

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Cook, Deborah L.	2. Court or Organization U.S. Court of Appeals, 6th Cir	3. Date of Report 05/07/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 433 U.S. Courthouse 2 South Main Street Akron, Ohio 44308		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Collegescholars, Inc
2.	Trustee	Trust #1
3.	Board Member	Conservancy for Cuyahoga Valley National Park
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Cook, Deborah L.

Date of Report

05/07/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	State of Ohio Retirement Benefits	\$32,763.79
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Cook, Deborah L.

Date of Report

05/07/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Cook, Deborah L.

Date of Report

05/07/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. National City Cash Account	A	Interest	K	T					
2. Trust #1 (H)									
3. - Morgan Stanley Cash Account	A	Interest	K	T					
4. - American Muni Pwr Inc OH Comb Hydroelectric Proj Ref Muni Bond	B	Interest	L	T					
5. - Univ Akr Be Muni Bond	C	Interest			Redeemed	01/02/18	L		
6. - Ohio St Hosp Fac Rev Muni Bond HU9	B	Interest	L	T					
7. - Ohio St Turnpike & Infrastructure Ure Comm Junior Muni Bond	C	Interest	L	T					
8. - Ohio St Hosp Rev Cleveland Clinic Hlth Muni Bond 4B5	B	Interest	L	T					
9. - Ohio St Hosp Rev Cleveland Clinic Hlth Muni Bond 4D1	C	Interest	M	T					
10. - Ohio St Hosp Rev Cleveland Clinic via Oh St Educ Muni Bond	B	Interest	L	T					
11. - Cincinnati Ohio Impt Genl Oblig Ser A Muni Bond	C	Interest	M	T					
12. -American Mun Pwr Inc OH Hydroelectric Muni Bond MH4	B	Interest	L	T					
13. - Cleveland Heights & Univ Heights Sch Dist Muni Bond GQ0	B	Interest	L	T					
14. -General Motors Fin Co Inc Bond CA2	C	Interest	K	T					
15. -Federated Govt Oblig Money Market Fund	A	Int./Div.	M	T	Buy	11/15/18	M		
16. -Upper Arlington Ohio City Sch Dist Bond	B	Interest	L	T	Buy	02/02/18	L		
17. -Keycorp 5.65 Ser F Pfd	B	Dividend	K	T	Buy	07/23/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Cook, Deborah L.

Date of Report

05/07/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. IRA 1 (H)									
19. - Morgan Stanley Cash Accounts	A	Interest	K	T					
20. - Apple Inc Common	B	Dividend			Sold	10/29/18	M	E	
21. - Alphabet Inc Cl C common 3/26 For Est		None			Sold	10/10/18	M	C	
22. - Ally Financial Note AW0 Corp Bond	C	Interest	L	T					
23. - Ally Financial Note AV2 Corp Bond	D	Interest	L	T					
24. - Verisign Inc Corp Bond	C	Interest	L	T					
25. - Amazon Com Inc		None			Buy (add'l)	02/02/18	M		
26.					Sold	10/05/18	N	G	
27. -Verizon Communications Common	C	Dividend	M	T					
28. -American Airlines Group Regs Corp Bond AB8	C	Interest	L	T					
29. -Vodafone Group PLC Common	C	Dividend	K	T					
30. -Goldman Sachs Cap V Bond	A	Interest	J	T					
31. -Keycorp 5% Fixed to Float Bond	C	Interest	L	T					
32. -Third PT Offshore Fund LTD Hedge Fund		None	N	T					
33. -Bank of America Corp PFD Stock	D	Dividend	M	T					
34. -Facebook Cl A Common		None			Sold	07/03/18	M	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Cook, Deborah L.

Date of Report

05/07/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Buy	07/26/18	L		
36.					Sold	10/08/18	L	A	
37. -FirstTrust Sr Float Rt 2022 Target Term Fund	D	Dividend	L	T					
38. - Citigroup Inc New Common	B	Dividend	L	T					
39. -Intl Business Machine Corp Common	D	Dividend	M	T					
40. -Uniti Group Inc Common	C	Dividend	K	T					
41. -Morgan Stanley 5.85%-K Pfd	D	Dividend	L	T					
42. -Nustar Energy LP 7.625%-B Pfd	C	Dividend	K	T					
43. -iShares MSCI Euro Financial	B	Dividend	K	T					
44. -The Financial Sel SPDR FD	A	Dividend	K	T					
45. -Illinois St Genl Oblig Bond	D	Interest	M	T					
46. -Hanesbrands Inc Bond	C	Interest	L	T					
47. -Wachovia Capital Trust II Bond	B	Interest	L	T					
48. -Viacom Inc 6.25% Fxd Flts Bond	D	Interest	L	T					
49. -Energy Transfer Partners LP	C	Distribution	K	T					
50. -Goodyear Tire & Rubber Bond 5.00%	B	Interest	K	T					
51. -Crown Castle Intl Corp Ser A 6.875% Pfd	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Cook, Deborah L.

Date of Report

05/07/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. -Putnam Diversified Inc Tr C	C	Dividend	M	T					
53. -Lord Abbett Flt Rt C	C	Dividend	M	T					
54. -American Airlines Group Regs Corp Bond AAO	C	Interest	M	T	Buy	09/26/18	M		
55. -Federated Govt Oblig Money Market Fund	D	Int./Div.	P1	T	Buy	08/17/18	N		
56.					Sold (part)	09/26/18	L		
57.					Buy (add'l)	11/15/18	O		
58. -Walmart Inc	B	Dividend			Buy	04/02/18	L		
59.					Sold	08/17/18	L	D	
60. Installment note holding industrial as collateral		None	M	T					
61. CollegeScholars as trustee (H)									
62. -Morgan Stanley Cash Account		None			Closed	12/31/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Cook, Deborah L.

Date of Report

05/07/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I - Collegescholars, Inc is a charitable trust. The trust assets are held to fund college tuition for students in a mentored scholarship program. The entity was closed in 2018, it had served it's purpose and the funds had been fully disbursed for charitable purposes.

Part VII - Line 47 - In 2007 an industrial building in Akron Ohio was sold via an installment sale. Interest and principal payments are received on this asset and the note is secured by the building. The debtor is Aster Property Management, LLC. No payments were recieved in 2018.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Cook, Deborah L.

Date of Report

05/07/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Deborah L. Cook

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544