

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kelly, Claire R.	2. Court or Organization U.S. Court of International Trade	3. Date of Report 05/14/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Court of International Trade Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address One Federal Plaza New York, NY 10278-0001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member and Adjunct Faculty Member	Brooklyn Law School
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 14

Name of Person Reporting

Kelly, Claire R.

Date of Report

05/14/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Brooklyn Law School, Adjunct Professor Salary	\$2,500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Hewlett-Woodmere Union Free School District - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 14

Name of Person Reporting

Kelly, Claire R.

Date of Report

05/14/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 14

Name of Person Reporting

Kelly, Claire R.

Date of Report

05/14/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank - Cash Accounts	A	Interest	L	T					
2. Nassau Educators Federal Credit Union - Cash Account (Y)									
3. Met Life - whole life / life insurance	A	Dividend	K	T					
4. Series EE US Savings Bond		None	J	T					
5. BROKERAGE ACCCOUNT #1 (H)									
6. Citigroup Inc Stock (common) (C)	A	Dividend	J	T					60
7. General Electric Stock (common) (GE)	A	Dividend	J	T					61
8. Amer Cent Intl Val A (MEQAX) (X)		None			Sold	11/28/17	J		
9. AMERICAN FUNDS, AMERICAN EUROPACIFIC GRW F2 (AEPFX)	A	Dividend	K	T					11
10. AMERICAN FUNDS, AMERICAN GW FD OF AMERICA F2 (GFFFX)	B	Dividend	K	T					13
11. AMERICAN FUNDS, AMERICAN WA MUTUAL F2 (WMFFX)	B	Dividend	K	T	Sold (part)	12/11/17	K	A	16
12. MAINSTAY NY TAX FREE OPPORT I (MNOIX)		None	K	T	Buy	12/11/17	K		
13. Davis New York Venture Fund (NYVTX)		None			Sold	12/11/17	K	B	
14. Gabelli Value 25 Fund (GABVX)		None			Sold (part)	11/28/17	J	A	
15.					Sold	12/11/17	K	A	
16. Oppenheimer Rochester Limited Term Mutual Fund (LTNYX)	A	Dividend			Sold	11/28/17	J		
17. PRUDENTIAL TOTAL RETURN BD Z (PDBZX)		None	K	T	Buy	12/11/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 14

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. IRA #1 (H)									
19. Fidelity Advisor Emerging Markets Income Fund Class I	A	Dividend			Sold	06/05/17	J		
20. TIAA-CREF International Equity Index Fund Institutional Class	B	Dividend			Sold	06/05/17	K		
21. TIAA-CREF Large Cap Value Index Fund Institutional Class	B	Dividend			Sold	06/05/17	L		
22. TIAA-CREF Large Cap Growth Index Fund Institutional Class	A	Dividend			Sold	06/05/17	K		
23. TIAA-CREF Mid-Cap Value Fund Institutional Class	B	Dividend			Sold	06/05/17	K		
24. TIAA CREF Emerging Markets Equity Index Fund Institutional Class	A	Dividend			Sold	06/05/17	K		
25. TIAA-CREF Bond Index Fund Institutional Class	A	Dividend			Sold	06/05/17	K		
26. TIAA-CREF High-Yield Fund Class I	A	Dividend			Sold	06/05/17	J		
27. iShares Tr Tips Bd ETF	A	Dividend			Sold	06/05/17	K		
28. iShares Tr Russell Mid-Cap Growth ETF	A	Dividend			Sold	06/05/17	J		
29. iShares Tr Russell 2000 Value ETF	A	Dividend			Sold	06/05/17	J		
30. iShares Tr Russell 2000 Growth ETF	A	Dividend			Sold	06/05/17	J		
31. iShares Tr MSCI EAFE Small Cap ETF	A	Dividend			Sold	06/05/17	K		
32. SPDR Ser Tr Dow Jones REIT ETF	A	Dividend			Sold	06/05/17	J		
33. Vanguard Bd Index Fd Inc Short Term Bd ETF	A	Dividend			Sold	06/05/17	J		
34. MORGAN STANLEY BANK NA (CASH)	A	Interest	J	T	Open	07/24/17	O		

