

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Aron, Catharine R.	2. Court or Organization U S Bankruptcy Court MDNC	3. Date of Report 5/1/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U. S. Bankruptcy Court P. O. Box 448 Greensboro, NC 27402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Valic-Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Middle District Bankruptcy Seminar	April 25-26 2013	Aberdeen, NC	seminar	(lodging, food, transportation)
2. North Carolina Annual Bankruptcy Institute	November 22-23 2013	Wilmington, NC	seminar	(lodging, food, transportation)
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. New Bridge Bank money market	A	Interest	L	T					
2. Willow Creek (JRS) (partial interest)	A	Interest	J	W					
3.									
4.									
5.									
6. AIG-Valic Aim Intern.ADR Growth Fund									
7. ADDYY	A	Dividend	J	T	Sold (part)	08/16/13	J	A	
8. AMX	A	Dividend			Sold (part)	02/25/13	J		
9.					Sold (part)	03/19/13	J		
10.					Sold	12/16/13	J	A	
11. BRGY	A	Dividend			Sold (part)	05/08/13	J	A	
12.					Sold	09/24/13	J	A	
13. BBD	A	Dividend	J	T	Buy (add'l)	04/08/13	J		
14.					Sold (part)	10/25/13	J	A	
15. BHP	A	Dividend			Sold (part)	04/12/13	J	A	
16.					Sold	09/04/13	J	A	
17. BTI	A	Dividend	J	T	Buy (add'l)	01/30/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Buy (add'l)	05/10/13	J		
19. BZLFY	A	Dividend	J	T					
20. CNI	A	Dividend	J	T					
21. CAJ		None			Sold (part)	01/03/13	J		
22.					Sold	02/11/13	J		
23. CVE	A	Dividend	J	T	Buy (add'l)	02/22/13	J		
24. CPYYY	A	Dividend	J	T					
25. CHEUY	A	Dividend	J	T					
26. CCLAY	A	Dividend	J	T	Sold (part)	05/13/13	J		
27. CCH	A	Dividend			Sold	03/06/13	J		
28. CMPGY	A	Dividend	J	T	Sold (part)	07/18/13	J	A	
29. DNZOY	A	Dividend	J	T	Sold (part)	01/03/13	J	A	
30. ERIC		None	J	T					
31. FANUY		None	J	T	Buy (add'l)	02/06/13	J		
32.					Buy (add'l)	07/08/13	J		
33.					Sold (part)	12/05/13	J	A	
34. FMX	A	Dividend	J	T	Sold (part)	01/25/13	J	A	

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35. FMS		None			Sold (part)	07/15/13	J	A	
36.					Sold	08/12/13	J	A	
37. OGZPY		None			Sold	07/11/13	J		
38. TV		None	J	T	Sold (part)	03/14/13	J	A	
39. HUWHY	A	Dividend	J	T	Buy (add'l)	08/09/13	J		
40. ITYBY	A	Dividend	J	T	Sold (part)	07/19/13	J		
41. JBAXY		None	J	T	Buy (add'l)	04/04/13	J		
42.					Sold (part)	08/14/13	J	A	
43. KPELY	A	Dividend	J	T					
44. KGFHY	A	Dividend	J	T	Sold (part)	05/08/13	J	A	
45. KMTUY	A	Dividend	J	T	Buy (add'l)	08/05/13	J		
46. NSRGY		None	J	T	Sold (part)	08/15/13	J	A	
47. NVS	A	Dividend	J	T					
48. NVO	A	Dividend	J	T					
49. PUBGY		None	J	T	Buy (add'l)	01/29/13	J		
50. RUK	A	Dividend	J	T					
51. RHBY	A	Dividend	J	T					

