

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fitzwater, Sidney A.	2. Court or Organization U.S. District Court, Northern District of Texas	3. Date of Report 04/13/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. Courthouse 1100 Commerce St., Room 1528 Dallas, Texas 75242-1035		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015 _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. American Funds-Wash. Mut. Invs. Fund-A	A	Dividend	J	T					
2. UBS Bank USA Deposit Acct.	A	Interest	K	T					
3. 3M Corp. Common Stock	A	Dividend	K	T					
4. J.P. Morgan Chase & Co. Common Stock	A	Dividend	J	T					
5. Trust # 2 (Nos. 5-10) (H)									
6. -UBS Resource Mgmt. Acct (Money Market Portfolio)	A	Dividend	K	T					
7. -Lord Abbett Income Fund-Class A	B	Dividend	K	T					
8. -Lord Abbett Intermediate Tax Free Fund-Class A	B	Dividend	K	T					
9. -Lord Abbett Short Duration Income Fund-Class A	B	Dividend	K	T					
10. -Thornburg Limited Term Municipal Fund-Class A	A	Dividend	K	T					
11. Calamos Growth and Income Fund	A	Dividend	J	T					
12. Lord Abbett Fundamental Equity	A	Dividend	J	T					
13. MFS Total Return Bond Fund (See Part VIII)	A	Dividend	J	T					
14. Lord Abbett Income Fund-Class A	A	Dividend	J	T					
15. Lord Abbett Intermediate Tax Free Fund-Class A	A	Dividend	J	T					
16. Lord Abbett Short Duration Income Fund-Class A	A	Dividend	J	T					
17. Thornburg Limited Term Municipal Fund-Class A	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Lincoln Nat'l Corp. Secure Line	C	Interest	M	T					
19. IRA #1 (Nos. 19-24) (H)									
20. -Royce Premier Fund (See Part VIII)	A	Dividend	K	T	Sold (part)	10/28/15	J		
21. -Blackrock Strategic Income A	A	Dividend	K	T	Sold (part)	10/28/15	J	A	
22. -Blackrock Global Allocation Fund Inc. A (See Part VIII)	B	Dividend	K	T	Sold (part)	10/28/15	J		
23. -First Eagle Global Fund Class A	A	Dividend	K	T	Sold (part)	10/28/15	J	A	
24. -FT-Franklin Income (See Part VIII)	C	Dividend	K	T	Sold (part)	10/28/15	J		
25. Aberdeen Asia-Pacific Income Fund	A	Dividend	J	T					
26. Ameren Corporation Common Stock	A	Dividend	J	T					
27. Consolidated Edison, Inc. Common Stock	A	Dividend	K	T					
28. Dominion Resources, Inc. Common Stock	B	Dividend	K	T					
29. Dreyfus Strategic Municipals Inc. (Closed-End Fund)	A	Dividend	J	T					
30. Duke Energy Corp. Common Stock	B	Dividend	K	T					
31. Entergy Corp. Common Stock	A	Dividend	J	T					
32. Exelon Corp. Common Stock	A	Dividend	K	T					
33. ExxonMobil Corp. Common Stock	D	Dividend	M	T					
34. Firstenergy Corp. Common Stock	A	Dividend	J	T					

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35. NextEra Energy, Inc. Common Stock	B	Dividend	K	T					
36. Hawaiian Electric Industries Inc. Common Stock	A	Dividend	K	T					
37. Integrys Energy Group Inc. Common Stock (See Part VIII)	A	Dividend			Merged (with line 67)	06/30/15	J	C	
38. Express Scripts Holding Co. Common Stock		None	J	T					
39. Merck & Co. Inc. Common Stock	A	Dividend	K	T					
40. Monsanto Co. New Common Stock	A	Dividend	J	T					
41. Nuveen Dividend Advantage Municipal Fund	A	Dividend	J	T					
42. Nuveen Dividend Advantage Munic. Fd 2	B	Dividend	K	T					
43. Nuveen Dividend Advantage Municipal Fd 3	B	Dividend	K	T					
44. Nuveen AMT-Free Municipal Income Fund	A	Dividend	J	T					
45. Nuveen Municipal Advantage Fund Inc.	A	Dividend	J	T					
46. Nuveen Municipal Value Fund Inc.	B	Dividend	K	T					
47. Nuveen Premium Inc. Mun. Fund Inc.	A	Interest	J	T					
48. Nuveen Premium Inc. Mun. Fund 2 Inc.	B	Dividend	K	T					
49. Nuveen Select Maturities Municipal Fund	A	Dividend	K	T					
50. Pepco Holdings Inc. Common Stock	B	Dividend	K	T					
51. Pepsico, Inc. Common Stock	A	Dividend	K	T					

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52. Pfizer Inc. Common Stock	A	Dividend	K	T					
53. Spectra Energy Corp. Common Stock	A	Dividend	J	T					
54. TECO Energy Inc. Common Stock	A	Dividend	J	T					
55. Invesco Van Kampen Municipal Opportunity Trust	A	Interest	J	T					
56. Westar Energy Inc. Common Stock	A	Dividend	J	T					
57. Xcel Energy Inc. Common Stock	B	Dividend	K	T					
58. Nuveen Series 519 Tax Exempt Unit Trust	A	Interest	J	T					
59. Nuveen Investment Quality Municipal Fund, Inc.	C	Dividend	K	T					
60. John Hancock Venture Vantage (Annuity) (See Part VIII)		None			Distributed	04/14/15	K		
61. TIAA-CREF Savings and Investment Plan (Annuity)		None	M	T					
62. TIAA-CREF (IRA) Managed Allocation Fund II R		None	L	T	Sold (part)	10/06/15	J	A	
63. USAA Tax Exempt Long-Term Bond Fund	D	Interest	M	T					
64. Blackrock Strategic Income A	A	Dividend	J	T					
65. Emerson Electric Co. Common Stock	A	Dividend	J	T					
66. Royal Dutch Shell PLC Common Stock	A	Dividend	J	T					
67. WEC Energy Group Inc. Common Stock (X) (See Part VIII)	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Item No. 13

This item is reported in my 2014 Financial Disclosure Report under the name "MFS Research Bond Fund." The fund changed its name and is reported this year under the name "MFS Total Return Bond Fund."

Part VII, Item Nos. 20, 22, and 24

No gain code is disclosed in Column D(4) for these items because they were sold at a loss.

Part VII, Item No. 37

No gross value is disclosed in Column C because this company merged with WEC Energy Group Inc. effective June 30, 2015. WEC Energy Group Inc. is disclosed as Item No. 67.

Part VII, Item No. 60

The distribution disclosed in Item No. 60 is from an annuity. The entire asset was distributed. No gain is shown in Column D(4) because there was no gain or loss involved in the transaction.

Part VII, Item No. 67

This asset was acquired when Integrys Energy Group Inc. (Item No. 37) merged with WEC Energy Group Inc.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Sidney A. Fitzwater**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544