

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) PHILLIPS, KEITH L.	2. Court or Organization RICHMOND DIVISION - USBANKRUPTCY COURT - EASTERN DIVISION VA	3. Date of Report 12/13/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 701 EAST BROAD STREET, 5TH FLOOR RICHMOND, VA 23219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. LLC MEMBER	BLVD LLC
2. GENERAL PARTNER	EKP ASSOCIATES, L.C.
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2013	ORAL AGREEMENT WITH FORMER LAW FIRM TO RECEIVE COMPENSATION FOR LEGAL SERVICES RENDERED PRIOR TO BECOMING A JUDGE. NO CONTROL IN FORMER LAW FIRM.
2. 2013	ORAL AGREEMENT WITH SUCCESSOR CHAPTER 7 TRUSTEES TO RECEIVE COMMISSIONS EARNED FOR SERVICES PERFORMED PRIOR TO BECOMING A JUDGE. NO CONTROL OVER TRUSTEE CASES.
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	TRUSTEE INCOME (GROSS)	\$18,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	JPMORGAN CHASE BANK	MORTGAGE - RENTAL PROPERTY #1/RICHMOND, VA (PT VII, LINE 1)	K
2.	CITIMORTGAGE	MORTGAGE - EKP ASSOCIATES - RENTAL PROPERTY #1/RICHMOND, VA (PT VII, LINE 2)	K
3.	CITIMORTGAGE	MORTGAGE - EKP ASSOCIATES - RENTAL PROPERTY #2/RICHMOND, VA (PT VII, LINE 2)	K
4.	CITIMORTGAGE	MORTGAGE - EKP ASSOCIATES - RENTAL PROPERTY #3/RICHMOND, VA (PT VII, LINE 2)	K
5.	NATIONSTAR MORTGAGE	MORTGAGE - EKP ASSOCIATES - RENTAL PROPERTY #4/RICHMOND, VA (PT VII, LINE 2)	K