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52. RDSB		None	J	T	Buy (add'l)	05/13/13	J		
53. SAP	A	Dividend	J	T					
54. SHPGY	A	Dividend	J	T	Buy (add'l)	05/31/13	J		
55. SNN	A	Dividend	J	T	Sold (part)	02/22/13	J	A	
56. SYT	A	Dividend	J	T	Sold (part)	10/23/13	J	A	
57. TSM	A	Dividend	J	T	Buy (add'l)	10/21/13	J		
58. TEVA	A	Dividend	J	T					
59. TM	A	Dividend	J	T	Buy (add'l)	05/10/13	J		
60. TRP	A	Dividend	J	T					
61. UN	A	Dividend	J	T	Sold (part)	08/02/13	J	A	
62. VOLVY		None			Sold	07/24/13	J		
63. WPPGY	A	Dividend	J	T	Buy (add'l)	05/10/13	J		
64. ABB	A	Dividend	J	T	Buy (add'l)	10/29/13	J		
65. AMADY	A	Dividend	J	T					
66. BMBLY	A	Dividend	J	T	Sold (part)	05/29/13	J	A	
67.					Sold (part)	07/22/13	J	A	
68. CGEMY	A	Dividend			Sold	01/28/13	J	A	

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69. CHL	A	Dividend	J	T					
70. CIOXY	A	Dividend	J	T					
71. GIB	A	Dividend	J	T	Buy (add'l)	02/13/13	J		
72.					Buy (add'l)	05/03/13	J		
73. LRLCY		None			Sold (part)	02/28/13	J	A	
74.					Sold	04/26/13	J	A	
75. SU	A	Dividend	J	T	Buy (add'l)	05/01/13	J		
76. SWDBY		None	J	T	Sold (part)	04/19/13	J	A	
77. VLKPY		None	J	T	Sold (part)	01/15/13	J	A	
78.					Sold (part)	03/01/13	J	A	
79. WYGPY		None			Sold	12/02/13	J		
80. SBGSY		None	J	T	Buy (add'l)	11/04/13	J		
81. AVGO	A	Dividend	J	T	Buy (add'l)	03/15/13	J		
82.					Buy (add'l)	07/08/13	J		
83. BIDU		None	J	T	Sold (part)	09/30/13	J	A	
84.					Sold (part)	10/24/13	J	A	
85. BSYBY		None	J	T	Buy (add'l)	08/13/13	J		

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86.					Buy (add'l)	09/20/13	J		
87. DBOEY	A	Dividend	J	T	Sold (part)	11/20/13	J	A	
88. GXYEY		None	J	T	Sold (part)	09/16/13	J	A	
89. IDCBY	A	Dividend	J	T					
90. PSO	A	Dividend			Sold (part)	03/11/13	J		
91.					Sold	03/18/13	J		
92. POT	A	Dividend			Sold (part)	09/19/13	J		
93.					Sold	11/25/13	J		
94. xAZSEY		None	J	T	Buy	02/28/13	J		
95.					Buy (add'l)	09/23/13	J		
96. xAMCRY		None	J	T	Buy	12/04/13	J		
97.					Buy (add'l)	12/09/13	J		
98. xBELLY	A	Int./Div.	J	T	Buy	05/22/13	J		
99.					Buy (add'l)	06/11/13	J		
100. xCABGY	A	Int./Div.	J	T	Buy	09/23/13	J		
101.					Buy (add'l)	12/04/13	J		
102. xCEO	A	Int./Div.	J	T	Buy	05/20/13	J		

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103. xDTEGY		None	J	T	Buy	08/15/13	J		
104. xDPSGY	A	Int./Div.	J	T	Buy	03/19/13	J		
105.					Buy (add'l)	05/31/13	J		
106. xECA		None	J	T	Buy	12/11/13	J		
107. xPPERY	A	Int./Div.	J	T	Buy	08/30/13	J		
108.					Buy (add'l)	11/19/13	J		
109. xTOT	A	Int./Div.	J	T	Buy	07/09/13	J		
110.					Buy (add'l)	08/07/13	J		
111.					Buy (add'l)	09/17/13	J		
112. xUBS		None	J	T	Buy	02/06/13	J		
113.									
114. SSGAMoney Market Fund	A	Int./Div.	J	T					
115.									
116. AIG- Valic money market account	A	Interest	J	T					
117.									
