

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Spaulding, Karla R.	2. Court or Organization U.S. District Court, M.D. Fla.	3. Date of Report 05/05/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-time Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S. Courthouse 401 W. Central Boulevard Suite 5-500 Orlando, Florida 32801-0550		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2. Sedona Conference

November 5-6, 2014

New Orleans, Louisiana

Speaker at All Voices
Conference

Hotel, Airfare, Taxi

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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Spaulding, Karla R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Suntrust Bank Accounts	A	Interest	K	T					
2. TSK Investment Partnership, Ltd.	E	Distribution	J	W					
3. Pentagon Federal Credit Union (Bank Account)	A	Interest	J	T					
4. USAA Tax-Exempt Money Market USEXX	A	Dividend	K	T					
5. USAA Money Market -- USAXX	A	Interest	K	T					
6. USAA Federal Savings Bank -- Bank Account	A	Interest	L	T					
7. UMAFX USAA Managed Allocation	B	Dividend	L	T					
8. SGOIX First Eagle Overseas I	A	Dividend	K	T	Buy (add'l)	04/09/14	J		
9.					Buy (add'l)	06/26/14	J		
10. USGRX USAA Growth & Income	A	Dividend	L	T	Sold (part)	06/26/14	J	C	
11. COHGB6 Boulder Colo Wtr & Swr Rev-C Bond	A	Interest	K	T					
12. TX5LG7 Corpus Christi Tx Util Sy Rv Rf Bond	A	Interest	K	T					
13. NV47WL Clark County NV Sch Dist Rfdg Bond	A	Interest			Matured	06/16/14	K		
14. TX8TB4 Washington Cnty Tx Jr. College Dist Rev Comb Fee Bond	A	Interest	K	T					
15. TX31LP0 Pearland Tx Wtrwks & Swr Sys Rev Ref Bond	A	Interest	K	T					
16. WA1UY6 Pierce Cnty WA Sch Dist #403 Bethel Ref Bond	A	Interest	K	T					
17. TX3SR4 San Antonio TX Elec & Gas Rev Ref-Sys Bond	A	Interest	K	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. COPEE4 Eagle Garfield & Routt Cntys Co Sch Dist No Bond	A	Interest	K	T					
19. AZ5MMB Mesa Ariz Util Sys Rev Bond	B	Interest	K	T					
20. TX7D34 Univ Tex Univ Rev RF-06D Bond	A	Interest	K	T					
21. EFA iShares TR MSCI EAFE IDX	A	Dividend	J	T	Buy (add'l)	06/26/14	J		
22. VWO Vanguard Emerging Mkts Vipers	A	Dividend	J	T					
23. Houston Tx Ref-Pub Imp-Ser A Bond	A	Interest	K	T					
24. Dallas Cnty Tx Cmnty College Dist Rfdg Bond	A	Interest			Matured	02/18/14	K		
25. TFI SPDR Series Barclays Municipal Bond ETF	A	Dividend	J	T	Buy (add'l)	06/26/14	J		
26. MUB iShares National Amt-Free Muni Bond ETF	A	Dividend	J	T	Buy (add'l)	06/26/14	J		
27. LKSMX LKCM Small-Mid Cap Equity Institutional Fund	A	Dividend	K	T	Buy (add'l)	04/08/14	J		
28.					Buy (add'l)	04/09/14	K		
29.					Buy (add'l)	06/26/14	J		
30. USSTX USAA Tax Exempt Short Term	A	Dividend	J	T					
31. HNVIX Heartland Value Plus Instl	C	Dividend	K	T	Buy (add'l)	04/09/14	K		
32.					Buy (add'l)	05/14/14	J		
33.					Buy (add'l)	06/24/14	J		
34. HWSIX Hotchkiss & Wiley FDS S Cap Val CL I	B	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	04/09/14	J		
36. GLD SPDR Gold Trust		None			Sold	03/25/14	J		
37. UIEMX USAA Emerging Markets Fund Institutional Shares	A	Dividend	J	T	Buy (add'l)	01/23/14	J		
38.					Sold (part)	04/09/14	K	A	
39.					Sold (part)	05/14/14	J		
40.					Buy (add'l)	06/24/14	J		
