

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Messitte, Peter J.	2. Court or Organization U.S. District Court	3. Date of Report 06/20/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. Courthouse 6500 Cherrywood Lane Greenbelt, MD 20770		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Adjunct Professor of Law	American University Washington College of Law
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 01/01/04	Pension Plan - State of Maryland Judiciary
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	State of Maryland Judiciary - Pension Payments	\$53,623.00
2. 2014	American University Washington College of Law - Teaching	\$12,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Perez, Bustamante & Ponce Law Firm, University of San Francisco Law School & Others	3/21/16-3/23/16	Quito, Ecuador	Educational Seminar	Transportation, Meals, Hotel
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America	A	Interest	K	T					
2. Capital One Bank, Chevy Chase, MD	A	Interest	N	T					
3. Sun Trust Bank, Chevy Chase, MD	A	Interest	L	T					
4. PJM/IRA (Wells Fargo) (same as #5 to #33)	D	Dividend	N	T	Redeemed (part)	12/31/16	J		
5. American Funds: Euro Pacific Growth Fund C1 A	A	Dividend	J	T					
6. Fed Eq Fds. Kaufman Large Cap Fd	A	Dividend	J	T	Sold (part)	07/06/16	J	A	See Part VIII
7. Royce Penn Mutual Fund	A	Dividend	J	T	Sold	11/15/16	J	A	
8. Blackrock US Opp. Portfolio Fd	A	Dividend	K	T	Sold (part)	11/15/16	J	A	
9. Blackrock Global Alloc. Fd	A	Dividend	J	T	Sold	07/06/16	K	A	
10. American Funds Growth Fd of Am Clf2	A	Dividend	K	T	Sold (part)	06/22/15	K	A	See Part VIII
11. Henderson Int Opp Fd C1 W	A	Dividend	J	T					
12. Lord Abbett Dev Growth Fd C1 F	A	Dividend	K	T					
13. MFS Value Fd C1 A	A	Dividend	K	T	Sold (part)	11/15/16	J	A	
14. Oppenheimer Dev Mkts Fd C1 Y FDS	A	Dividend	J	T					
15. Thornburg Inv Inc Bldr Fd C1 I	A	Dividend	K	T	Sold (part)	07/06/16	K	A	
16. Thornburg Inv. Inc. Bldr Fd C1 I	A	Dividend	K	T	Sold (part)	11/15/16	K	A	
17. John Hancock Disc Val MDCP	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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18. Loomis Sayles Strategic Inc Y	A	Dividend	J	T	Sold	07/06/16	K	A	
19. Unit AAM Corporate Navellier Dial High Income 58F	A	Interest	J	T	Sold	07/07/16	J	A	
20. First Trust Sabrient Small Cap. Growth	A	Dividend	J	T	Buy	05/05/16	J		
21. First Trust Sabrient Small Cap Growth	A	Dividend	J	T	Redeemed (part)	05/09/16	J	A	
22. John Hancock Fds. Int. Growth	A	Dividend	J	T					
23. First Trust Dorsey Wright Rel.	A	Dividend	K	T	Buy	10/21/16	K		
24. First Trust Dorsey Wright Rel.	A	Dividend	K	T	Redeemed (part)	10/25/16	K	A	
25. Baron Inv. Fds. Tr. Growth Fd.	A	Dividend	J	T	Buy	07/06/16	J		
26. Baron Inv. Fds. Tr. Growth Fd.	A	Dividend	J	T	Sold (part)	11/30/16	J	A	
27. Mainstay Fds. Tax-Free Bd Fd C1	A	Dividend	J	T	Buy	07/06/16	J		
28. Putnam Asset Alloc. Fd Dynamic Asset	A	Dividend	J	T	Buy	07/06/16	J		
29. Ridgeworth Fds. Int Equity Fd	A	Dividend	J	T	Buy	07/06/16	J		
30. Ridgeworth Fds. Ceredex Mid-Cap Value	A	Dividend	K	T					See Part VIII
31. Touchstone Strategic Tr Focused Fd Y	A	Dividend	J	T	Buy	07/06/16	J		
32. Stadion Inv. Tr. Tactical Growth Fd.	A	Dividend	J	T	Buy	07/06/16	J		
33. Neuberger Berman Equity Inc. Fd.	A	Dividend	J	T	Buy	07/06/16	J		
34. SM/IRA (Wells Fargo) (same as #35 to #40)	A	Dividend	K	T	Redeemed (part)	12/31/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Blackrock US Opp Portfolio Fd	A	Dividend	J	T	Sold (part)	11/15/16	J	A	
36. Fd Eq Fds. Kaufmann Lge Cap Fd Inst C1	A	Dividend	K	T	Sold (part)	11/15/16	J	A	
37. Oppenheimer Dev. Mkts C1 Y FDS	A	Dividend	J	T					
38. First Trust Sabrient Bakers Dozen	A	Dividend	J	T	Buy	09/14/16	J	A	
39. First Trust Sabrient Bakers Dozen	A	Dividend	J	T	Redeemed (part)	09/16/16	J	A	
40. Putnam Asset Alloc Fd Dynamic Ass.	A	Dividend	J	T	Buy	07/16/16	J	A	
41. SM/PJM (Wells Fargo) (same as #42 to #73)	E	Dividend	N	T					
42. Royce Penn. Mutual Fund	A	Dividend	J	T	Sold	07/06/16	J	A	
43. Henderson Global Income Fund	A	Dividend	J	T					
44. American Income Co. Fd. of America, F2	A	Dividend	K	T	Sold (part)	07/06/16	J	A	
45. Blackrock Global Alloc. Fd, Inc.	A	Dividend	K	T	Sold	07/06/16	K	A	
46. Blackrock US Opp Ins	A	Dividend	J	T					
47. Delaware Diversified, Income Fd C 1A	A	Dividend	K	T	Sold	12/22/16	K	A	
48. American Europacific Growth Fd F2	A	Dividend	J	T					
49. American Funds Growth Fd. of Amer Class F2	A	Dividend	K	T					
50. American Fds. Inv. Co. of Amer C1 F2	A	Dividend	K	T					
51. Lord Abbett Dev Growth Fd C1 F	A	Dividend	J	T					