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. RENTAL PROPERTY #1/RICHMOND, VA 2002 - \$36,750 (50%)	D	Rent	L	R					
2. EKP ASSOCIATES LC - RENTAL PROPERTIES/RICHMOND, VA (50%)	E	Rent	N	U					
3. BLVD, LLC (50% OWNERSHIP)	B	Distribution	M	U					
4. WELLS FARGO - CHECKING ACCOUNT	A	Int./Div.	J	T					
5. BB&T #1 - CHECKING ACCOUNT		None	J	T					
6. BB&T #2 - CHECKING ACCOUNT		None	J	T					
7. FIDELITY INVESTMENTS - CASH ACCOUNT	A	Interest	K	T					
8. (H) FIDELITY INVESTMENTS									
9. STATE BK INDIA CHICAGO ILL 2.00% 08/12/16	A	Interest			Redeemed	08/12/16	J	A	
10. GOLDMAN SACHS BK USA NY 2.00% 10/12/16	A	Interest			Redeemed	10/12/16	J	A	
11. SALLIE MAE BK SLT LAKE CITY UT 1.05% 10/31/16	A	Interest			Redeemed	10/31/16	J	A	
12. SYNCHRONY BANK RET 1.05% 11/22/16 FORMERLLY GE CAP RETAIL BK DRAPER UT	A	Interest			Redeemed	11/22/16	J	A	
13. SYNCHRONY BANK RET 5.10% 12/18/18 FORMERLLY GE CAP RETAIL BK DRAPER UT	A	Interest	K	T					
14. STATE BK INDIA NEW YORK NY 1.50% 10/17/17	A	Interest	J	T					
15. SYNCHRONY BANK RET 2.30% 03/30/20 FORMERLLY GE CAP RETAIL BK DRAPER UT	A	Interest	J	T					
16. AMERICAN EXP FED SVGS BK. INSTL 2.20% 10/23/19	A	Interest	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less K = \$15,001 - \$50,000 N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17. GE CAP BK RETAIL CD 2.85% 09/16/21	A	Interest	J	T					
18. BANK INTERNET USA SAN DIEGO CA 3.550% 03/31/20	A	Interest	J	T					
19. GE CAP BK INC CD 2.90% 11/16/21	A	Interest	J	T					
20. GE CAP BK INC RETAIL CD 1.50% 10/17/17	A	Interest	J	T					
21. SYNCHRONY BANK RET 2.90% 11/23/21 FORMERLLY GE CAP RETAIL BK DRAPER UT	A	Interest	J	T					
22. GE CAP BK INC RETAIL CD 3.80% 12/11/19	A	Interest	J	T					
23. GOLDMAN SACHS BK USA NY 5.00% 12/12/17	A	Interest	J	T					
24. CATHAY BK LOS ANGEL CALIF .20% 05/15/15	A	Interest	J	T					
25. BANK INDIA NEW YORK .55% 02/17/16	A	Interest			Redeemed	02/17/16	J	A	
26. MERCHANTS BK IND LYNN .35% 12/11/15	A	Interest	J	T					
27. GE CAP BK INC CD 1.35% 09/14/16	A	Interest			Redeemed	09/14/16	J	A	
28. BEAL BK USA LAS VEGAS NEV CD .45% 04/27/16	A	Interest			Redeemed	04/27/16	J	A	
29. FIRST PRIORITY BK MALVERN PA CD .40% 02/29/16	A	Interest			Redeemed	02/29/16	J	A	
30. BEAL BK USA LAS VEGAS NEV .50%	A	Interest			Buy	01/21/16	J		
31. BEAL BK USA LAS VEGAS NEV .50% 06/08/2016	A	Interest			Redeemed	06/08/16	J	A	
32. GOLDMAN SACHS BK USA NY 1.35% 10/31/2016	A	Interest			Buy	01/21/16	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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33. GOLDMAN SACHS BK USA NY 1.35% 10/31/2016	A	Interest			Redeemed	10/31/16	J	A	
34. GOLDMAN SACHS BK USA NY 2.50% 12/07/2018	A	Interest	J	T	Buy	02/24/16	J		
35. STATE BK INDIA NY 2.10% 12/05/2018	A	Interest	J	T	Buy	02/24/16	J		
36. GOLDMAN SACHS BK USA NY 2.15% 10/07/2020	A	Interest	J	T	Buy	04/05/16	J		
37. GOLDMAN SACHS BAK USA NY CD 2.35% 11/28/22	A	Interest	J	T	Buy	05/25/16	J		
38. GOLDMAN SACHS BK USA NY CD 2.60% 11/25/2022	A	Interest	J	T	Buy	08/23/16	J		
39. SYNCHRONY BANK RETAIL CD 2.90% 12/02/2021	A	Interest	J	T	Buy	09/29/16	J		
40. DISCOVER BK GREENWOOD DEL 2.65% 11/22/2020	A	Interest	J	T	Buy	12/09/16	J		
41. SYNCHRONY BANK RETAIL CD 2.90% 12/02/2021	A	Interest	J	T	Buy	12/09/16	J		
42. SYNCHRONY BANK RETAIL CD 2.10% 08/29/2019	A	Interest	J	T	Buy	12/09/16	J		
43. FIDELITY INVESTMENTS CASH ACCOUNT	A	Interest	K	T					
44. (H) JANNEY MONTGOMERY SCOTT LLC #1									
45. CLEARBRIDGE VALUE	A	Dividend	K	T					
46. ROYCE PA MUTL CONSULT - STOCK	A	Dividend	J	T					
47. JANNEY INSURED SWP	A	Int./Div.	J	T					
48. VA ST RES AUTH - TAX EXEMPT OID	B	Int./Div.	K	T					
49. (H) JANNEY MONTGOMERY SCOTT LLC #2									