118. AIG/Valic Select IRA 1 Transamerica Large Growth									
119. AAPL	A	Dividend	J	T					

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. BWA		None			Sold	04/22/13	J	B	
121. CAT	A	Dividend			Sold	11/20/13	J	A	
122. QCOM	A	Dividend	J	T					
123. CAM	A	Dividend	J	T					
124. CAT**listed twice in error									
125. CELG		None			Sold	11/20/13	J	A	
126. CERN		None	J	T					
127. FMC	A	Dividend			Sold	03/15/13	J	B	
128. ICE		None			Sold	04/23/13	J	A	
129. PX	A	Dividend	J	T					
130. RHT		None			Sold	04/08/13	J	A	
131. SBUX	A	Dividend	J	T					
132. V	A	Dividend	J	T	Sold (part)	09/27/13	J	D	
133. TDC		None			Sold	06/03/13	J	A	
134. AGN		None			Sold	07/30/13	J	A	
135. EBAY		None	J	T					
136. VFC		None			Sold	01/14/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. MON		None	J	T					
138. NUAN		None			Sold	02/22/13	J		
139. HOT	A	Dividend	J	T					
140. CVS		None	J	T					
141. ROK		None	J	T					
142. SPLS		None			Sold	03/05/13	J		
143. AKAM		None			Sold	02/28/13	J		
144. AMGN	A	Dividend			Sold	07/30/13	J	A	
145. DIS	A	Dividend	J	T					
146. ECL	A	Dividend	J	T					
147. FIO		None			Sold	02/06/13	J		
148. GILD		None	J	T					
149. GOOG		None	J	T					
150. JEC		None	J	T					
151. LINTA		None	J	T					
152. OCN		None			Sold	03/25/13	J	A	
153. PII		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. QQQ		None			Sold	01/10/13	J	A	
155. SNA	A	Dividend			Sold	05/22/13	J	A	
156. SWI		None			Sold	05/22/13	J	A	
157. SPY		None			Sold	01/10/13	J	A	
158. TRMB		None	J	T					
159. VAL	A	Dividend			Sold	09/17/13	J	A	
160. WPI		None			Sold	08/29/13	J		
161. xA	A	Int./Div.	J	T	Buy	01/10/13	J		
162. xLOW		None	J	T	Buy	11/26/13	J		
163. xCHKP		None	J	T	Buy	07/25/13	J		
164.					Buy (add'l)	08/15/13	J		
165.					Buy (add'l)	09/24/13	J		
166. xSLB	A	Int./Div.	J	T	Buy	01/10/13	J		
167. xACT		None	J	T	Buy	10/01/13	J		
168. xATVI	A	Int./Div.	J	T	Buy	01/10/13	J		
169. xAMGN	A	Int./Div.	J	T	Buy	06/28/13	J		
170.					Buy (add'l)	07/17/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. xAMAT	A	Int./Div.	J	T	Buy	06/19/13	J		
172.					Buy (add'l)	07/26/13	J		
173. xBBBY		None	J	T	Buy	03/13/13	J		
174.					Buy (add'l)	03/22/13	J		
175. xBLK	A	Int./Div.	J	T	Buy	04/18/13	J		
176. xCBG		None	J	T	Buy	04/03/13	J		
177.					Buy (add'l)	07/26/13	J		
178.					Sold (part)	11/20/13	J	A	
179. xEMC	A	Int./Div.	J	T	Buy	01/10/13	J		
180. xGNC	A	Int./Div.	J	T	Buy	02/25/13	J		
181.					Buy (add'l)	04/03/13	J		
182. xHOG	A	Int./Div.	J	T	Buy	09/13/13	J		
183. xIACI	A	Int./Div.	J	T	Buy	03/13/13	J		
184.					Buy (add'l)	03/20/13	J		
185.					Buy (add'l)	09/24/13	J		
186. xINTC	A	Int./Div.	J	T	Buy	05/17/13	J		
187.					Buy (add'l)	05/29/13	J		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. xLKQ		None	J	T	Buy	08/26/13	J		
189.					Buy (add'l)	09/24/13	J		
190. xMSFT	A	Int./Div.	J	T	Buy	01/10/13	J		
191. xNOV	A	Int./Div.	J	T	Buy	01/10/13	J		
192. xORLY		None	J	T	Buy	01/09/13	J		
193. xPNR		None	J	T	Buy	11/15/13	J		
194. xROC		None	J	T	Buy	11/15/13	J		
195. xTSCO		None	J	T	Buy	11/11/13	J		
196. xUTX	A	Int./Div.	J	T	Buy	01/11/13	J		
197.									
198. SSGA Money Market	A	Interest	J	T					
199.									
