

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Facciola, John M.	2. Court or Organization District of Columbia	3. Date of Report 06/13/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address E. Barrett Prettyman Courthouse 333 Constitution Avenue N.W. Washington DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Facciola, John M.

Date of Report

06/13/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 9/16/2011	Georgetown University Approved Teaching at Foundations of American Law Program for foreign students	\$600.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 12/31/2011	Fairfax County School System Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Howard & Howard Attorneys PLC	n/a (trip cancelled)	n/a	case related travel that was ultimately cancelled	(reimbursement for airfare for a ticket that wasn't used)
2.	Deloitte	April 2011	Washington, DC	speaking	(parking)
3.	Federal Bar Association	January 19-20, 2011	Dallas, TX	Speaking	(meals, transportation, lodging)
4.	Academy of Court-Appointed Masters	January 28-30, 2011	New Orleans, LA	Conference	(meals, transportation, lodging)
5.	Richard K. Herrmann Technology Inn of Court	January 2011	Wilmington, DE	Inn of Court meeting	(meals, transportation, lodging)
6.	American Bar Association	February 14-20, 2011	San Francisco, CA	Meeting	(meals, transportation, lodging)

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Facciola, John M.

Date of Report

06/13/2012

7.	New York Intellectual Property Law Association	March 23-24, 2011	New York, NY	Dinner	(meals, transportation, lodging)
8.	IQPC	May 7-11, 2011	London, England (UK)	Conference	(meals, transportation, lodging)
9.	The Sedona Conference	April 27-29, 2011	Fort Lauderdale, FL	Conference	(meals, transportation, lodging)
10.	Federal Circuit Bar Association	June 23-24, 2011	Miami, FL	Annual Conference	(meals, transportation, lodging)
11.	The Sedona Conference	October 6-7, 2011	Austin, TX	Working Group Annual Meeting	(meals, transportation, lodging)
12.	Pennsylvania Association of Criminal Defense Lawyers	November 18-19, 2011	Philadelphia, PA	White Collar Practice	(transportation)

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Facciola, John M.

Date of Report

06/13/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Visa	Credit Card	J
2.	Master Card	Credit Card	J
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Facciola, John M.

Date of Report

06/13/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Savings and Money Market Accounts BB&T	C	Interest	L	T					
2. Paine Webber Cash Fund	A	Interest	J	T					
3. Federal Justice Credit Union	A	Interest	J	T					
4. Fifth Third Capital Trust Preferred	A	Dividend	J	T					
5. Wachovia Capital Trust Preferred	B	Dividend			Sold	06/02/11	K	A	
6. ING Groep NV Perpetual Hybrid Cap PFD stock	B	Dividend	K	T					
7. Lehman Bros Holding Inc Preferred Stock		None	J	T					
8. Lehman Bros Holdings Ind (II) Bond		None	J	T					
9. Prudential Financial Ind PFD Junior	B	Dividend			Sold	10/26/11	K	B	
10. Pacific Frontiers Life Insurance Fund		None	K	T					
11. Invesco Mortgage Capital Inc	A	Dividend	J	T					
12. United Development Fundng IV	A	Dividend	J	T					
13. American Capital Agency Corp	B	Dividend	K	T	Sold (part)	5/6/11	J	A	
14. Legent Insured Deposit Account	A	Interest	J	T					
15. Penn Mutual Flexible Premium Variable Life Insurance	D	Interest	J	T					
16. HSBC Bank USA NA US Indy Titans -CD	A	Interest	J	T	Buy	01/26/11	J		
17. Smart Trust Diversified Dividend	A	Interest	J	T	Buy	05/17/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Facciola, John M.

Date of Report

06/13/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. BP Prudhoe Bay Royalty Trust	A	Interest	K	T	Buy	06/02/11	K		
19. Van Kampen Unit TR 1106	A	Dividend	J	T	Buy	7/27/11	J		
20. Lockheed Martin	A	Dividend			Buy	11/02/11	J		
21. Smart Trust Enhanced Value 11	A	Interest	J	T	Buy	11/17/11	J		
22. Telecom Italia Capital	A	Interest	K	T	Buy	12/27/11	K		
23. International Lease Finance	A	Interest	K	T	Buy	12/14/11	K		
24. Legend Insured Deposit Account [REDACTED]	A	Interest	J	T	Open	12/14/11	J		
25. Lockheed Martin Corp.	A	Dividend			Sold	12/27/11	J		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Facciola, John M.

Date of Report

06/13/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Facciola, John M.

Date of Report

06/13/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John M. Facciola**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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