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50. FIDELITY MSCI TELECOMMUNICATIONS	A	Dividend			Buy	02/12/16	J		
51. FIDELITY MSCI TELECOMMUNICATIONS	A	Dividend			Sold	08/16/16	J	A	
52. ISHS NASDAQ BIOTECH		None			Buy	07/07/16	J		
53. ISHS NASDAQ BIOTECH		None			Sold	08/16/16	J	A	
54. ISHS CORE S&P MDCP	A	Dividend			Sold	08/16/16	J	A	
55. ISHARES RUSSELL 2000 ETF	A	Dividend			Buy (add'l)	01/06/16	J		
56. ISHARES RUSSELL 2000 ETF	A	Dividend			Sold	08/16/16	J	A	
57. MKT VECT INTRM MUN	A	Dividend			Buy (add'l)	01/06/16	J		
58. MKT VECT INTRM MUN	A	Dividend			Sold	04/08/16	J	A	
59. MARKET VECTORS RETAIL	A	Dividend			Buy (add'l)	02/12/16	J		
60. MARKET VECTORS RETAIL	A	Dividend			Sold	04/08/16	J	A	
61. PWRSH VRDO TAX FR WK	A	Dividend			Buy (add'l)	01/06/16	J		
62. PWRSH VRDO TAX FR WK	A	Dividend			Sold	08/16/16	J	A	
63. SECTOR FINANCIAL SELECT	A	Dividend			Sold	02/12/16	J	A	
64. SECTOR TECHNOLOGY	A	Dividend			Sold	02/12/16	J	A	
65. SELECT UTILITIES SELECT SECTOR SPDR	A	Dividend			Buy	02/12/16	J		
66. SELECT UTILITIES SELECT SECTOR SPDR	A	Dividend			Sold	08/16/16	J	A	

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67. SPDR BARCLAYS CAPITAL	A	Dividend			Buy (add'l)	01/06/16	J		
68. SPDR BARCLAYS CAPITAL	A	Dividend			Closed	03/15/16	J		
69. SPDR NUVEEN BARCLAYS SHORT TERM	A	Dividend			Spinoff (from line 68)	03/15/16	J		
70. SPDR NUVEEN BARCLAYS	A	Dividend			Sold	08/16/16	J	A	
71. SPDR S&P 500	A	Dividend			Buy (add'l)	01/06/16	J		
72. SPDR S&P 500	A	Dividend			Buy (add'l)	02/12/16	J		
73. SPDR S&P 500	A	Dividend			Buy (add'l)	04/08/16	J		
74. SPDR S&P 500	A	Dividend			Sold	08/16/16	J	A	
75. VANGUARD ALL WORLD	A	Dividend			Buy	04/08/16	J		
76. VANGUARD ALL WORLD	A	Dividend			Sold	08/16/16	J	A	
77. VANGUARD HEALTH CARE	A	Dividend			Sold	02/16/16	J	A	
78. WSDMTR JPN HEDG EQ	A	Dividend			Sold (part)	04/08/16	J	A	
79. WSDMTR JPN HEDG EQ	A	Dividend			Sold	08/16/16	J	A	
80. WSDMTR TRUST EUROPE HEADGE	A	Dividend			Buy (add'l)	01/06/16	J		
81. WSDMTR TRUST EUROPE HEADGE FUND	A	Dividend			Sold	08/16/16	J	A	
82. VIRGINIA 529 PREPAID PLAN #1 - 8 SEMESTER TIER I		None	K	V					
83. VIRGINIA 529 PREPAID PLAN #2 - 8 SEMESTER TIER I		None	K	V					

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84. VIRGINIA 529 PREPAID PLAN #3 - 8 SEMESTER TIER		None	J	V					
85. (H) IRA #1, JANNEY MONTGOMERY SCOTT LLC									
86. - CASH ACCOUNT, JANNEY MONTGOMERY SCOTT LLC	A	Dividend	J	T					
87. - INVESCO EQUALLY WEIGHTED S&P FUND	A	Dividend			Sold	10/27/16	J	A	
88. - ALLIANCE BERNSTEIN GLOBAL THEMATIC GROWTH FUND	A	Dividend			Sold	10/20/16	J	A	
89. - COLUMBIA MARSICO FOCUSD EQUITY FUND LG CAP	A	Dividend			Sold	10/20/16	J	A	
90. - FIRST TRUST FINANCIAL ALPHADDEX ETF	A	Dividend			Sold	10/19/16	J	A	
91. - FIRST TRUST INDUSTRIAL PRODUCER DURABLE ALPHADDEX ETF	A	Dividend			Sold	10/19/16	J	A	
92. - CLEARBRIDGE VALUE FUND	A	Dividend			Sold	10/20/16	J	A	
93. - CLEARBRIDGE SPECIAL SM CAP INVESTMENT FUND	A	Dividend	K	T					
94. - MILLER INCOME OPPORTUNITY FUND	A	Dividend			Sold	10/20/16	J	A	
95. - LEGG MASON OPPORTUNITY FUND	A	Dividend	K	T					
96. - MFS GROWTH FUND	A	Dividend	J	T					
97. - MFS INVESTORS GROWTH FUND	A	Dividend	J	T					
98. - MFS TOTAL RETURN	A	Dividend	J	T					
99. - PIMCO REAL RETURN FUND	A	Dividend	J	T					
100. - PIMCO INCOME FUND FUND	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

PHILLIPS, KEITH L.