200. AIG- Valic Select IRA 2 Columbia value and restruct									
201. ACE		None			Sold	01/07/13	J	A	
202. AGCO		None			Sold	01/07/13	J	A	
203. ANR		None			Sold	11/07/13	J	B	
204. AMX		None			Sold	01/07/13	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. ABC	A	Dividend			Sold	03/18/13	J	A	
206. APC	A	Dividend			Sold	04/11/13	J	A	
207. BAX	A	Dividend	J	T	Buy (add'l)	08/12/13	J		
208. CE	A	Dividend	J	T	Buy (add'l)	10/15/13	J		
209.					Buy (add'l)	10/28/13	J		
210. COP	A	Dividend	J	T	Buy (add'l)	09/23/13	J		
211. DVN	A	Dividend			Sold	03/18/13	J	A	
212. ETN	A	Dividend	J	T	Buy (add'l)	04/23/13	J		
213.					Buy (add'l)	11/15/13	J		
214. FCX	A	Dividend			Sold	03/18/13	J		
215. HRS	A	Dividend			Sold	03/18/13	J	A	
216. IBM	A	Dividend	J	T	Buy (add'l)	09/23/13	J		
217.					Buy (add'l)	12/23/13	J		
218. IVZ	A	Dividend	J	T	Buy (add'l)	01/02/13	J		
219. JMP	A	Dividend	J	T	Buy (add'l)	01/02/13	J		
220.					Buy (add'l)	10/15/13	J		
221. LO		None			Sold	01/07/13	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. MET	A	Dividend			Sold	03/18/13	J	A	
223. NWL	A	Dividend			Sold	04/11/13	J	A	
224. PBR	A	Dividend			Sold	02/06/13	J	A	
225. TJX		None			Sold	01/07/13	J	A	
226. TYC	A	Dividend	J	T	Buy (add'l)	05/28/13	J		
227. UNP	A	Dividend	J	T					
228. MA	A	Dividend	J	T					
229. WCRX	A	Dividend			Sold	03/18/13	J	A	
230. XRX		None			Sold	01/07/13	J	A	
231. WY		None			Sold	04/11/13	J	A	
232. CPA		None			Sold	04/11/13	J	B	
233. SWK		None			Sold	03/18/13	J	A	
234. CVX	A	Dividend	J	T	Buy (add'l)	04/08/13	J		
235. CI	A	Dividend	J	T	Buy (add'l)	11/15/13	J		
236.					Buy (add'l)	12/10/13	J		
237. XEC	A	Dividend			Sold	04/11/13	J		
238. CSCO		None			Sold	01/07/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. GOOG		None	J	T	Buy (add'l)	07/23/13	J		
240.					Buy (add'l)	09/23/13	J		
241. PFE	A	Dividend	J	T	Buy (add'l)	09/23/13	J		
242.					Buy (add'l)	10/15/13	J		
243. AON	A	Dividend	J	T					
244. COV	A	Dividend	J	T	Buy (add'l)	07/23/13	J		
245.					Buy (add'l)	08/12/13	J		
246.					Buy (add'l)	09/23/13	J		
247.					Buy (add'l)	11/25/13	J		
248. DLPH		None	J	T	Buy (add'l)	03/13/13	J		
249.					Buy (add'l)	10/15/13	J		
250.					Buy (add'l)	11/15/13	J		
251. NLSN		None	J	T	Buy (add'l)	04/08/13	J		
252.					Buy (add'l)	08/12/13	J		
253. ABT	A	Dividend	J	T	Buy (add'l)	11/13/13	J		
254.					Buy (add'l)	12/10/13	J		
255. APA		None			Sold	01/10/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. AAPL	A	Dividend	J	T	Buy (add'l)	05/13/13	J		
257.					Buy (add'l)	09/23/13	J		
258. BRKB		None	J	T	Buy (add'l)	09/23/13	J		
259. BLK	A	Dividend	J	T	Buy (add'l)	08/12/13	J		
260. CAT	A	Dividend			Sold	04/11/13	J		
261. CELG		None	J	T	Buy (add'l)	06/10/13	J		
262. C	A	Dividend	J	T	Buy (add'l)	10/28/13	J		
263. CMCSA	A	Dividend	J	T	Buy (add'l)	06/25/13	J		
264.					Buy (add'l)	07/08/13	J		
265. DEO	A	Dividend	J	T	Buy (add'l)	05/13/13	J		
266.					Buy (add'l)	08/12/13	J		
267. DISCA		None	J	T	Buy (add'l)	01/02/13	J		
268. DTV		None	J	T	Buy (add'l)	04/23/13	J		
269.					Buy (add'l)	07/08/13	J		
270. DOW	A	Dividend	J	T	Buy (add'l)	08/12/13	J		
271. EMC		None	J	T	Buy (add'l)	02/01/13	J		
272. EBAY		None	J	T	Buy (add'l)	09/23/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273.					Buy (add'l)	10/15/13	J		
274. ESRX		None	J	T	Buy (add'l)	10/15/13	J		
275.					Buy (add'l)	11/15/13	J		
276. XOM	A	Dividend	J	T	Buy (add'l)	07/08/13	J		
277.					Buy (add'l)	10/28/13	J		
278. FB		None	J	T	Buy (add'l)	08/26/13	J		
279. FDX	A	Dividend	J	T	Buy (add'l)	04/08/13	J		
280.					Buy (add'l)	07/08/13	J		
281. GE		None	J	T	Buy (add'l)	09/23/13	J		
282.					Buy (add'l)	10/07/13	J		
283. GM		None	J	T	Buy (add'l)	08/12/13	J		
284.					Buy (add'l)	08/26/13	J		
285.					Buy (add'l)	10/15/13	J		