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52. MFS Value I (ME11X)	A	Dividend	K	T	Sold (part)	07/06/16	J	A	
53. Invesco Eq & Inc Fd C1 Y	A	Dividend	K	T					
54. John Hancock Disc Val Mid Cap Fd	A	Dividend	J	T					
55. Loomis Sayles Strat Inc. Y	A	Dividend	K	T	Sold	07/06/16	K	C	
56. Unit AAM Corporate Dial High Inc 58	A	Interest	J	T					
57. First Tr. Dorsey Wright Rel.	A	Interest	K	T	Buy	10/21/16	K		
58. Fd Eq. Fds Kaufmann Lge Cap Fd. C1 1	A	Dividend	J	T					
59. First Trust Sabrient Small Cap Growth	A	Dividend	J	T	Buy	05/05/16	J		
60. First Trust Sabrient Small Cap. Growth	A	Dividend	J	T	Redeemed	05/09/16	J		
61. John Hancock Fds. Int. Growth	A	Dividend	K	T	Sold	12/22/16	J	A	
62. Baron Inv. Fds. Tr. Growth Fd.	A	Dividend	J	T	Buy	07/06/16	J		
63. Baron Inv. Fds. Tr. Growth Fd.	A	Dividend	J	T	Sold (part)	11/30/16	J	A	
64. Mainstay Fds. Tax-Free Bd Fd C1 1	A	Dividend	J	T	Buy	07/06/16	J		
65. Putnam Asset Alloc Fd. Dynamic Asset	A	Dividend	J	T	Buy	07/06/16	J		
66. Ridgeworth Fds. Intl. Eq. Fd C1 1	A	Dividend	J	T	Buy	07/06/16	J		
67. Ridgeworth Fds. Ceredex Mid-Cap Value	A	Dividend	J	T	Buy	06/03/15	J		See Part VIII
68. Touchstone Strategic Tr. Focused Fd Y	A	Dividend	J	T	Buy	07/06/16	J		

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69. Stadion Inv. Tr. Tactical Growth Fd.	A	Dividend	J	T	Buy	07/06/16	J		
70. Neuberger Berman Eq. Inc. Fds.	A	Dividend	J	T	Buy	07/06/16	J		
71. Guggenheim Under-Valued Top Picks	A	Dividend	K	T	Buy	11/23/16	J		
72. First Tr Emerging Port Mkts - Strength	A	Dividend	J	T	Buy	12/22/16	J		
73. First Tr Euro Deep Value Div	A	Dividend	J	T	Buy	12/22/16	J		
74. Rental Property	A	Rent	M	W					
75. Maryland College Investment Plan #1 Portfolio 2021 (no control)	A	Interest	K	U					
76. Maryland College Investment Plan #2 Portfolio 2021 (no control)	A	Interest	J	U					
77. Maryland College Investment Plan #3 Portfolio 2021 (no control)	A	Interest	J	U					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Elaboration of Part VII

#6 - The correct name of this asset is "Federated Eq. Fds. Kaufman Large Cap Fd."

#10 & #49 - The correct name of this asset is "American Funds Growth Fund of America C1 F2."

#30 & #67 - The correct name of this asset is "Ridgeworth Fds. Ceredex Mid-Cap Value."

#50 - The correct name of this asset is "American Fds. Inv. Co. of America C1 F2."

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Peter J. Messitte**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544