Class A	A	Int./Div.	J	T					
45. Vanguard Index FDS Vanguard Growth Vipers	A	Int./Div.	K	T					
46. Wells Fargo Short Term Muni Inc-Inst.	A	Interest	J	T					
47.									
48.									
49.									
50.									
51.									

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52.									
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Tony M. Davis**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544