286. GS	A	Dividend			Sold	04/26/13	J	A	
287. HAL	A	Dividend	J	T	Buy (add'l)	01/02/13	J		
288.					Buy (add'l)	11/25/13	J		
289. HPQ	A	Dividend	J	T	Buy (add'l)	07/08/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. HON	A	Dividend	J	T	Buy (add'l)	03/04/13	J		
291.					Buy (add'l)	04/08/13	J		
292. JNJ	A	Dividend	J	T	Buy (add'l)	04/08/13	J		
293. LOW	A	Dividend	J	T	Buy (add'l)	04/23/13	J		
294.					Buy (add'l)	07/08/13	J		
295. MRC		None			Sold	02/27/13	J	A	
296. MCD	A	Dividend			Sold	08/15/13	J	A	
297. MSFT	A	Dividend	J	T					
298. MDLZ	A	Dividend			Sold	02/06/13	J	A	
299. NKE	A	Dividend			Sold	01/07/13	J	A	
300. NBL	A	Dividend	J	T	Buy (add'l)	04/08/13	J		
301. PEP	A	Dividend	J	T					
302. PM	A	Dividend	J	T	Buy (add'l)	04/26/13	J		
303.					Buy (add'l)	06/10/13	J		
304.					Buy (add'l)	10/28/13	J		
305. PG	A	Dividend	J	T	Buy (add'l)	03/13/13	J		
306. QCOM	A	Dividend	J	T	Buy (add'l)	08/12/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Buy (add'l)	11/15/13	J		
308. SWKS		None	J	T	Buy (add'l)	06/10/13	J		
309. S		None			Sold	03/18/13	J	A	
310. SWK	A	Dividend			Sold	03/18/13	J	A	
311. STT	A	Dividend	J	T	Buy (add'l)	01/02/13	J		
312.					Buy (add'l)	04/23/13	J		
313. TGT		None			Sold	01/07/13	J		
314. UTX	A	Dividend	J	T	Buy (add'l)	07/08/13	J		
315.					Buy (add'l)	08/12/13	J		
316. VIAB	A	Dividend	J	T	Buy (add'l)	04/23/13	J		
317.					Buy (add'l)	09/23/13	J		
318. VOD	A	Dividend	J	T	Buy (add'l)	05/28/13	J		
319. WAG	A	Dividend	J	T	Buy (add'l)	05/28/13	J		
320. WFC	A	Dividend			Sold	01/29/13	J		
321. WYNN	A	Dividend	J	T					
322. xARMK		None	J	T	Buy	12/23/13	J		
323. xT	A	Int./Div.	J	T	Buy	07/08/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324.					Buy (add'l)	08/12/13	J		
325.					Buy (add'l)	09/23/13	J		
326.					Buy (add'l)	11/15/13	J		
327. xBIDU		None	J	T	Buy	06/10/13	J		
328.					Buy (add'l)	09/23/13	J		
329.					Buy (add'l)	11/15/13	J		
330. xBRCM	A	Int./Div.	J	T	Buy	11/25/13	J		
331.					Buy (add'l)	12/10/13	J		
332. xCAM		None	J	T	Buy	11/15/13	J		
333.					Buy (add'l)	11/25/13	J		
334. xCNQ		None	J	T	Buy	07/23/13	J		
335.					Buy (add'l)	08/12/13	J		
336. xCAH	A	Int./Div.	J	T	Buy	04/08/13	J		
337.					Buy (add'l)	05/13/13	J		
338.					Buy (add'l)	08/12/13	J		
339. xCVS	A	Int./Div.	J	T	Buy	04/08/13	J		
340.					Buy (add'l)	05/28/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341.					Buy (add'l)	10/15/13	J		
342. xDKS	A	Int./Div.	J	T	Buy	04/08/13	J		
343.					Buy (add'l)	05/13/13	J		
344.					Buy (add'l)	09/09/13	J		
345. xEA		None	J	T	Buy	06/25/13	J		
346.					Buy (add'l)	08/26/13	J		
347. xEQIX		None	J	T	Buy	10/15/13	J		
348.					Buy (add'l)	11/25/13	J		
349.					Buy (add'l)	12/23/13	J		
350. xINTU	A	Int./Div.	J	T	Buy	06/10/13	J		
351.					Buy (add'l)	06/25/13	J		
352.					Buy (add'l)	07/23/13	J		
353.					Buy (add'l)	09/23/13	J		
354. xKMI		None	J	T	Buy	12/23/13	J		
355. xLPX		None	J	T	Buy	12/23/13	J		
356. xLULU		None	J	T	Buy	12/23/13	J		
357. xMS	A	Int./Div.	J	T	Buy	01/02/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.					Buy (add'l)	05/28/13	J		
359.					Buy (add'l)	10/15/13	J		
360. xNFX		None	J	T	Buy	07/08/13	J		
361.					Buy (add'l)	12/23/13	J		
362. xNEM		None	J	T	Buy	12/23/13	J		
363. xNUAN		None	J	T	Buy	12/10/13	J		
364. xRLGY		None	J	T	Buy	10/15/13	J		
365.					Buy (add'l)	10/28/13	J		
366.					Buy (add'l)	11/15/13	J		
367. xSLXP		None	J	T	Buy	03/13/13	J		
368.					Buy (add'l)	12/10/13	J		
369. xTIF	A	Int./Div.	J	T	Buy	01/11/13	J		
370.					Buy (add'l)	01/16/13	J		
371. xULTA		None	J	T	Buy	05/13/13	J		
372. xYUM	A	Int./Div.	J	T	Buy	10/28/13	J		
373.					Buy (add'l)	11/15/13	J		
374.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. SSGA Money Market	A	Interest	J	T					
376.									