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Page 6 of 14

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35. ISHARES INCMSCI JAPAN ETF (EWJ)	A	Dividend	J	T	Buy	07/24/17	J		
36.					Sold (part)	12/27/17	J	A	
37. BARON GROWTH INSTITUTIONAL (BGRIX)	B	Dividend	K	T	Buy	07/24/17	K		
38.					Buy (add'l)	12/18/17	J		
39. BLACKROCK INFLAT PROT BOND I (BPRIX)	A	Dividend	J	T	Buy	07/24/17	J		
40. BLACKSTONE ALT MULT-STRAT INST (BXMIX)	A	Dividend	K	T	Buy	07/24/17	K		
41. DELAWARE FLOATING RATE I (DDFLX)	A	Dividend			Buy	07/24/17	K		
42.					Sold	10/24/17	J	A	
43. DELAWARE INV SM VAL INST (DEVIX)	A	Dividend	K	T	Buy	07/24/17	K		
44. EV INCOME FUND OF BOSTON (EIBIX)	A	Dividend	K	T	Buy	07/24/17	K		
45. IVY MID CAP GROWTH I (IYMIX)	A	Dividend	K	T	Buy	07/24/17	K		
46. LEGG MASON BW ALT CREDIT (LMANX)	A	Dividend	J	T	Buy	07/24/17	J		
47. LOCORR MARKET TREND I (LOTIX)	A	Dividend	J	T	Buy	07/24/17	J		
48. MAINSTAY CUSHING MLP PREMIER I (CSHZX)	B	Dividend	K	T	Buy	07/24/17	K		
49.					Buy (add'l)	12/01/17	J		
50. OAKMARK I (OAKMX)	B	Dividend	L	T	Buy	07/24/17	L		
51.					Sold (part)	08/23/17	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 7 of 14

Name of Person Reporting

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52.					Sold (part)	10/24/17	J	A	
53. OAKMARK INTERNATL I (OAKIX)	B	Dividend	M	T	Buy	07/24/17	M		
54.					Sold (part)	10/24/17	J	A	
55.					Buy (add'l)	12/18/17	J		
56. PIONEER MLTI AST ULT SHT INC Y (MYFRX)	A	Dividend	K	T	Buy	10/24/17	K		
57. POLEN GRW INST (POUX)	A	Dividend	L	T	Buy	07/24/17	L		
58. PRUDENTIAL SHT TRM CORP BD Z (PIFZX)	B	Dividend	L	T	Buy	07/24/17	L		
59.					Buy (add'l)	12/18/17	J		
60. PRUDENTIAL TOTAL RETURN BD Z (PDBZX)	A	Dividend	L	T	Buy	07/24/17	L		
61.					Sold (part)	08/23/17	J	A	
62.					Buy (add'l)	12/18/17	J		
63. TOUCHSTONE MID CAP VALUE Y (TCVYX)	B	Dividend	K	T	Buy	07/24/17	K		
64. VAN ECK EMERGING MARKETS Y (EMRYX)	A	Dividend	K	T	Buy	07/24/17	K		
65.					Sold (part)	08/23/17	J	A	
66.					Sold (part)	12/27/17	J	A	
67. IRA #2 (H)									
68. MORGAN STANLEY BANK NA (CASH)	A	Interest	J	T	Open	06/20/17	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 8 of 14

Name of Person Reporting

Kelly, Claire R.

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☐ NONE (No reportable income, assets, or transactions.)

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69. ISHARES INCMSCI JAPAN ETF (EWJ)	A	Dividend	J	T	Buy	07/24/17	J		
70.					Sold (part)	12/27/17	J	A	
71. BARON GROWTH INSTITUTIONAL (BGRIX)	B	Dividend	J	T	Buy	07/24/17	K		
72.					Buy (add'l)	12/18/17	J		
73. BLACKROCK INFLAT PROT BOND I (BPRIX)	A	Dividend	J	T	Buy	07/24/17	J		
74. BLACKSTONE ALT MULT-STRAT INST (BXMIX)	A	Dividend	K	T	Buy	07/24/17	K		
75. DELAWARE FLOATING RATE I (DDFLX)	A	Dividend			Buy	07/24/17	K		
76.					Sold	10/24/17	J	A	
77. DELAWARE INV SM VAL INST (DEVIX)	A	Dividend	J	T	Buy	07/24/17	K		
78. EV INCOME FUND OF BOSTON (EIBIX)	A	Dividend	J	T	Buy	07/24/17	K		
79. IVY MID CAP GROWTH I (IYMIX)	A	Dividend	J	T	Buy	07/24/17	K		
80. LEGG MASON BW ALT CREDIT (LMANX)	A	Dividend	J	T	Buy	07/24/17	J		
81. LOCORR MARKET TREND I (LOTIX)	A	Dividend	J	T	Buy	07/24/17	J		
82. MAINSTAY CUSHING MLP PREMIER I (CSHZX)	B	Dividend	K	T	Buy	07/24/17	K		
83.					Buy (add'l)	12/01/17	J		
84. OAKMARK I (OAKMX)	B	Dividend	K	T	Buy	07/24/17	L		
85.					Sold (part)	08/23/17	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 9 of 14

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86.					Sold (part)	10/24/17	J	A	
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88.					Sold (part)	10/24/17	J	A	
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98. VAN ECK EMERGING MARKETS Y (EMRYX)	A	Dividend	K	T	Buy	07/24/17	K		
99.					Sold (part)	08/23/17	J	A	
100.					Sold (part)	12/27/17	J	A	
101. IRA #3 (H)									
102. Fidelity Pacific Basin Fund		None			Sold (part)	06/07/17	L	E	