41. UIGRX USAA Growth Fund Institutional Shares		None			Sold (part)	03/17/14	J	C	
42.					Sold	04/09/14	L	E	
43. UIINX USAA Income Fund Institutional Shares	C	Dividend	L	T	Buy (add'l)	03/17/14	J		
44.					Sold (part)	04/09/14	L	C	
45. UIISX USAA Income Stock Fund Institutional Shares	A	Dividend			Sold (part)	03/17/14	J	A	
46.					Sold	04/09/14	L	E	
47. UIITX USAA Intermediate-Term Bond Fund Institutional Shares	B	Dividend			Buy (add'l)	03/17/14	J		
48.					Sold	04/09/14	L	D	
49. UIIFX USAA International Fund Institutional Shares		None			Sold (part)	03/17/14	J	A	
50.					Sold	04/09/14	L	E	
51. UIPMX USAA Precious Metals & Minerals Fund Inst'l Shares	A	Dividend	J	T	Sold (part)	03/17/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Sold (part)	03/25/14	J		
53.					Sold (part)	07/17/14	J		
54. UISBX USAA Short-Term Bond Fund Institutional Shares	B	Dividend	M	T	Buy (add'l)	03/17/14	J		
55.					Sold (part)	04/09/14	J	A	
56. UISCX USAA Small Cap Stock Fund Institutional Shares		None			Sold (part)	03/17/14	J	A	
57.					Sold	04/09/14	K	D	
58. UIRRX USAA Real Return Institutional Shares	A	Dividend	J	T					
59. UIHIX USAA High Income Fund Institutional Shares	B	Dividend	K	T	Sold (part)	03/17/14	J		
60. ABEYX American Beacon Int'l Equity-Y	B	Dividend	K	T	Buy (add'l)	04/09/14	K		
61.					Buy (add'l)	06/26/14	J		
62. HLMIX Harding Loevner Intl Equity Portfolio Fund Class Inst	A	Dividend	K	T	Buy (add'l)	04/09/14	K		
63.					Buy (add'l)	06/26/14	J		
64. LISIX Lazard Intl Strategic Equity Portfolio Fund Class Inst	B	Dividend	K	T	Buy (add'l)	04/09/14	K		
65.					Buy (add'l)	06/26/14	J		
66. SEMNXSchroeder Emerging Market Equity Inv	A	Dividend	J	T	Buy (add'l)	04/09/14	J		
67. IWD iShares Russell 1000 Value ETF	A	Dividend	J	T	Sold (part)	04/28/14	J	A	
68.					Buy (add'l)	12/16/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Buy (add'l)	12/23/14	J		
70. IWN iShares Russell 2000 Value ETF	A	Dividend	J	T	Buy (add'l)	11/18/14	J		
71. DGS Wisdomtree Trust Emg Mkts Sm Cap	A	Dividend	J	T	Sold (part)	12/16/14	J		
72.					Sold (part)	12/23/14	J		
73. AustinTx Cmnty Clg Dist Pub Fac Corp 5% 8/1/27 Lease (Appro	B	Dividend	K	T					
74. Omaha NE Public Pwr Dist Ref Ser A 5% 2/1/25 Multi Oblgs	B	Dividend	K	T					
75. Pennsylvania St 1st Ser 5% 6/1/24	A	Dividend	K	T					
76. VGK Vanguard FTSE Europe EFT	A	Dividend	J	T	Buy	04/28/14	J		
77. Washington St. Fuel Tax Go Ref Bds Ser	A	Dividend	K	T	Buy	02/27/14	K		
78. Connecticut St. Go Bds Ser 2014A		None	K	T	Buy	06/26/14	K		
79. PCLIX PIMSO Commodities Plus Strategy Instl		None	J	T	Buy	07/17/14	J		
80. EMBIX Lazard Emerging Mrkts Eq Blend Instl	A	Dividend	K	T	Buy	04/09/14	K		
81. DDIVX Delaware Value Fund Institutional		None	L	T	Buy	04/09/14	L		
82. MFEIX MFS Growth Port Instl Class	B	Dividend	L	T	Buy	04/09/14	L		
83. BCOIX Baird Core Plus Bond Institutional	A	Dividend	L	T	Buy	10/27/14	L		
84. TGEIX TCW Emerging Mkts Income CL 1	A	Dividend	J	T	Buy	04/09/14	J		
85. IIBWX Voya Intermediate Bond Class W	B	Dividend	L	T	Buy	04/09/14	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86. PPTRX PIMCO Total Return Inst	B	Dividend			Buy	04/09/14	L		
87.					Sold	10/23/14	L	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Karla R. Spaulding

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544