

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ahler, James R.	2. Court or Organization Indiana Northern Bankruptcy Court	3. Date of Report 12/07/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 11/01/2017
7. Chambers or Office Address United States Courthouse 5400 Federal Plaza, Room 3800 Hammond, IN 46320		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. State Court Judge	State of Indiana
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Ahler, James R.

Date of Report

12/07/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	State of Indiana - Judicial salary	\$142,000.00
2. 2016	State of Indiana - Judicial salary	\$144,000.00
3. 2017	State of Indiana - Judicial salary	\$72,172.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. EXEMPT				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Ahler, James R.

Date of Report

12/07/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	EXEMPT		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Ahler, James R.

Date of Report

12/07/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Trust #1 (H)					Exempt				
2. DFA Tax Mgd Mktwide Val Port (DTMMX)	A	Dividend	L	T					
3. SSGA FDS S&P 500 Index (SVSPX)	A	Dividend	J	T					
4. FDIC Insured Deposit Account Bank NA-TD Ameritrade	A	Interest	J	T					
5. Trust #2 (H)									
6. DFA Tax Managed US Equity Port (DTMEX)	A	Dividend	M	T					
7. DFA Tax Mgd US Sm Cap Fund (DFTSX)	A	Dividend	J	T					
8. SSGA FDS S&P 500 Index (SVSPX)	A	Dividend	J	T					
9. FDIC Insured Deposit Account Bank NA - TD Ameritrade	A	Interest	J	T					
10. IRA #1 (H)									
11. DFA Emerging Markets Small Cap PTF (DEMSX)	A	Dividend	K	T					
12. DFA Intl Sm Cap Val Port (DFSVX)	A	Dividend	K	T					
13. DFA Emerging Mkts PTF (DFEMX)	A	Dividend	J	T					
14. FDIC Insured Deposit Account Bank NA-TD Ameritrade	A	Interest	J	T					
15. IRA #2 (H)									
16. DFA US Micro Cap FD (DFSCX)	A	Dividend	L	T					
17. DFA Intl Sm Cap Val Port (DFSVX)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

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Ahler, James R.

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18. DFA Five-Year Global Fixed PTF (DFGBX)	A	Dividend	K	T					
19. FDIC Insured Deposit Account Bank NA-TD Ameritrade	A	Interest	J	T					
20. Roth IRA #1 (H)									
21. DFA Emerging Mkts Value PTF (DFEVX)	A	Dividend	J	T					
22. DFA Intl SML PTF (DFISX)	A	Dividend	J	T					
23. FDIC Insured Deposit Account Bank NA-TD Ameritrade	A	Interest	J	T					
24. Rollover IRA (H)									
25. DFA Short Term Govt PTF (DFFGX)	A	Dividend	K	T					
26. DFA One-Year Fixed Income PTF (DFIHX)	A	Dividend	K	T					
27. DFA Intl Sml PTF (DFISX)	A	Dividend	J	T					
28. DFA Two-Year Global Fixed PTF (DFGFX)	A	Dividend	K	T					
29. DFA US Small Cap Value Port (DFSVX)	A	Dividend	K	T					
30. DFA Global Real Estate SEC PTF (DFGEX)	A	Dividend	K	T					
31. DFA International Value (DFIVX)	A	Dividend	K	T					
32. FDIC Insured Deposit Account Bank NA-TD Ameritrade	A	Interest	J	T					
33. Indiana Public Employees Deferred Comp Plan (H)									
34. Fidelity Diversified International Core Equity Fund	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Ahler, James R.

Date of Report

12/07/2017

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35. Ironbridge Frontegra SMID Fund	A	Dividend	K	T					
36. Vanguard Capital Opportunity Fund (mid cap growth)	A	Dividend	L	T					
37. MFS Mid Cap Value R6	A	Dividend	K	T					
38. Blackrock Advantage Lg Cp Value Ret Instl	A	Dividend	K	T					
39. Pimco Total Return Instl	A	Dividend	L	T					
40. PNC IRA (H)									
41. PNC Bank Deposit Sweep Account	A	Interest	L	T					
42. Fifth Third Bank Account	A	Interest	M	T					
43. Chase Bank Account	A	Interest	M	T					
44.									
45.									
46.									
47.									
48.									
49.									
50.									
51.									

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Ahler, James R.

Date of Report

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52.									
53.									

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Ahler, James R.

Date of Report

12/07/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Ahler, James R.

Date of Report

12/07/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James R. Ahler**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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