Date of Report

12/13/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
101. - WESTERN ASSET CORE BOND FUND	A	Dividend	J	T					
102. (H) IRA #2, CHARLES SCHWAB									
103. - CASH DEPOSIT ACCOUNTS, CHARLES SCHWAB	A	Int./Div.	M	T					
104. - SCHWAB VALUE ADVANTAGE	A	Int./Div.	L	T					
105. - SCHWAB DIVIDEND EQUITY FUND	A	Int./Div.	J	T					
106. - SCHWAB FUNDAMENTAL US LARGE CO INDEX	A	Int./Div.	J	T					
107. - SCHWAB MARKETTRACK GROWTH	A	Int./Div.	J	T					
108. - SCHWAB S&P 500 INDEX FUND	A	Int./Div.	J	T					
109. - SCHWAB TOTAL STOCK MKT INDEX	A	Int./Div.	J	T					
110. - SCHWAB 1000 INDEX FUND	A	Int./Div.	J	T					
111. - T ROWE PRICE RETIREMENT 2030 FUND	A	Int./Div.	J	T					
112. - VANGUARD WELLESLEY INCOME FD INVESTOR SHARE	A	Int./Div.	J	T					
113. (H) IRA #3, JANNEY MONTGOMERY SCOTT LLC									
114. - CASH DEPOSIT ACCOUNTS, JANNEY MONTGOMERY SCOTT LLC	A	Dividend	J	T					
115. -CONSUMER DISCRETIONARY SELECT SECTOR SPDR (51)	A	Dividend	J	T	Buy	10/20/16	J		
116. -CONSUMER DISCRETIONARY SELECT SECTOR SPDR (30)	A	Dividend	J	T	Buy (add'l)	10/25/16	J		
117. -CONSUMER DISCRETIONARY SELECT SECTOR SPDR (5)	A	Dividend	J	T	Buy (add'l)	10/31/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

PHILLIPS, KEITH L.

Date of Report

12/13/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
118. -ISHARES CORE MSCI EUROPE (52)	A	Dividend	J	T	Buy	10/20/16	J		
119. -ISHARES CORE MSCI EUROPE (31)	A	Dividend	J	T	Buy (add'l)	10/25/16	J		
120. -ISHARES CORE MSCI EUROPE (6)	A	Dividend	J	T	Buy (add'l)	10/31/16	J		
121. - SECTOR CONSUMER STAPLES SELECT SECTOR SPDR (75)	A	Dividend	J	T	Buy	10/20/16	J		
122. - SECTOR CONSUMER STAPLES SELECT SECTOR SPDR (45)	A	Dividend	J	T	Buy (add'l)	10/25/16	J		
123. - SECTOR CONSUMER STAPLES SELECT SECTOR SPDR (8)	A	Dividend	J	T	Buy (add'l)	10/31/16	J		
124. - SECTOR CONSUMER STAPLES SELECT SECTOR SPDR (29)	A	Dividend	J	T	Sold (part)	11/14/16	J	A	
125. - SECTOR HEALTHCARE SELECT SECTOR SPDR (97)	A	Dividend	J	T	Buy	10/20/16	J		
126. - SECTOR HEALTHCARE SELECT SECTOR SPDR (57)	A	Dividend	J	T	Buy (add'l)	10/25/16	J		
127. - SECTOR HEALTHCARE SELECT SECTOR SPDR (10)	A	Dividend	J	T	Buy (add'l)	10/31/16	J		
128. - SECTOR TECHNOLOGY SELECT SPECTOR SPDR (108)	A	Dividend	J	T	Buy	10/20/16	J		
129. - SECTOR TECHNOLOGY SELECT SPECTOR SPDR (63)	A	Dividend	J	T	Buy (add'l)	10/25/16	J		
130. - SECTOR TECHNOLOGY SELECT SPECTOR SPDR (13)	A	Dividend	J	T	Buy (add'l)	10/31/16	J		
131. - SECTOR TECHNOLOGY SELECT SPECTOR SPDR (33)	A	Dividend	J	T	Buy (add'l)	11/14/16	J		
132. - VANGUARD ALL WORLD (149)	A	Dividend	J	T	Buy	10/20/16	J		
133. - VANGUARD ALL WORLD (88)	A	Dividend	J	T	Buy (add'l)	10/25/16	J		
134. - VANGUARD ALL WORLD (17)	A	Dividend	J	T	Buy (add'l)	10/31/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

