

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HODGES, GEORGE R.	2. Court or Organization U.S. BANKRUPTCY COURT	3. Date of Report 10/12/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE, Retired/Recalled	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address UNITED STATES COURTHOUSE 401 West Trade Street CHARLOTTE, NC 28202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Nationwide Ins. Co.	Triangle Properties Assoc. LLC secured [REDACTED] in Hickory, NC	P1
2. Nationwide Ins. Co.	Note by JM Wallace Land Co. secured [REDACTED] in Iredell County, NC	P1
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Sonoco Products	C	Dividend	L	T					
2. Morgan Stanley int. bearing demand accts.	A	Int	J	T					
3. IRA - MSDW Liquid Asset Fund	A	Interest	K	T					
4. IRA - CISCO Sys.		None			Sold	02/10/11	J	A	Morgan Stanley
5. IRA - Exxon Mobil	A	Dividend			Sold	12/13/11	J	A	Morgan Stanley
6. IRA - Intuitive Surgical		None	J	T					
7. IRA - AFLAC	A	Dividend			Sold	12/19/11	J	B	Morgan Stanley
8. IRA - Baxter Int'l.	A	Dividend	J	T					
9. IRA - Apple Inc.		None	K	T	Sold (part)	07/20/11	J	A	Morgan Stanley
10.					Sold (part)	10/17/11	J	A	Morgan Stanley
11. IRA - IShares IBOXX	C	Dividend	L	T					
12. IRA - Devon Energy	A	Dividend			Sold	12/19/11	K	A	Morgan Stanley
13. IRA - Blackrock Inc.	A	Dividend			Sold	06/24/11	J	A	Morgan Stanley
14. IRA - Danaher Corp.	A	Dividend	K	T	Sold (part)	12/28/11	J	A	Morgan Stanley
15. IRA - General Electric	B	Dividend	K	T					
16. IRA - Ishares MSCI	C	Dividend			Sold	12/28/11	L	A	Morgan Stanley
17. IRA - Chevron	A	Dividend	K	T	Sold (part)	12/12/11	J	A	Morgan Stanley

