

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Glenn, Martin	2. Court or Organization U.S. Bankruptcy Court, SDNY	3. Date of Report 05/23/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address One Bowling Green, Room 504 New York, NY 10004-1408		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor of Law	Columbia Law School
2. Contributing Author	Collier on Bankruptcy (Mathew Bender)
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2005	O'Melveny & Myers LLP partnership retirement plan (pursuant to Partnership Agreement) with former law firm -- no control
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Glenn, Martin

Date of Report

05/23/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	O'Melveny & Myers LLP retirement income	\$181,050.00
2. 2015	Columbia Law School	\$20,000.00
3. 2015	Mathew Bender & Co. (Collier on Bankruptcy)	\$800.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	TEVA Neuroscience Inc. - 1099 income as Peer Advocate
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American College of Bankruptcy	03/19/2015	Boston, MA	Speak at Seminar	Transportation, Food, Hotel
2. International Insolvency Institute	06/14/2015 - 06/17/2015	Naples, Italy	Speak at Seminar	Transportation, Food, Hotel
3. American Bankruptcy Institute	08/06/2015 - 08/08/2015	Hershey, PA	Speak at Seminar	Transportation, Food, Hotel
4. National Conference of Bankruptcy Judges	09/27/2015 - 09/30/2015	Miami, FL	Speak at Seminar	Transportation, Food, Hotel
5. American Bankruptcy Institute	10/05/2015 - 10/06/2015	Washington, DC	Speak at Seminar	Transportation, Food, Hotel

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Glenn, Martin

Date of Report

05/23/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Glenn, Martin

Date of Report

05/23/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Morgan Stanley Brokerage Account									
2. Amgen Inc. common	C	Dividend	M	T					
3. Cisco Systems Inc. common	B	Dividend	K	T					
4. EMC Corp. common	A	Dividend	K	T					
5. Energizer Holdings common	A	Dividend	J	T					
6. Franklin Rising Dividends C	A	Dividend	L	T					
7. IBM Corp common	A	Dividend	K	T					
8. KLA-Tencor Corp. common	B	Dividend	L	T					
9. MFS Utilities Fund CL C	A	Distribution	L	T					
10. Proctor & Gamble Co. common	A	Dividend	K	T					
11. Target Corp. common	B	Dividend	K	T					
12. Olstein All Cap Value Fund Class C		None	N	T					
13. Pioneer High Yield FD CL C	D	Distribution	N	T					
14. Invesco (formerly Van Kampen) Equity & Income Fund Class C	C	Distribution	M	T					
15. Western Asset High Income Opportunity FD	A	Distribution	J	T					
16. Western Asset Municipals A	B	Int./Div.	K	T					
17. UTS First Trust Dow Target	E	Distribution			Sold	09/08/15	O	F	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Glenn, Martin

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05/23/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. UTS First Trust Dow Target	B	Distribution	O	T	Buy	09/17/15	O		
19. NYC GEN OBL BONDS	E	Interest	O	T	Redeemed (part)	08/03/15	M	A	
20. NYC GEN OBL BONDS					Redeemed (part)	09/14/15	M	A	
21. NYS ENVRMTL FAC CRP BONDS	D	Interest	M	T	Redeemed (part)	06/16/15	K	A	
22. NYC TRANSITIONAL FIN AUTH BOND	D	Interest	M	T					
23. NYC Municipal Water Finance Authority	E	Interest	N	T					
24. PORT AUTH NY & NJ REF BOND	D	Interest	M	T	Buy (add'l)	12/21/15	K		
25. METROPOLITAN TSPTN AU NY BOND	D	Interest	M	T					
26. NYS ENV FACS CRP BONDS	C	Interest	M	T					
27. BAYPORT-BLUE POINT NY UNION FREE SCH DIST BOND	C	Interest			Sold	09/15/15	M	A	
28. BYRAM HILLS NY CENT SCH DIST BOND	B	Interest			Sold	12/15/15	L	A	
29. HAMPTON BAYS NY UN FREE SCH DIST	C	Interest	M	T					
30. MIDDLE COUNTRY CSD NY BOND	C	Interest			Sold	08/17/15	M	A	
31. TRIBOROUGH BRDG & TUN AUTH NY BONDS	D	Interest	M	T					
32. NYS DORM AU REV MEM SLOAN BOND	C	Interest	L	T					
33. NYS DORM AUTH BDS	B	Interest	L	T					
34. NYS DORM AUTH BDS	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Glenn, Martin