PHILLIPS, KEITH L.

Date of Report

12/13/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
135. - VANGUARD PACIFIC (13)	A	Dividend	J	T	Buy	10/20/16	J		
136. - VANGUARD PACIFIC (7)	A	Dividend	J	T	Buy (add'l)	10/25/16	J		
137. - VANGUARD PACIFIC (1)	A	Dividend	J	T	Buy (add'l)	10/31/16	J		
138. - ISHARES, 7-10YR TREASURY BOND (20)	A	Dividend	J	T	Buy	10/20/16	J		
139. - ISHARES, 7-10YR TREASURY BOND (13)	A	Dividend	J	T	Buy (add'l)	10/25/16	J		
140. - ISHARES, 7-10YR TREASURY BOND (2)	A	Dividend	J	T	Buy (add'l)	10/31/16	J		
141. - ISHARES, 7-10YR TREASURY BOND (35)	A	Dividend	J	T	Sold (part)	12/14/16	J	A	
142. - ISHARES, 1-3YR TREASURY BOND (85)	A	Dividend	J	T	Buy	10/20/16	J		
143. - ISHARES, 1-3YR TREASURY BOND (51)	A	Dividend	J	T	Buy (add'l)	10/25/16	J		
144. - ISHARES, 1-3YR TREASURY BOND (9)	A	Dividend	J	T	Buy (add'l)	10/25/16	J		
145. - ISHARES, 1-3YR TREASURY BOND (35)	A	Dividend	J	T	Sold (part)	12/20/16	J	A	
146. - ISHARES, 1-3YR TREASURY BOND (37)	A	Dividend	J	T	Sold (part)	12/22/16	J	A	
147. - ISHARES, 1BOXX, 4INVESTMENT GRADE CORP BOND (38)	A	Dividend	J	T	Buy	10/20/16	J		
148. - ISHARES, 1BOXX, 4INVESTMENT GRADE CORP BOND (22)	A	Dividend	J	T	Buy (add'l)	10/25/16	J		
149. - ISHARES, 1BOXX, 4INVESTMENT GRADE CORP BOND (4)	A	Dividend	J	T	Buy (add'l)	10/25/16	J		
150. SPDR BLOOMBERG BARCLAYS CAPITAL ST CORP BOND (127)	A	Dividend	J	T	Buy	12/14/16	J		
151. SPDR BLOOMBERG BARCLAYS CAPITAL ST CORP BOND (125)	A	Dividend	J	T	Buy (add'l)	12/20/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

PHILLIPS, KEITH L.

Date of Report

12/13/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
152. SPDR BLOOMBERG BARCLAYS CAPITAL ST CORP BOND (124)	A	Dividend	J	T	Buy (add'l)	12/22/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting	Date of Report
PHILLIPS, KEITH L.	12/13/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII, LINE 40 - VALUE IS BASED ON REFUNDABLE VALUE PROVIDED BY THE VIRGINIA529 COLLEGE SAVINGS PLAN
PART VII, LINE 41 - VALUE IS BASED ON REFUNDABLE VALUE PROVIDED BY THE VIRGINIA529 COLLEGE SAVINGS PLAN
PART VII, LINE 42 - VALUE IS BASED ON REFUNDABLE VALUE PROVIDED BY THE VIRGINIA529 COLLEGE SAVINGS PLAN

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

PHILLIPS, KEITH L.

Date of Report

12/13/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **KEITH L. PHILLIPS**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544