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold (part)	07/20/11	J	A	Morgan Stanley
19. IRA - Broadcom Corp.	A	Dividend			Sold	04/27/11	K	A	Morgan Stanley
20. IRA - Caterpillar	A	Dividend	K	T					
21. IRA - Express Scripts Inc.		None			Sold	12/28/11	K	A	Morgan Stanley
22. IRA - Phillip Morris	B	Dividend	K	T					
23. IRA - Potash Corp.	A	Dividend	K	T					
24. IRA - Tesoro Petrol.		None			Sold	12/12/11	J	A	Morgan Stanley
25. IRA - Textron Inc.	A	Dividend	K	T					
26. IRA - Iron Mountain		None			Sold	04/21/11	J	A	Morgan Stanley
27. IRA - 3M Corp.	A	Dividend	J	T					
28. IRA - Buffalo Small Cap Fund		None	J	T					
29. IRA - Cohen + Steers Gbl Inf. A	A	Dividend	J	T					
30. IRA - Cohen + Steers Intl RealtyA	A	Dividend	J	T					
31. IRA - Goldman Sachs Sm Cap Val A	A	Distribution	K	T					
32. IRA - Ivy Small Cap GRW A		None	J	T					
33. IRA - Nuveen Trade Val. Op. A	A	Dividend	K	T					
34. IRA - Royce Op., Svc		None	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. IRA - RS Emerg. Mkt A	A	Dividend	L	T					
36. IRA - Intel	A	Dividend	K	T					
37. IRA - MSCI Emerging Mkt FD	D	Interest	L	T					
38. IRA - Honeywell Int'l.	A	Dividend	K	T	Buy	05/04/11	K		Morgan Stanley
39. IRA - United Health Gp.	A	Dividend	J	T	Buy	06/24/11	J		Morgan Stanley
40. IRA - Pharmasset Inc.		None	J	T	Buy	06/24/11	J		Morgan Stanley
41. IRA - Cerner Corp.		None	J	T	Buy	06/24/11	J		Morgan Stanley
42. IRA - Thermo Fischer Sci Inc.		None	J	T	Buy	06/24/11	J		Morgan Stanley
43. IRA - Sunoco Inc.	A	Dividend	K	T	Buy	06/28/11	K		Morgan Stanley
44. IRA - Hatteras Alpha Hdgd Fd	A	Dividend	K	T	Buy	05/25/11	J		Morgan Stanley
45.					Buy	12/29/11	J		Morgan Stanley
46. Bristol Myers Squibb	B	Dividend	K	T					
47. Oracle Corp.	A	Dividend	L	T					
48. Lincoln Nat'l. Corp.	A	Dividend	K	T					
49. Altria Group	B	Dividend	K	T					
50. Kraft Foods	A	Dividend	K	T					
51. Coca Cola Co.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. Merck	B	Dividend	K	T					
53. Coca Cola Bot. Consol.	A	Dividend	K	T					
54. Microsoft	D	Dividend	M	T					
55. Intel	A	Dividend	J	T					
56. Spectra Energy	A	Dividend	J	T					
57. Duke Energy Holding Co.	A	Dividend	K	T					
58. General Electric Co.	B	Dividend	K	T					
59. Phoenix Cos.	B	Dividend	K	T					
60. Philip Morris Int'l. Inc.	C	Dividend	L	T					
61. ML CMA demand deposit	A	Interest	L	T					
62. Rockingham NC CTSF Part N	B	Interest	K	T					
63. N Carolina Estn MPA Sys	A	Interest	J	T					
64. No. Carolina St PUB	B	Interest	L	T					
65. Univ. NC Chapel Hill	B	Interest	L	T					
66. Nash Hlth Cre Sys NCHCF	B	Interest	L	T					
67. SCANACorp.	B	Dividend	K	T					
68. AT&T Inc.	C	Dividend	L	T					

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69. Berkshire Hathaway CLB		None	K	T					
70. N. Car. MCC. Healthcare	B	Interest	L	T					
71. USB Capital VIII	B	Interest	K	T					
72. Johnson + Johnson	B	Dividend	K	T					
73. American Balanced Fund	C	Interest	M	T	Sold (part)	03/17/11	M	A	Merrill Lynch
74. American Fundamental	B	Interest	L	T					
75. First Eagle Overseas FD	B	Interest	L	T	Buy	03/17/11	L		Merrill Lynch
76. First Eagle Global FD	A	Interest	L	T	Buy	03/17/11	L		Merrill Lynch
77. JPMorgan Inc. Bldr. FD	B	Interest	K	T	Buy	03/17/11	K		Merrill Lynch
78. IRA - Cash/Money Mkt.	A	Interest	J	T					
79. IRA - American Capital Wld Fund	B	Interest	K	T					
80. IRA - American Cap Inc. Bldr.	C	Interest	L	T					
81. IRA - American Growth Fund	A	Interest	K	T					
82. 529 College Amer. Fund	B	Dividend	L	T					
83. (--) American AMCAP 529A	A	Dividend	K	T					
84. (--) American BDFD 529A	A	Dividend	J	T					
85. (--) American CAP WRLD G&I 529A	A	Dividend	J	T					

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86. (--) American EUROPACIFIC 529A	A	Dividend	K	T					
87. (--) American GR FD of Amer 529A	A	Dividend	K	T					
88. (--) Amer. SMALLCAP World 529A	A	Dividend	J	T					
89. (--) Amer. WA Mutual 529A	A	Dividend	J	T					
90. IRA - BB&T variable rate time investment	A	Dividend	K	T					
91. BB&T demand deposit	A	Interest	N	T					
92. Morgan Stanley - Liquid Assets Acct.	B	Interest	N	T					
93. Coca Cola Co.	D	Dividend	N	T					
94. Spectra Energy	B	Dividend	L	T					
95. Duke Energy Holding Co.	C	Dividend	L	T					
96. Exxon/Mobil Corp.	E	Dividend	O	T	Donated (part)				
97. General Electric Co.	D	Dividend	M	T					
98. International Paper Co.	B	Dividend	L	T					
99. Ruddick Corp.	B	Dividend	L	T					
100. Sonoco Products	C	Dividend	M	T					
101. Schlumberger, Ltd.	A	Dividend	L	T					
102. Merck Co.	D	Dividend	M	T					