377. AIG Valic IRA DBA DavidsonMid Cap									
378. ARG	A	Dividend	J	T	Buy (add'l)	10/28/13	J		
379. ADS		None	J	T	Sold (part)	02/06/13	J	A	
380.					Sold (part)	05/20/13	J	A	
381.					Sold (part)	08/23/13	J	A	
382. CPLA		None	J	T	Sold (part)	04/26/13	J		
383.					Sold (part)	11/07/13	J	A	
384. FCFC		None	J	T	Sold (part)	02/14/13	J	A	
385.					Sold (part)	04/15/13	J	A	
386.					Buy (add'l)	07/01/13	J		
387. FLIR		None	J	T	Sold (part)	07/24/13	J	A	
388.					Sold (part)	11/13/13	J	B	
389. GDOT		None	J	T	Buy (add'l)	06/07/13	J		
390. HWCC	A	Dividend	J	T					
391. INWK		None	J	T	Sold (part)	01/15/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Buy (add'l)	04/23/13	J		
393.					Buy (add'l)	11/13/13	J		
394. MASI	A	Dividend	J	T	Sold (part)	11/26/13	J		
395. NVEC		None	J	T	Buy (add'l)	07/26/13	J		
396. SPN		None	J	T	Sold (part)	01/25/13	J	A	
397. SIVB		None	J	T	Sold (part)	03/19/13	J	A	
398.					Sold (part)	07/01/13	J	A	
399.					Sold (part)	10/08/13	J	B	
400. WLL		None	J	T	Sold (part)	02/08/13	J	A	
401. TRLG		None			Sold (part)	05/30/13	J	A	
402.					Sold	07/25/13	J		
403. COBZ	A	Dividend	J	T					
404. ATI	A	Dividend			Sold (part)	08/23/13	J		
405.					Sold	10/28/13	J		
406. IMAX		None	J	T	Sold (part)	03/12/13	J	A	
407.					Sold (part)	04/02/13	J		
408.					Sold (part)	05/22/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Aron, Catharine R.

Date of Report

