

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Friot, Stephen P.	2. Court or Organization District Court - W.D. of Okla.	3. Date of Report 05/01/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior Status)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 200 N.W. 4th St., Room 3102 Oklahoma City, OK 73102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member	Troop Committee, Troop 4, Last Frontier Council, Boy Scouts of America
2. Past President, Director and Member of the Executive Committee	Last Frontier Council, Inc., Boy Scouts of America
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Friot, Stephen P.

Date of Report

05/01/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Saratov State Law Academy April 5-17, 2017 Saratov, Russian Federation Guest lecturer at law school Travel expenses, lodging (no honorarium)

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Friot, Stephen P.

Date of Report

05/01/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Friot, Stephen P.

Date of Report

05/01/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. MIDFIRST BANK	A	Interest	K	T					
2. CAN IMP BANK OF COMMERCE	A	Interest	K	T					
3. CHARLES SCHWAB (CASH ACCOUNT)	A	Interest	K	T					
4. T-C S&P 500 IND-RTMT	C	Dividend	M	T					
5. TIAA RETIREMENT VARIABLE ANNUITY (H)									
6. CREF STOCK R1	C	Int./Div.	M	T					
7. TIAA SUPPLEMENTAL RET VARIABLE ANNUITY (H)									
8. TIAA TRADITIONAL (CASH)	A	Interest	K	T					
9. CREF STOCK R1		None	K	T					
10. CREF MONEY MARKET R1		None	J	T					
11. IRA #1 (H)									
12. TIAA-CREF TRUST CO CASH	A	Dividend	K	T					
13. TIAA-CREF INFL LINKED BD FD (THLX)	A	Dividend	K	T					
14. ISHARES BARCLAYS TIPS BOND ETF (TIP)	A	Dividend	K	T	Buy	04/18/17	K		
15. TIAA-CREF HIGH YEILD FUND (THYX)	C	Dividend	K	T					
16. FEDERATED TOTAL RETURN (FTRBX)	A	Dividend	K	T	Sold (part)	04/18/17	K		
17. PIMCO EMERGING LOCAL BOND (PELBX)	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Friot, Stephen P.

Date of Report

05/01/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. FIDELITY ADV EMERGING MARKETS INC 1 (FMKIX)	A	Dividend	K	T	Buy	04/18/17	K		
19. VANGUARD TOTAL BOND MARKET INDEX (VBTIX)	B	Dividend	L	T					
20. MAINSTAY HIGH YLD CORP BND - 1 (MHYIX)	A	Dividend	J	T	Sold (part)	04/18/17	J	A	
21. DFA INFALTION PROTECTED SEC FUND (DIPSX)	B	Dividend	K	T	Buy (add'l)	06/28/17	J		
22. DELAWARE DIVERSIFIED INCOME (DPFFX)	A	Dividend	K	T	Sold (part)	04/18/17	J		
23. VANGUARD SHORT TERM BOND INDEX (VBIRX)	B	Dividend	L	T	Sold (part)	04/18/17	K		
24. VANGUARD SMALL CAP INDEX (VSMAX)	A	Dividend	L	T	Sold (part)	04/18/17	K	E	
25. ISHARES RUSSELL 2000 VALUE ETF (IWN)	A	Dividend	K	T	Buy	04/18/17	K		
26.					Buy (add'l)	08/23/17	J		
27. DIAMOND HILL LONG/SHORT (DHLXS)	A	Dividend	L	T	Buy (add'l)	04/18/17	K		
28. ISHARES RUSSELL 2000 GROWTH ETF (IWO)	A	Dividend	K	T	Buy	04/18/17	J		
29.					Buy (add'l)	06/05/17	J		
30.					Buy (add'l)	06/16/17	J		
31.					Buy (add'l)	08/18/17	J		
32. VANGUARD GROWTH INDEX FUND (VIGAX)	B	Dividend	M	T	Buy (add'l)	04/18/17	K		
33.					Buy (add'l)	07/05/17	J		
34. VANGUARD MID CAP VALUE INDEX (VOE)	A	Dividend	L	T	Buy	04/18/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$5,001,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Friot, Stephen P.