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Page 10 of 14

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103.					Sold	11/06/17	J	A	
104. Fidelity Diversified International Fund	A	Dividend	L	T	Sold (part)	11/06/17	J	A	
105. Fidelity Total Emerging Markets (X)	A	Dividend	K	T					
106. Fidelity SM Cap ENH Index	A	Dividend	K	T	Buy	06/07/17	K		
107.					Sold (part)	11/06/17	J		
108. Fidelity Low Priced Stock Fund		None			Sold (part)	06/07/17	M		
109.					Sold	11/06/17	J		
110. Fidelity Mid Cap ENH Index	A	Dividend	K	T	Buy	06/07/17	K		
111. Fidelity Contrafund	A	Dividend			Sold (part)	01/03/17	J	A	
112.					Sold (part)	04/03/17	J	A	
113.					Sold (part)	06/07/17	M	E	
114.					Sold	11/06/17	J	A	
115. Fidelity Blue Chip GR	C	Dividend	L	T	Buy	06/07/17	L		
116.					Sold (part)	11/06/17	J	A	
117. Fidelity Blue Chip Value	A	Dividend	L	T	Buy	06/07/17	L		
118. Fidelity Inf'l Real Estate	A	Dividend	K	T	Buy	06/07/17	K		
119. Fidelity Global Comdty Stock	A	Dividend	K	T	Buy	06/07/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 14

Name of Person Reporting

Kelly, Claire R.

Date of Report

05/14/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120.					Sold (part)	11/06/17	J	A	
121. Fidelity NEW Mkts. Inc.	A	Dividend	K	T	Buy	06/07/17	K		
122. Fidelity Strategic Income	A	Dividend	K	T	Buy	06/07/17	K		
123.					Buy (add'l)	11/06/17	J		
124. Fidelity Total Bond	A	Dividend	K	T	Buy	06/07/17	L		
125.					Buy (add'l)	11/06/17	J		
126. Fidelity GLB High Income	A	Dividend	K	T	Buy	06/07/17	K		
127. Fidelity Export & Multinational Fund		None			Sold	06/07/17	J		
128. IRA #4 (H)									
129. TIAA Traditional	A	Dividend	L	T					
130. TIAA Real Estate		None			Sold	06/05/17	J		
131. CREF Stock		None			Sold	06/05/17	L		
132. CREF Global Equities		None			Sold	06/05/17	L		
133. CREF Bond Market		None			Sold	06/05/17	K		
134. TIAA CREF Large Cap Value Premium	B	Dividend			Sold	06/05/17	M		
135. TIAA CREF Mid Cap Value Premium	A	Dividend			Sold	06/05/17	K		
136. TIAA CREF Small Cap Value Premium	A	Dividend			Sold	06/05/17	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 14

Name of Person Reporting

Kelly, Claire R.

Date of Report

05/14/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Vanguard Emerging Markets Stock Index Fund, Adm	A	Dividend			Sold	06/05/17	K		
138. TIAA CREF Inf-Linked Bond		None			Sold	06/05/17	K		
139. Vanguard Total Int'l Stock Index Adm	A	Dividend			Sold	06/05/17	K		
140. Vanguard Total Stock Market Index Adm	A	Dividend			Sold	06/05/17	K		
141. Vanguard Total Bond Mkt Index Adm	A	Dividend			Sold	06/05/17	L		
142. CUSTODIAL ACCOUNT #1 (H)									
143. General Electric Stock (common)	A	Dividend	J	T					
144. COLLEGE FUND #1 (H)									
145. NY 529 College Svgs. Prog., Aggressive Age Based Option; Growth Portf.		None			Sold	04/06/17	L		
146. NY 529 College Svgs. Prog., Agrsv Age Based Option; Mod Growth Portf.		None			Buy	04/06/17	L		
147.					Sold	09/22/17	L		
148. NY 529 College Svgs. Prog., Mod. Age Based Option; Blnd Growth Portf.		None			Buy	09/22/17	L		
149.					Sold	11/13/17	L		
150. NY 529 College Svgs Prog, Mod. Age-Based Option; Discp Growth Portf.		None	L	T	Buy	11/13/17	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 14

Name of Person Reporting

Kelly, Claire R.

Date of Report

05/14/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII: During 2017, a number of assets were transferred between accounts and headers have been changed. Additionally, some assets had corporate name changes. For ease of review of items affected by these changes, corresponding line numbers from the 2016 report have been included in Column D(5).

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

Page 14 of 14

Name of Person Reporting

Kelly, Claire R.

Date of Report

05/14/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Claire R. Kelly**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544