5/1/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Buy (add'l)	06/27/13	J		
410.					Sold (part)	09/11/13	J	A	
411. NILE	A	Dividend	J	T	Buy (add'l)	02/15/13	J		
412.					Sold (part)	06/10/13	J		
413. DHX	A	Dividend	J	T	Sold (part)	09/17/13	J		
414.					Buy (add'l)	12/12/13	J		
415. FTNT	A	Dividend	J	T	Sold (part)	03/20/13	J	A	
416.					Buy (add'l)	04/16/13	J		
417.					Buy (add'l)	12/06/13	J		
418. LPLA	A	Dividend	J	T	Sold (part)	04/29/13	J	A	
419. RP		None	J	T	Sold (part)	02/06/13	J	A	
420.					Buy (add'l)	05/08/13	J		
421.					Buy (add'l)	08/20/13	J		
422. RFMD	A	Dividend	J	T	Sold (part)	01/30/13	J		
423. SSD	A	Dividend	J	T	Buy (add'l)	03/14/13	J		
424. WWD	A	Dividend	J	T					
425. USPH	A	Dividend	J	T	Buy (add'l)	02/11/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Aron, Catharine R.

Date of Report

5/1/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426.					Sold (part)	12/23/13	J	A	
427. RLOC		None	J	T	Sold (part)	01/15/13	J	A	
428.					Buy (add'l)	02/15/13	J		
429.					Sold (part)	04/30/13	J	A	
430.					Buy (add'l)	10/03/13	J		
431. SREV		None	J	T	Sold (part)	01/10/13	J		
432.					Sold (part)	07/26/13	J		
433. MCRS		None	J	T	Buy (add'l)	01/15/13	J		
434.					Sold (part)	05/29/13	J		
435. RBA	A	Dividend	J	T					
436. SCSS		None	J	T	Buy (add'l)	01/30/13	J		
437.					Buy (add'l)	03/12/13	J		
438.					Buy (add'l)	05/30/13	J		
439.					Buy (add'l)	08/15/13	J		
440.					Buy (add'l)	10/30/13	J		
441.					Sold (part)	12/10/13	J		
442. IWM		None			Buy (add'l)	01/10/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443.					Sold (part)	01/15/13	J	A	
444.					Buy (add'l)	04/12/13	J		
445.					Sold	06/10/13	J	A	
446. xCPT	A	Int./Div.	J	T	Buy	01/25/13	J		
447.					Buy (add'l)	02/06/13	J		
448.					Buy (add'l)	06/10/13	J		
449.					Sold (part)	08/09/13	J		
450.					Buy (add'l)	09/11/13	J		
451. xWDR	A	Int./Div.	J	T	Buy	02/04/13	J		
452.					Buy (add'l)	04/29/13	J		
453. xTRNO	A	Int./Div.	J	T	Buy	03/19/13	J		
454.					Buy (add'l)	07/25/13	J		
455.					Buy (add'l)	10/15/13	J		
456. xBCVD		None			Buy	05/22/13	J		
457.					Sold	10/18/13	J	A	
458. xIPGP		None	J	T	Buy	05/29/13	J		
459.					Sold (part)	08/23/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. xGVGW	A	Int./Div.	J	T	Buy	07/25/13	J		
461. xCVGV	A	Int./Div.	J	T	Buy	08/20/13	J		
462. xSTE	A	Int./Div.	J	T	Buy	08/26/13	J		
463. xMGAM		None	J	T	Buy	12/10/13	J		
464.									
465.									
466.									
467. SSGAMoney Market Fund	A	Int./Div.	J	T					
468.									
469.									
470. NC HSG FIN BONDS	A	Interest	K	T					
471. Highwoods Pptys Inc	A	Dividend	K	T					
472. Hooker Furniture	A	Dividend	J	T					
473. Equitey One Inc	A	Dividend	K	T					
474. Carter Bank and Trust	B	Dividend	K	T					
475. xCalamos Growth Mutual Fund A	A	Int./Div.	J	T					
476. Mutual Series Mutual Shares A	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$50,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. Tempelton Growth Mutual Fund A	A	Int./Div.	J	T					
478. Franklin Income Mutual Fund A	A	Int./Div.	J	T					
479. VFA-IRA Alliance Bernstein International Value	A	Int./Div.	M	T					
480. Valic Freedom Plus IRA Annuity	A	Int./Div.	L	T					
481. AIG 401K									
482. BSCFX	A	Int./Div.	K	T					
483. JNFX	A	Int./Div.	K	T					
484. VPMCX	A	Int./Div.	K	T					
485. VEXMX	A	Int./Div.	K	T					
486. FTSE	A	Int./Div.	K	T					
487. TGVAX	A	Int./Div.	K	T					
488. VINIX	A	Int./Div.	K	T					
489. VWELX	A	Int./Div.	K	T					
490. MEIAX	A	Int./Div.	K	T					
491. JSIVX	A	Int./Div.	J	T					
492. VBMFX	A	Int./Div.	K	T					
493. AIG Stable Value Fund	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494. Sun America NQDA	A	Int./Div.	L	T					
495. Jackson National NQDA	A	Int./Div.	L	T					
496. VFA TransAmerica Laarge Growth	A	Int./Div.	N	T					