Date of Report

05/01/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. VANGUARD REIT ETF (VNQ)	B	Dividend	K	T					
36. VANGUARD VALUE INDEX FUND (VVIAX)	C	Dividend	M	T					
37. VOYA REAL ESTATE I (CRARX)	A	Dividend	J	T	Buy	04/21/17	J		
38. ARTISAN MIDCAP VALUE (APDQX)	A	Dividend	L	T	Buy (add'l)	11/27/17	J		
39. VANGUARD MID CAP INDEX FUND (VIMAX)	B	Dividend	L	T	Sold (part)	04/18/17	K	E	
40. HARBOR INTERNATIONAL (HAINX)	B	Dividend	L	T	Buy	04/18/17	L		
41. DFA EMERGING MARKETS VALUE (DFEVX)	A	Dividend	K	T	Sold (part)	04/18/17	K		
42. AMERICAN EUROPACIFIC GROWTH FD (AEPFX)	B	Dividend	L	T	Sold (part)	04/18/17	K	D	
43. AQR MANAGED FUTURES STR I (AQMIX)		None	K	T	Buy	04/18/17	K		
44. DFA INT'L SMALL CAP VALUE (DISVX)	A	Dividend	K	T	Sold (part)	04/18/17	J	B	
45. TRUST #1 (H)									
46. VANGUARD INTERMEDIATE TERM TAX-EXEMPT FUND (VWUIX)	A	Dividend	J	T	Buy	06/29/17	K		
47.					Sold (part)	11/11/17	J		
48. VANGUARD HIGH-YIELD TAX-EXEMPT FUND (VWALX)	A	Dividend	J	T	Buy	06/29/17	K		
49.					Sold (part)	11/08/17	J		
50. WELLS FARGO ULTR SHORT TERM MUNICIPAL INCOME FUND (SMAIX)	A	Dividend	J	T	Buy	06/28/17	K		
51.					Sold (part)	11/08/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Friot, Stephen P.

Date of Report

05/01/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. PIMCO EMERGING LOCAL BOND FUND (PEL BX)		None			Buy	06/28/17	J		
53.					Sold	11/08/17	J		
54. FIDELITY ADVISOR EMERGING MARKETS INCOME FUND (FMKIX)	A	Dividend	J	T	Buy	06/28/17	J		
55. BERSTEIN DIVERSIFIED MUNICIPAL PORTFOLIO (SNDPX)		None			Buy	06/28/17	K		
56.					Sold	11/08/17	K		
57. VANGUARD SMALL-CAP ETF (VB)	A	Dividend	J	T	Buy	06/30/17	J		
58. WASATCH SMALL-CAP FROWTH FUND (WAAEX)		None	J	T	Buy	06/28/17	J		
59. ISHARES RUSSELL 2000 VALUE ETF (IWN)	A	Dividend	J	T	Buy	06/30/17	J		
60.					Buy (add'l)	10/11/17	J		
61.					Sold (part)	11/08/17	J		
62. VANGUARD GROWTH ETF (VUG)	A	Dividend	K	T	Buy	06/30/17	K		
63.					Buy (add'l)	10/11/17	J		
64.					Sold (part)	11/09/17	J		
65. VANGUARD VALUE ETF (VTV)	A	Dividend	K	T	Buy	06/30/17	K		
66.					Sold (part)	11/09/17	J		
67. DIAMOND HILL LONG/SHORT I (DHLSX)		None			Buy	06/29/17	K		
68.					Sold	11/08/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Friot, Stephen P.

Date of Report

05/01/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. VANGUARD IND FD MID-CAP (VO)	A	Dividend	J	T	Buy	06/30/17	J		
70.					Sold (part)	11/08/17	J		
71. VANGUARD MID-CAP VALUE INDEX (VOE)	A	Dividend	J	T	Buy	06/30/17	K		
72.					Sold (part)	11/09/17	K		
73. VANGUARD REIT ETF (VNQ)		None			Buy	06/30/17	J		
74.					Sold	11/09/17	J		
75. AQR MANAGED FUTURES STR-I (AQMIX)		None	J	T	Buy	06/28/17	J		
76. ISHARES MSCI EAFE SMALL CAP ETF (SCZ)	A	Dividend	J	T	Buy	06/30/17	J		
77. VANGUARD FTSE DEVELOPED MARKETS ETF (VEA)	A	Dividend	K	T	Buy	06/30/17	K		
78.					Sold (part)	11/09/17	K		
79. VANGUARD FTSE EMERGING MARKETS ETF (VWO)	A	Dividend	J	T	Buy	06/30/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Friot, Stephen P.

Date of Report

05/01/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Friot, Stephen P.

Date of Report

05/01/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Stephen P. Friot

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544