

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Heston, Mary J.	2. Court or Organization US Bankruptcy Court, Western District of Washington	3. Date of Report 10/17/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States Bankruptcy Court Union Station 1717 Pacific Ave #2100 Tacoma, WA 98402-3233		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Administrator of Estate #1	Estate of Estate #1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2016	Lane Powell PC 401K Profit Sharing Plan with former law firm, Managed by Wells Fargo (employment terminated on January 30, 2017)
2. 2016	Shareholdings in Lane Powell PC, prior employer (paid out in March 2017)
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2017	Net Salary from former law firm, Lane Powell PC (for period of 1/1/17 to 1/31/17 prior to starting as judge)	\$17,920.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American College of Bankruptcy	March 9-12, 2017	Washington DC	To Attend Meeting of Fellows, Participate in Pro Bono and Bankruptcy Judges Committee	Meals, transportation and hotel
2. Northwest Bankruptcy Institute	April 7-8, 2017	Vancouver, WA	To Speak at seminar sponsored by Washington and Oregon State Bars	Hotel and tuition
3. Eastern District of Washington, Federal Bankruptcy Bar Association	June 15-18, 2017	Winthrop, WA	To speak at seminar sponsored by federal bar association	Hotel, transportation and tuition

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4.	National Conference of Bankruptcy Judges	October 8-11, 2017	Las Vegas, NV.	To attend meetings and educationconference sponsored by NCBJ	Hotel for two nights
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Cards	K
2.	JP Morgan Chase	Credit Cards	K
3.	Hilton Grand Vacation Club	Loan for Vacation Club	K
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. slkjMerrill Lynch Cash Management Account (H)									
2. ISHARES MSCI CDA ETF		None	J	T	Buy	07/12/17	J		
3. ISAHRES MSCI SWITZERLAND		None	J	T	Buy	07/12/17	J		
4. ISHARES MSCI SWEDEN		None	J	T	Buy	07/12/17	J		
5. ISHARES MSCI PACIFIC	A	Dividend	K	T	Buy	07/12/17	K		
6. ISHARES MSCI IBOXX \$	A	Dividend	K	T	Buy	07/12/17	K		
7. ISHARES TIPS		None	J	T	Buy	07/12/17	J		
8. ISHARES 3-7 YEAR		None	K	T	Buy	07/12/17	J		
9. ISHARES MBS ETF	A	Dividend	K	T	Buy	07/12/17	K		
10.					Buy (add'l)	10/24/17	J		
11. ISHARES IBOXX\$ HIGH YIELD		None	J	T	Buy	07/12/17	J		
12. ISHARES MSCI EUROZONE		None	K	T	Buy	10/24/17	K		
13.					Buy (add'l)	12/05/17	K		
14. ISHARES MSCI JAPAN ETF		None	K	T	Buy	07/12/17	K		
15. ISHARES MSCI U K ETF SHS	A	Dividend	K	T	Buy	07/12/17	K		
16. ISHARES INC CORE MSCI	B	Dividend	L	T	Buy	07/12/17	L		
17. POWERSHARES EM SOVEREIGN	A	Dividend	J	T	Buy	07/12/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. POWERSHARES PREFERRED		None	J	T	Buy	07/12/17	J		
19. VANECK VECTORS JP		None	J	T	Buy	07/12/17	J		
20. VANGUARD SMALL CAP VALUE	A	Dividend	K	T	Buy	07/12/17	K		
21. VANGUARD SMALL CAP		None	K	T	Buy	07/12/17	K		
22. VANGUARD VALUE ETF	B	Dividend	M	T	Buy	07/12/17	M		
23. VANGUARD GROWTH ETF	A	Dividend	M	T	Buy	07/12/17	M		
24. VANGUARD INTERMEDIATE	A	Dividend	K	T	Buy	07/12/17	K		
25.					Buy (add'l)	10/24/17	J		
26. VANGUARD SHORT TERM BOND	A	Dividend	K	T	Buy	07/12/17	K		
27. Estate #1 Bank Account Well Fargo	A	Interest	J	T					
28. Estate #1 Met Life Shares	A	Int./Div.	J	T					
29. Shareholder interest in Lane Powell PC, former firm					Redemption	2/23/17	L	B	

30. American Funds American

Balanced R6

Sell 6/30/17 M D

31. JP Morgan Large Cap Growth R6

Sell 6/30/17 O G

32. WF/Blackrock S&P MidCap

Index CIT N

Sell 6/30/17 K A

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII NOTES.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Mary J. Heston**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544