497. VFA Columbia Value	A	Int./Div.	M	T					
498. VFA Sterling Mid Cap Value	A	Int./Div.	M	T					
499. VFA GWK Small Core	A	Int./Div.	M	T					
500. VFA Nuveen Intermediate Muni	C	Interest	M	T					
501. VFA Intergrated Manager Account									
502. CVS	A	Dividend	J	T					
503. FWRD	A	Dividend			Sold	01/03/13	J	A	
504. HAL	A	Dividend			Sold	04/08/13	J	A	
505. HON	A	Dividend			Sold	09/24/13	J	A	
506. IDCC	A	Dividend			Sold	01/23/13	J	A	
507. NOV	A	Dividend	J	T	Buy (add'l)	01/08/13	J		
508. QCOM	A	Dividend	J	T	Buy (add'l)	09/13/13	J		
509. SNHY	A	Dividend			Sold	03/21/13	J	A	
510. TTC	A	Dividend			Sold	03/06/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. SCMTX	B	Int./Div.			Sold	05/08/13	K	B	
512. SRMST	A	Int./Div.			Sold	04/16/13	K	B	
513. EFA	B	Int./Div.			Sold	06/26/13	J		
514. A	A	Dividend	J	T	Buy (add'l)	01/08/13	J		
515. AGN		None			Sold	06/26/13	J	A	
516. AAPL	A	Dividend	J	T	Buy (add'l)	04/30/13	J		
517. BAX	A	Dividend			Sold	03/06/13	J	A	
518. BRCM	A	Dividend			Sold	01/14/13	J	A	
519. CAT	A	Dividend			Sold	11/20/13	J	A	
520. HBHC	A	Dividend			Sold	02/20/13	J	A	
521. LKFN	A	Dividend			Sold	09/12/13	J	A	
522. LNN	A	Dividend			Sold	03/28/13	J	A	
523. OXY	A	Dividend			Sold	08/21/13	J	A	
524. ORCL	A	Dividend			Sold	04/17/13	J	A	
525. PX	A	Dividend	J	T					
526. ROK		None	J	T	Buy (add'l)	11/15/13	J		
527. SLB	A	Dividend	J	T	Buy (add'l)	01/08/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528. SCHN	A	Dividend			Sold	06/17/13	J	A	
529. SBUX	A	Dividend	J	T					
530. VFC	A	Dividend			Sold	01/22/13	J	A	
531. V	A	Dividend	J	T					
532. ECL	A	Dividend			Sold	04/23/13	J	A	
533. MSFT	A	Dividend	J	T	Buy (add'l)	01/08/13	J		
534. JWN	A	Dividend			Sold	01/08/13	J	A	
535. PG	A	Dividend			Sold	07/17/13	J	A	
536. AMGN	A	Distribution	J	T					
537. ENH	A	Dividend			Sold	05/10/13	J	A	
538. V	A	Int./Div.	J	T					
539. xACT		None	J	T	Buy	10/01/13	J		
540. xATVI	A	Int./Div.	J	T	Buy	01/08/13	J		
541. xAMAT	A	Int./Div.	J	T	Buy	06/19/13	J		
542. xBBBY		None	J	T	Buy	03/13/13	J		
543. xBLK	A	Int./Div.	J	T	Buy	04/18/13	J		
544. xCAM		None	J	T	Buy	03/13/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545. xCELG		None	J	T	Buy	04/18/13	J		
546. xCERN		None	J	T	Buy	06/19/13	J		
547. xCHKP		None	J	T	Buy	07/25/13	J		
548. xDIS	A	Int./Div.	J	T	Buy	05/20/13	J		
549. xEMC	A	Int./Div.	J	T	Buy	01/08/13	J		
550. xEBAY		None	J	T	Buy	01/08/13	J		
551. xECL	A	Int./Div.	J	T	Buy	02/25/13	J		
552. xGILD		None	J	T	Buy	07/25/13	J		
553. xGNC	A	Int./Div.	J	T	Buy	02/25/13	J		
554. xGOOG		None	J	T	Buy	01/08/13	J		
555. xHOG	A	Int./Div.	J	T	Buy	09/13/13	J		
556. xIACI	A	Int./Div.	J	T	Buy	03/13/13	J		
557. xINTC	A	Int./Div.	J	T	Buy	05/17/13	J		
558. xJEC		None	J	T	Buy	01/08/13	J		
559. xLINTA		None	J	T	Buy	01/09/13	J		
560. xLKQ		None	J	T	Buy	08/26/13	J		
561. xMOM	A	Int./Div.	J	T	Buy	05/17/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. xORLY		None	J	T	Buy	01/09/13	J		
563. xPNR		None	J	T	Buy	11/15/13	J		
564. xPII	A	Int./Div.	J	T	Buy	01/08/13	J		
565. xQQQ	A	Int./Div.	J	T	Buy	11/14/13	J		
566. xSNA	A	Int./Div.	J	T	Buy	11/14/13	J		
567. xSPY	A	Int./Div.	J	T	Buy	11/14/13	J		
568. xHOT	A	Int./Div.	J	T	Buy	09/13/13	J		
569. xTSCO	A	Int./Div.	J	T	Buy	11/11/13	J		
570. xTRMB		None	J	T	Buy	04/17/13	J		
571. xUTX	A	Int./Div.	J	T	Buy	04/25/13	J		
572.									
573. xSSGA Money Market Fund	A	Int./Div.	M	T					
574.									
575. Genworth Variable Life Insurance	A	Int./Div.	J	T					
576.									
577.									
578.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579.									
580.									
581.									
582.									
583.									
584.									
585.									
586.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Catharine R. Aron**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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