Date of Report

05/23/2016

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. NYS DORM AUTH CITY UNIV CONS REV BOND	D	Interest	M	T					
36. NYS NYU DORM AUTH REV BDS	A	Interest	N	T					
37. NYS Mtg Agency	A	Interest	M	T	Buy	10/15/15	M		
38. NASSAU CO SEWER & STORM WTR FIN AUTH	A	Interest			Sold	11/02/15	L	A	
39. PORT AUTH NY & NJ CONS	B	Interest	L	T					
40. Sales Tax Asset Receivable Corp.	A	Interest	L	T					
41. SUFFOLK CNTY NY PUB IMPT BOND	C	Interest	L	T					
42. NYS GO	B	Interest	K	T					
43. Morgan Stanley Bank (formerly Citibank)	D	Interest	O	T					
44. Fidelity High Income Fund	A	Dividend	J	T					
45. Legg Mason Partners Municipal Fund	B	Int./Div.	K	T					
46. Citibank Bank Accounts	A	Interest	M	T					
47.									
48. Series EE US Savings Bonds		None	L	T					
49.									
50. O & M Investment Partners LP/Michael T. Jackson Investments	B	Int./Div.	N	T					
51.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Glenn, Martin

Date of Report

05/23/2016

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52.									
53. AXA Equitable Flexible Premium Variable Life	B	Dividend	K	T					
54.									
55.									
56. Hampshire Partners REIT VI, Inc. (\$3,000 - bought 1/31/04)	A	Distribution	J	R					
57.									
58.									
59. Morgan Stanley IRA Account # 1									
60. Legg Mason Ptnrs (Clearbridge) Aggressive Growth Fund Class A		None	O	T					
61. Legg Mason (Clearbridge) Ptnrs Capital & Income Fund Class A	E	Distribution	P1	T					
62. Legg Mason (Clearbridge) Appreciation Fund Class A	D	Distribution	P1	T					
63. Legg Mason (Clearbridge) Value Trust Inc.	B	Distribution	N	T					
64. MFS Utilities Fund CL C	B	Distribution	L	T					
65. Olstein All CAP Value Fund Class C		None	N	T					
66. Morgan Stanley Bank (formerly Citibank)	A	Interest	K	T					
67. JP Morgan Chase Certificate of deposit	A	Interest	L	T					
68.									

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Glenn, Martin

Date of Report

05/23/2016

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69. Morgan Stanley IRA Account									
70. Legg Mason (Clearbridge) Capital & Income Fund CL C	A	Distribution	J	T					
71. Lord Abbett Balanced Strategy Fund CL C	A	Distribution	J	T					
72. Olstein All Cap Value Fund CL C		None	J	T					
73. Morgan Stanley Bank (formerly)	A	Interest	J	T					
74. First Eagle Global Fund	A	Distribution	J	T					
75. MFS Utilities Fund CL C	A	Distribution	J	T					
76.									
77.									

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Glenn, Martin

Date of Report

05/23/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

With respect to the entry in Part VII, line 50, I hold a limited partnership interest in a fund that invests in publicly traded securities. I have never received any distributions from this limited partnership. To the extent that the fund receives income, the value of my investment increases, and the value at year end is reflected in column C(1). For income tax reporting purposes, the K-1 return I receive reflects income (or losses) derived from the following sources: ordinary dividends, interest income, short-term capital gains (or losses) and long-term capital gains (or losses). In line 50, column B(2), I have selected "Int/Div" as the income type, but it should be noted that income reported for tax purposes also includes income (or losses) from short and long term capital gains (or losses). My entry in line 50, column B(1) reflects my percentage share for income (or losses) reported from all categories of income as explained in this paragraph. I have not yet received a K-1 return for calendar year 2015 so the income and year-end investment value ranges are based on good faith estimates.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Glenn, Martin

Date of Report

05/23/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Martin Glenn**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544