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103. Triangle Properties Assoc., LLC	G	Distribution	P1	U					
104. J.M. Wallace Land Co., LLC	G	Distribution	P1	U					
105. East Mecklenburg Co.	G	Distribution	O	U					
106. The Mason Wallace Co., LLC	G	Distribution	P1	U					
107. Indian Land, SC Investment Real Estate		None	N	W					
108. Microsoft	D	Dividend	M	T					
109. McDonalds Corp.	C	Dividend	M	T					
110. Verizon Comm.	D	Dividend	M	T	Buy	05/27/11	M		Morgan Stanley
111. AT&T	D	Dividend	M	T					
112. Pfizer	C	Dividend	M	T					
113. Chevron Corp.	D	Dividend	M	T					
114. Medco Health Solutions, Inc.		None	K	T					
115. Conoco Phillips	D	Dividend	M	T					
116. Tradewinds Global Inv. Fund	E	Dividend	O	T					
117. MS Emerging Mkts. Debt.	E	Dividend	N	T					
118. Baxter Int'l.	C	Dividend	L	T					
119. Bristol Myers Squibb	C	Dividend	M	T					

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Dominion Res.	C	Dividend	L	T					
121. Lockheed Martin	C	Dividend	L	T					
122. Nuveen Hi YLD Muni Bond C	D	Interest	M	T					
123. Lowes Cos.	B	Dividend	M	T					
124. Pepsico	B	Dividend	L	T					
125. Hatteras Alpha Hgd. FD	A	Dividend	L	T	Buy	06/01/11	L		Morgan Stanley
126. MFS Muni High Inc. FD	D	Dividend	M	T	Buy	05/27/11	M		Morgan Stanley
127. INTER VIVOS TRUST Dtd 11-22-89		None	L	T					
128. John Hancock Life Insurance Policy:		None	M	T					
129. BB&T Demand Deposit Account	A	Interest	J	T					
130. Prudential Financial	A	Dividend	K	T					
131. (Y) NY Life Fixed Annuity	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

HODGES, GEORGE R.

Date of Report

10/12/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I. POSITIONS

Trustee Inter Vivos life insurance trust dated 11-22-89.

VII. INVESTMENTS and TRUSTS

Conflicts: I do not handle any disputes involving any company in which stock is owned (individually and as Trustee). Also, I do not handle any disputes involving closely held businesses or anything related thereto. Those matters are assigned to the other bankruptcy judges in the Western District of North Carolina. I no longer have a conflict on matters involving Bank of America, since my brother's retirement from it. The Committee on Codes of Conduct has determined that that is not a conflict for my hearing disputes involving BB&T on account of a brother-in-law's involvement on a "local board" of the bank.

Note: Income is received from several closely held corporations including East Mecklenburg Corp. ("S" corporation), The Mason Wallace Co., LLC, J.M. Wallace Land Co., LLC, and Triangle Properties Associates, LLC, and real property owned in common. This is in the nature of investment income and is reported in PART VII. The corporations buy, sell and own real estate, but the stockholders do not control any of those decisions or assets individually.

I own two cows that produce calves that I sell. The cows have a value of 1,000. During 2011 I sold two calves to George Lenze of Brevard, NC, for a total of \$1400.

Line 131, Part VII: This item was inadvertently omitted from original report.

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Name of Person Reporting

HODGES, GEORGE R.

Date of Report

10/12/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **GEORGE R. HODGES**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544