

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CAUTHRON, ROBIN J	2. Court or Organization WESTERN DISTRICT OF OKLAHOMA	3. Date of Report 4/15/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. DISTRICT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address 3301 U.S. COURTHOUSE 200 N.W. 4TH STREET OKLAHOMA CITY, OK 73102	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board of Directors	Oklahoma Special Olympics
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

CAUTHRON, ROBIN J

Date of Report

4/15/2008

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2007	Self-employed lawyer
2.	
3.	
4.	

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Bar Ass'n & U.S. Sentencing Commission	May 23-25, 2007	Salt Lake City, Utah	Panelist	16th Annual National Seminar on the Federal Sentencing Guidelines
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT
Page 3 of 7

Name of Person Reporting	Date of Report
CAUTHRON, ROBIN J	4/15/2008

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting
CAUTHRON, ROBIN J

Date of Report
4/15/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Allegiance Credit Union Oklahoma City, OK	A	Interest	J	T					See VIII, Explanations
2. Smith Barney, Inc. - Appreciation Fund	A	Dividend	J	T					
3. John Powell, Ltd. Partnership Tulsa County, OK real est.		None	K	R					See VIII, Explanations
4. Winston Oil Co. 1981-1982 Ltd. Partnership, Marion, OH		None	J	W					
5. Dage Oil Co., Ltd. Partnership Oklahoma City, OK		None	J	W					
6. Mineral interest in Woods County, OK	A	Royalty	J	W					
7. Persuasive Strategies, LLC	A	Interest	K	T					
8. BROKERAGE ACCOUNT #1									
9. -American Balanced Fund C (mutual fund)	A	Dividend	J	T					
10. -Capital Income Builder Fund (mutual fund)	A	Dividend	J	T					
11. -Capital World Growth & Income Fund C (mutual fund)	A	Dividend	J	T					
12. -Income Fund of America C (mutual fund)	A	Dividend	J	T					
13. New York Life Ins. (whole life)	A	Interest	J	T					
14. IRA ACCOUNT #1									
15. -Growth Fund of America (mutual fund)	A	Dividend	J	T					
16. -Investment Co. of America (mutual fund)	A	Dividend	K	T					
17. -McData Corp. (common stock)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

CAUTHRON, ROBIN J

Date of Report

4/15/2008

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18. -OG&E	A	Dividend	J	T					
19. -Langston University bonds	A	Interest	J	T					
20. -Oklahoma City Municipal Bonds	A	Interest	J	T	part. redemp	11/1	J		
21. -Merck (common stock)	A	Dividend	J	T					
22. -Pfizer (common stock)	A	Dividend	J	T					
23. -Devon Energy (common stock	A	Dividend	J	T					
24. Stock Exchange Bank, Woodward, OK	C	Interest	M	T					
25. Union Bank, Ok City Acct (y)									
26. BROKERAGE ACCT #2									
27. -Berkshire Hathaway A	A	Dividend	L	T					
28. -Langston Universiy bonds	D	Interest	L	T					
29. -Bank of America Corp.	A	Dividend			sell	11/06	J	A	
30. -Oklahoma City Municipal Bonds	A	Interest	J	T	part. redemp	11/1	J		
31. -St. Louis, Mo. Land Clearance Municipal Bond	A	Interest	J	T					
32. -General Electric Co.	A	Dividend	J	T	buy	10/20	J		
33. -Sandridge Energy Co.	A	Dividend	K	T	buy	11/15	K		
34.									

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FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

CAUTHRON, ROBIN J

Date of Report

4/15/2008

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

PART VII. Investments and Trusts

Line 1 - Federal Employees Credit Union changed its name to Allegiance Credit Union

Line 3 - John Powell Limited Partnership was purchased in 1973 for \$26,000.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

CAUTHRON, ROBIN J

Date of Report

4/15/2008

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

A large black rectangular redaction box covering the signature area.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544